

# Commission on Judicial Performance

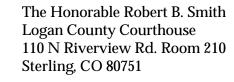
## The Honorable Robert B. Smith

2006 Judicial Performance Survey 13th Judicial District





April 26, 2006



Dear Judge Smith:

Every two years the Colorado Judicial Performance Commission conducts a survey of people who have been in the courtroom of judges who face a retention vote in the next general election. The 2006 survey was conducted by Talmey-Drake Research & Strategy, Inc., who attempted to contact over 75,000 people who had been in these courtrooms in one capacity or another. Over 21,000 people responded—4,600 to the attorney survey and 17,000 to the non-attorney survey.

This report contains the results of those who were in your courtroom and who responded to the survey. In addition to this introduction, the report is divided into four main sections:

- Attorney Results & Comments: This section contains graphs displaying the average grade you received overall (the combined average from questions 1a through 5e), each section and each question. Following the graphs, are a series of tables showing the percentage distribution of responses to each question. Attorney respondents were also asked to comment about your performance. These comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the attorney questionnaire is at the back of this report.
- Non-attorney Results & Comments: Similar to the Attorney section, this portion of the report contains graphs (again including the overall average of questions 1a through 5c on the non-attorney questionnaire), the percentage distribution of responses by non-attorneys to each substantive question in the survey. The non-attorney respondents were also asked to comment about your performance. Again, these comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the non-attorney questionnaire is also at the back of this report.
- **Methodology:** The third section of the report discusses the methodology of the survey.
- **Questionnaires:** And the final section provides copies of the questionnaires that were used.



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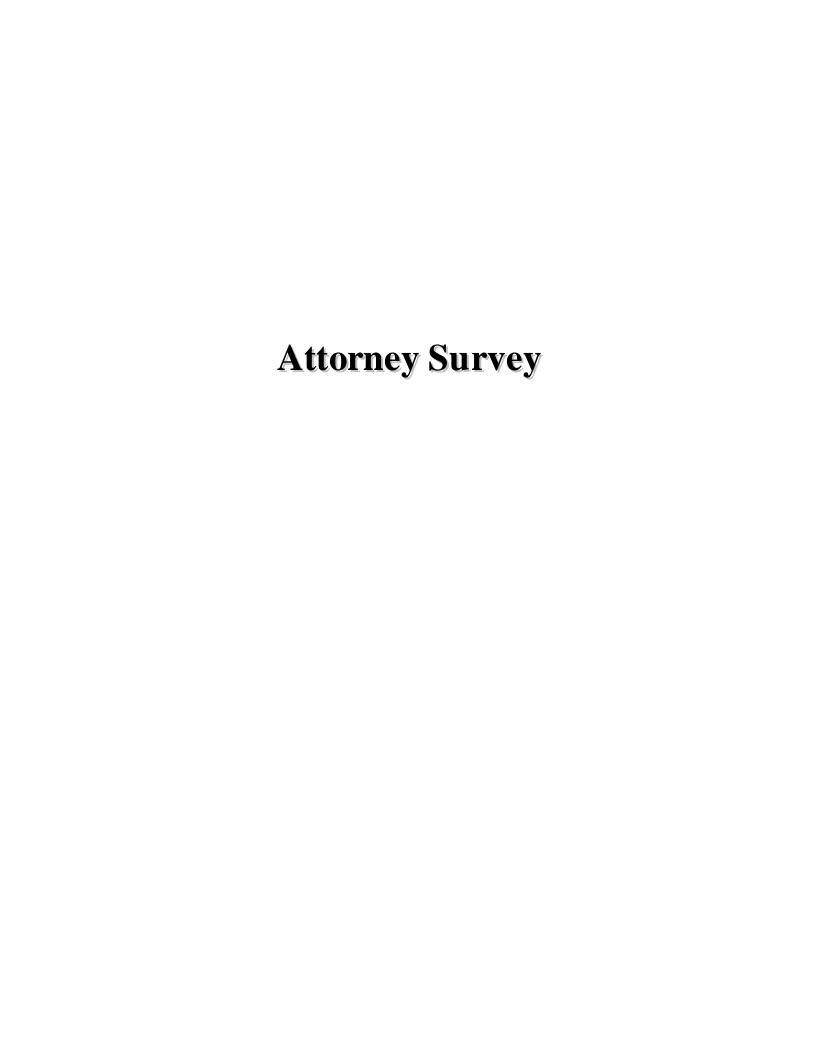


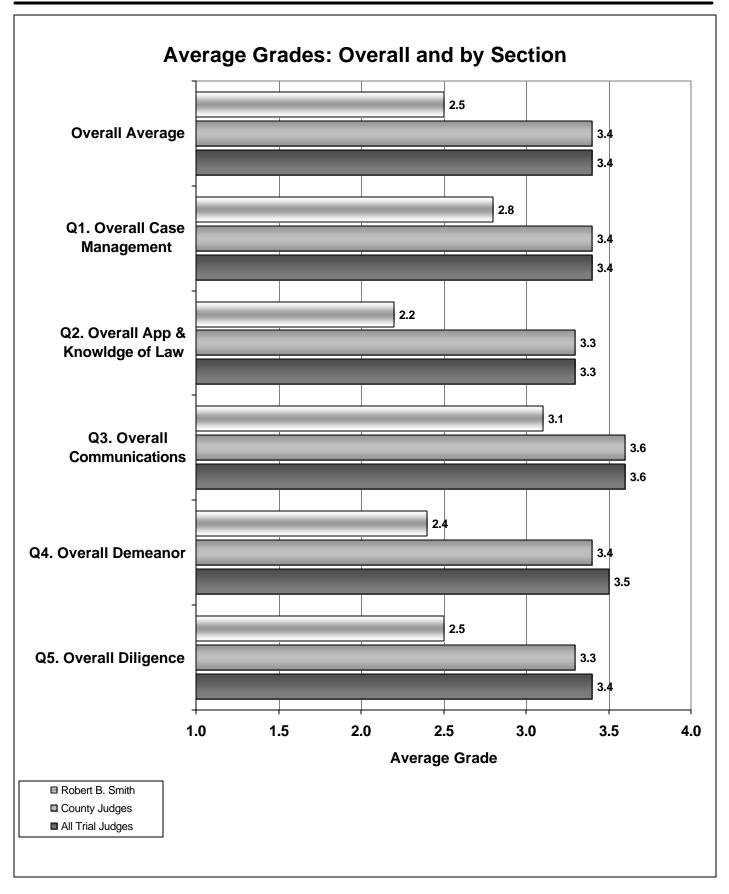
If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 303-443-5300, and for any other questions you might have about the survey please call the Director of the Colorado Judicial Performance Program, Jane Howell, at 303-837-3665.

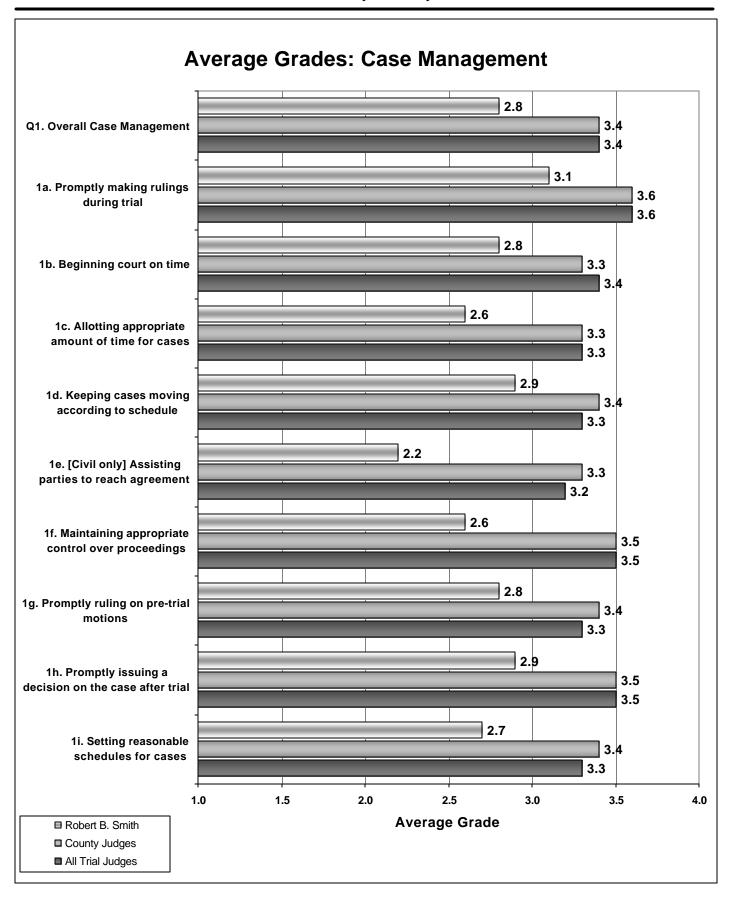
Best regards,

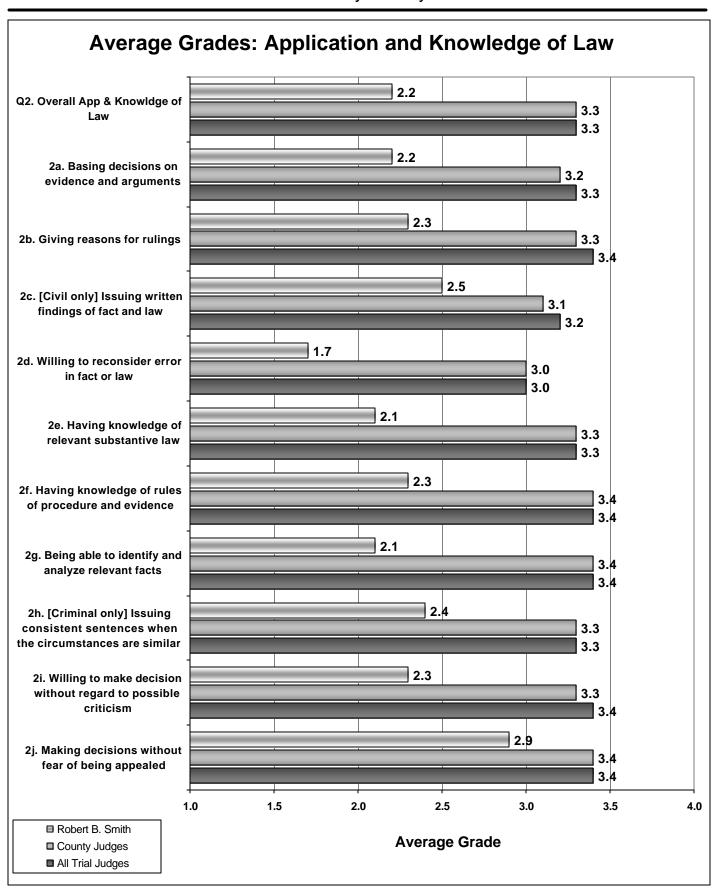
Paul A. Talmey President

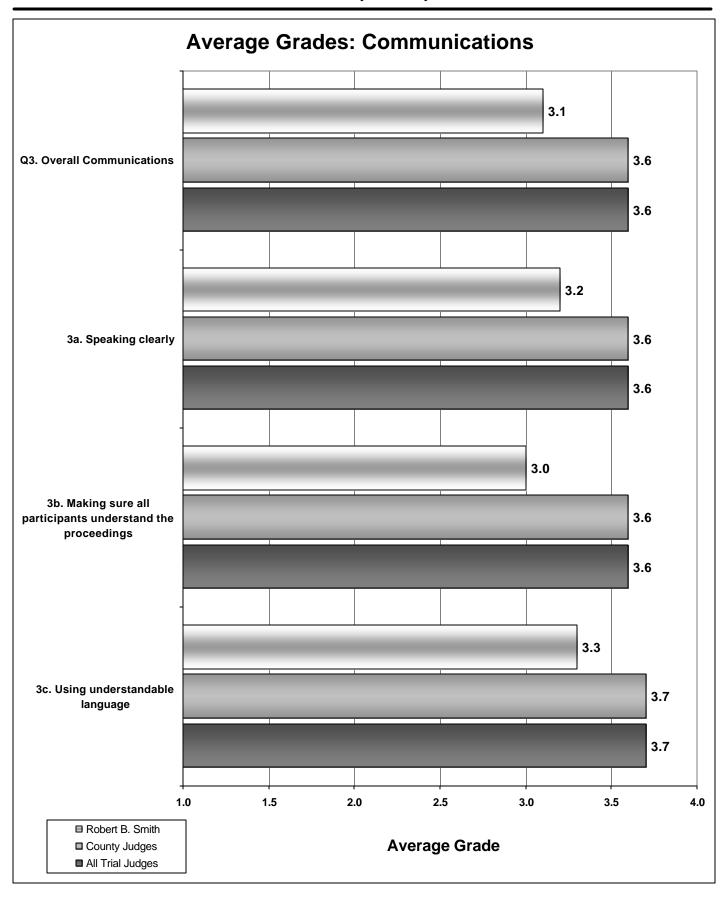
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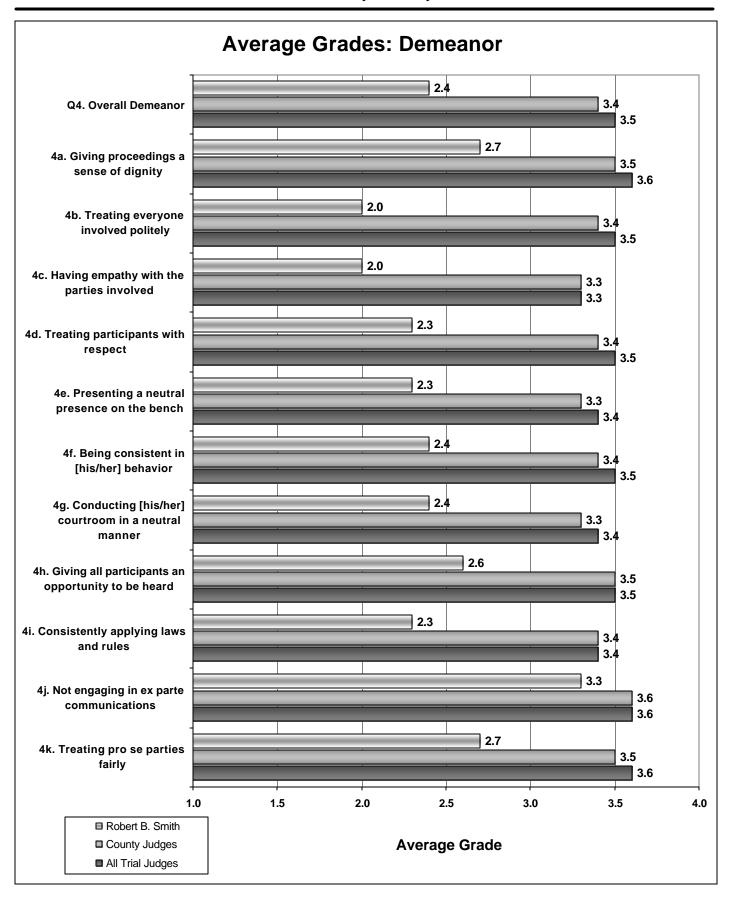


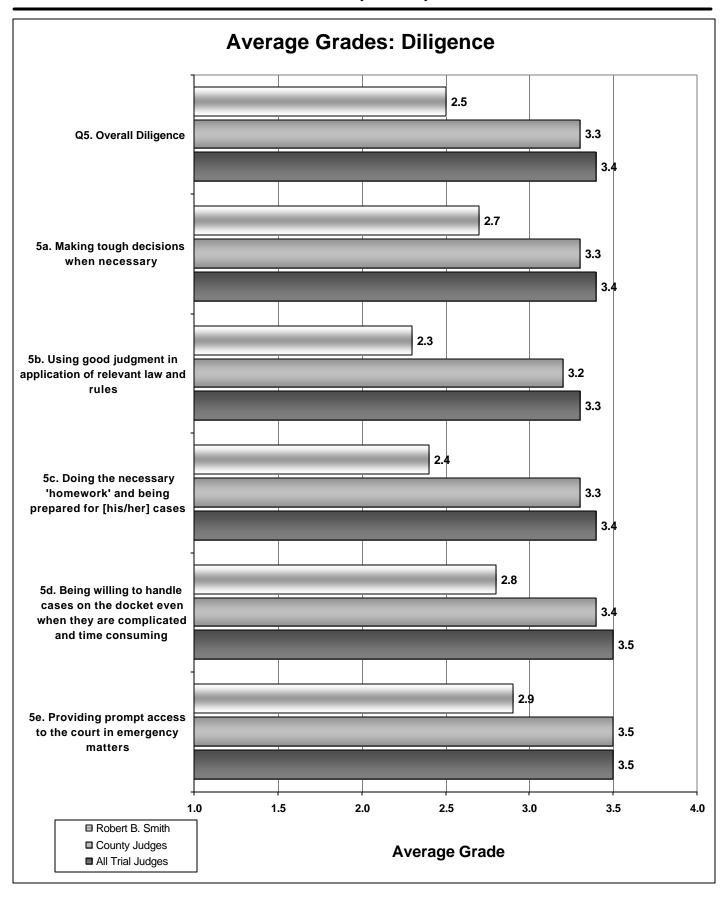


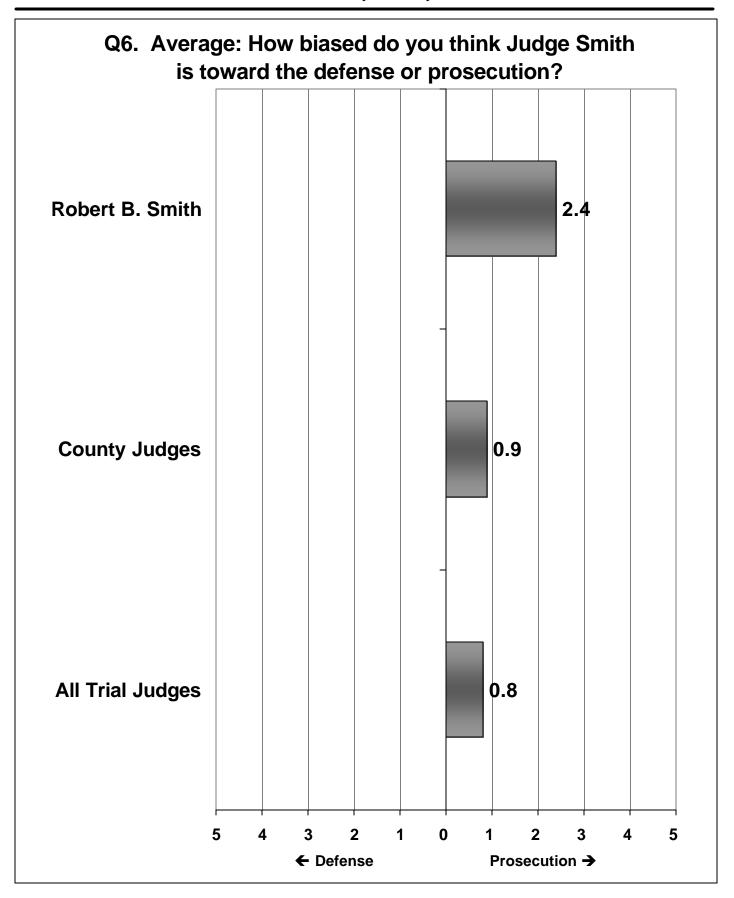


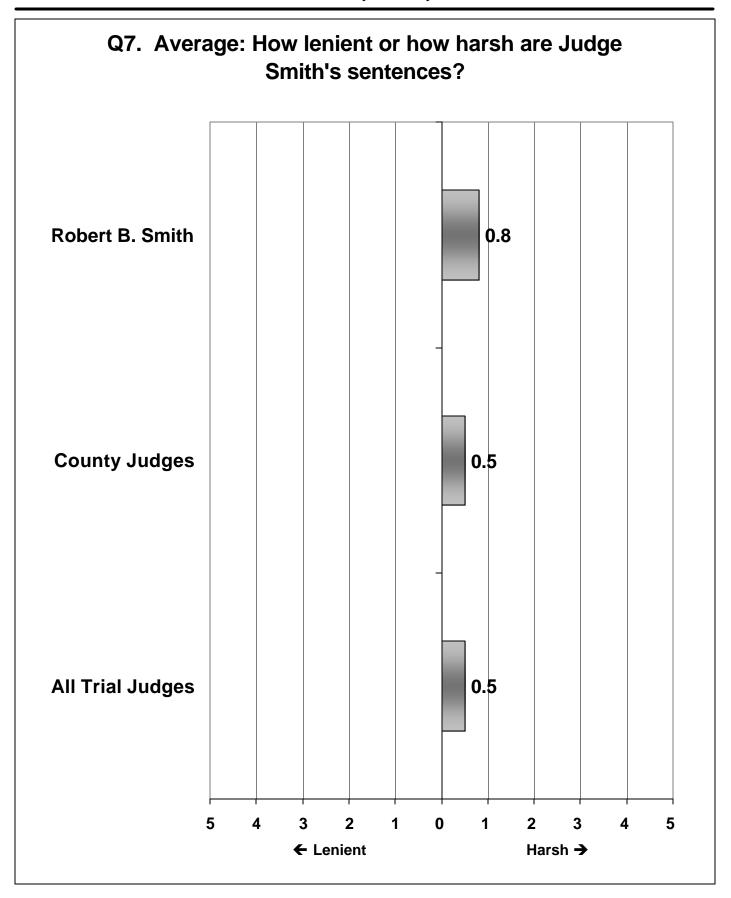


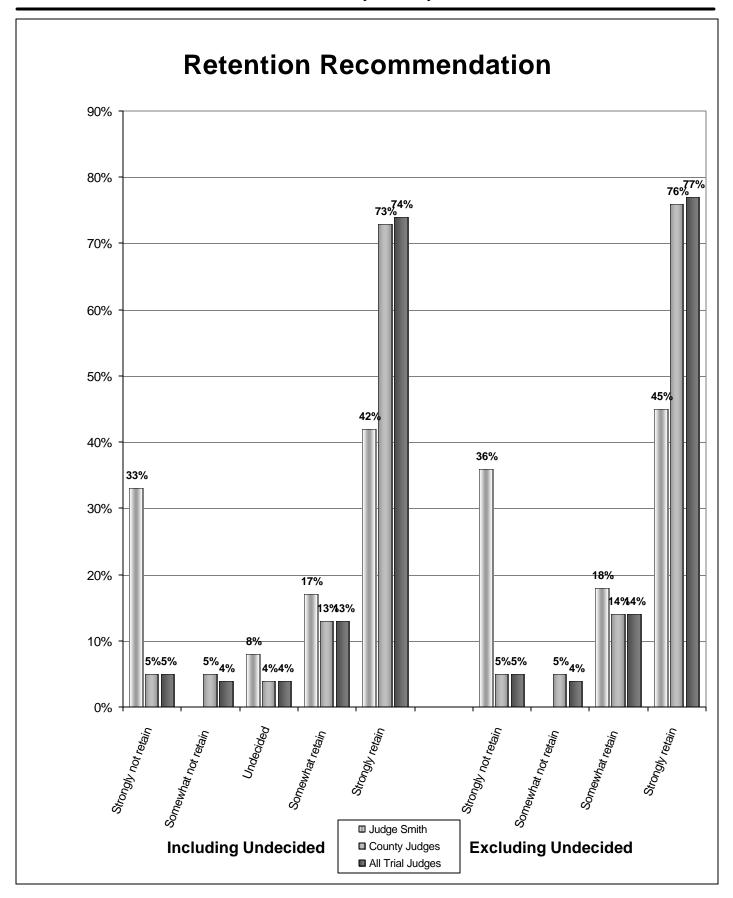












#### **Attorney Survey**

# 1a. How would you grade Judge Smith on "Promptly making rulings during trial?"

_	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	33%	54%	56%
В	58%	21%	21%
C		5%	5%
D		1%	1%
Fail	8%	1%	1%
No Grade		19%	17%
Average	3.1	3.6	3.6
Letter Grade	В	B+	B+

#### **Attorney Survey**

## 1b. How would you grade Judge Smith on "Beginning court on time?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	25%	53%	56%
В	33%	29%	28%
C	42%	11%	9%
D		3%	3%
Fail		2%	2%
No Grade		2%	2%
Average	2.8	3.3	3.4
Letter Grade	B-	В	B+

#### **Attorney Survey**

# 1c. How would you grade Judge Smith on "Allotting appropriate amount of time for cases?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	52%	51%
В	42%	30%	29%
C	33%	10%	11%
D		3%	3%
Fail	8%	2%	2%
No Grade		4%	4%
Average	2.6	3.3	3.3
Letter Grade	C+	В	В

#### **Attorney Survey**

# 1d. How would you grade Judge Smith on "Keeping cases moving according to schedule?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	25%	54%	53%
В	42%	31%	31%
C	33%	9%	9%
D		2%	3%
Fail		1%	2%
No Grade		3%	3%
Average	2.9	3.4	3.3
Letter Grade	B-	B+	В

#### **Attorney Survey**

# 1e. How would you grade Judge Smith on "[Civil only] Assisting parties to reach agreement?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α		28%	27%
В	18%	17%	18%
C	18%	5%	7%
D	9%	2%	2%
Fail		1%	1%
No Grade	55%	47%	43%
Average	2.2	3.3	3.2
Letter Grade	C	В	В

# Robert B. Smith Attorney Survey

#### 1f. How would you grade Judge Smith on "Maintaining appropriate control over proceedings?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
A		61%	63%
В	75%	26%	25%
C	17%	8%	7%
D		2%	2%
Fail	8%	1%	1%
No Grade		2%	2%
Avorage	2.6	3.5	3.5
Average	2.0	0.0	0.0
Letter Grade	C+	B+	B+

#### **Attorney Survey**

# 1g. How would you grade Judge Smith on "Promptly ruling on pre-trial motions?"

_	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	25%	43%	44%
В	33%	25%	25%
C	17%	7%	8%
D		1%	2%
Fail	8%	1%	1%
No Grade	17%	24%	19%
Average	2.8	3.4	3.3
Letter Grade	B-	B+	В

#### **Attorney Survey**

# 1h. How would you grade Judge Smith on "Promptly issuing a decision on the case after trial?"

_	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	25%	48%	48%
В	33%	21%	21%
C	8%	4%	5%
D	•••	1%	2%
Fail	8%		1%
No Grade	25%	26%	24%
•	2.0	2.5	2.5
Average	2.9	3.5	3.5
Letter Grade	В-	B+	B+

#### **Attorney Survey**

## 1i. How would you grade Judge Smith on "Setting reasonable schedules for cases?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	33%	52%	50%
В	17%	29%	30%
C	42%	8%	9%
D		2%	3%
Fail	8%	1%	1%
No Grade		8%	6%
Average	2.7	3.4	3.3
Letter Grade	B-	B+	В

#### **Attorney Survey**

# 2a. How would you grade Judge Smith on "Basing decisions on evidence and arguments?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	8%	50%	53%
В	33%	28%	27%
C	33%	9%	9%
D	17%	4%	4%
Fail	8%	3%	3%
No Grade		5%	4%
Average	2.2	3.2	3.3
Letter Grade	С	В	В

# Robert B. Smith Attorney Survey

# 2b. How would you grade Judge Smith on "Giving reasons for rulings?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	54%	57%
В	33%	26%	25%
C	33%	10%	9%
D		4%	4%
Fail	17%	2%	2%
No Grade		4%	4%
Average	2.3	3.3	3.4
Letter Grade	C	В	B+

#### **Attorney Survey**

# 2c. How would you grade Judge Smith on "[Civil only] Issuing written findings of fact and law?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α		20%	28%
В	27%	13%	15%
C	27%	6%	6%
D		2%	2%
Fail		2%	2%
No Grade	45%	58%	48%
Average	2.5	3.1	3.2
Letter Grade	C+	В	В

#### **Attorney Survey**

## 2d. How would you grade Judge Smith on "Willing to reconsider error in fact or law?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α		30%	29%
В	25%	19%	20%
C	33%	9%	9%
D	25%	4%	4%
Fail	17%	4%	4%
No Grade		34%	33%
Average	1.7	3.0	3.0
Letter Grade	C-	В	В

#### **Attorney Survey**

# 2e. How would you grade Judge Smith on "Having knowledge of relevant substantive law?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	8%	50%	52%
В	25%	31%	30%
C	50%	9%	9%
D		4%	4%
Fail	17%	2%	2%
No Grade		4%	3%
Average	2.1	3.3	3.3
Letter Grade	С	В	В

#### **Attorney Survey**

# 2f. How would you grade Judge Smith on "Having knowledge of rules of procedure and evidence?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	55%	58%
В	33%	29%	27%
C	33%	8%	8%
D		3%	3%
Fail	17%	2%	2%
No Grade		4%	3%
Average	2.3	3.4	3.4
Letter Grade	С	B+	B+

#### **Attorney Survey**

# 2g. How would you grade Judge Smith on "Being able to identify and analyze relevant facts?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α		53%	57%
В	45%	29%	27%
C	27%	9%	8%
D		3%	3%
Fail	18%	2%	2%
No Grade	9%	4%	3%
Average	2.1	3.4	3.4
Letter Grade	С	B+	B+

#### **Attorney Survey**

# 2h. How would you grade Judge Smith on "[Criminal only] Issuing consistent sentences when the circumstances are similar?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α		38%	33%
В	45%	23%	20%
C	9%	6%	6%
D	18%	3%	2%
Fail		2%	2%
No Grade	27%	29%	37%
Average	2.4	3.3	3.3
Letter Grade	C+	В	В

#### **Attorney Survey**

# 2i. How would you grade Judge Smith on "Willing to make decision without regard to possible criticism?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	8%	51%	53%
В	33%	22%	22%
C	33%	9%	8%
D	8%	3%	3%
Fail	8%	2%	2%
No Grade	8%	12%	12%
Average	2.3	3.3	3.4
Letter Grade	C	В	B+

#### **Attorney Survey**

# 2j. How would you grade Judge Smith on "Making decisions without fear of being appealed?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	52%	54%
В	58%	23%	22%
C	. 8%	7%	7%
D	. 8%	2%	2%
Fail	•	2%	2%
No Grade	. 8%	14%	14%
	0.0	0.4	0.4
Average	2.9	3.4	3.4
Letter Grade	В-	B+	B+

# Robert B. Smith Attorney Survey

# 3a. How would you grade Judge Smith on "Speaking clearly?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	33%	72%	73%
В	50%	21%	20%
C	17%	5%	4%
D		1%	1%
Fail		1%	1%
No Grade			1%
Average	3.2	3.6	3.6
Letter Grade	В	B+	B+

#### **Attorney Survey**

# 3b. How would you grade Judge Smith on "Making sure all participants understand the proceedings?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	33%	71%	71%
В	50%	20%	20%
C	8%	6%	5%
D		2%	2%
Fail	8%	1%	1%
No Grade		1%	1%
Average	3.0	3.6	3.6
Letter Grade	В	B+	B+

#### **Attorney Survey**

# 3c. How would you grade Judge Smith on "Using understandable language?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	42%	73%	74%
В	42%	21%	20%
C	17%	5%	4%
D		1%	1%
Fail			
No Grade		1%	1%
A	2.2	2.7	2.7
Average	3.3	3.7	3.7
Letter Grade	В	A-	A-

#### **Attorney Survey**

# 4a. How would you grade Judge Smith on "Giving proceedings a sense of dignity?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	66%	69%
В	50%	22%	20%
C	25%	7%	6%
D		2%	2%
Fail	8%	2%	2%
No Grade			1%
Average	2.7	3.5	3.6
Average	2.1	ა.ა	3.0
Letter Grade	B-	B+	B+

### **Attorney Survey**

## 4b. How would you grade Judge Smith on "Treating everyone involved politely?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	69%	70%
В	17%	17%	17%
C	33%	6%	6%
D	17%	3%	3%
Fail	17%	4%	3%
No Grade			1%
	0.0	0.4	0.5
Average	2.0	3.4	3.5
Letter Grade	С	B+	B+

### **Attorney Survey**

## 4c. How would you grade Judge Smith on "Having empathy with the parties involved?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α		58%	59%
В	33%	23%	22%
C	33%	8%	9%
D	17%	5%	5%
Fail	8%	4%	3%
No Grade	8%	2%	3%
Average	2.0	3.3	3.3
Letter Grade	С	В	В

### **Attorney Survey**

## 4d. How would you grade Judge Smith on "Treating participants with respect?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	8%	66%	69%
В	42%	20%	18%
C	33%	6%	6%
D	8%	3%	3%
Fail	8%	3%	3%
No Grade		1%	1%
Average	2.3	3.4	3.5
Letter Grade	C	B+	B+

### **Attorney Survey**

#### 4e. How would you grade Judge Smith on "Presenting a neutral presence on the bench?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
A		62%	63%
В	58%	20%	19%
C	25%	9%	8%
D	8%	5%	5%
Fail	8%	4%	4%
No Grade		1%	1%
Average	2.3	3.3	3.4
Letter Grade	C	В	B+

### **Attorney Survey**

## 4f. How would you grade Judge Smith on "Being consistent in [his/her] behavior?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	8%	63%	64%
В	50%	22%	21%
C	25%	8%	7%
D	8%	3%	3%
Fail	8%	2%	2%
No Grade		2%	2%
Average	2.4	3.4	3.5
Letter Grade	C+	B+	B+

### **Attorney Survey**

#### 4g. How would you grade Judge Smith on "Conducting [his/her] courtroom in a neutral manner?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	8%	62%	63%
В	58%	20%	19%
C	8%	8%	8%
D	17%	4%	4%
Fail	8%	4%	3%
No Grade		1%	1%
Average	2.4	3.3	3.4
Letter Grade	C+	В	B+

### **Attorney Survey**

## 4h. How would you grade Judge Smith on "Giving all participants an opportunity to be heard?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	25%	68%	69%
В	33%	20%	19%
C	25%	7%	6%
D	8%	2%	2%
Fail	8%	2%	2%
No Grade		1%	1%
Average	2.6	3.5	3.5
Letter Grade	C+	B+	B+

#### 4i. How would you grade Judge Smith on "Consistently applying laws and rules?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	58%	59%
В	25%	24%	23%
C	25%	8%	8%
D	17%	3%	4%
Fail	8%	2%	2%
No Grade	8%	4%	4%
Average	2.3	3.4	3.4
Letter Grade	C	B+	B+

## 4j. How would you grade Judge Smith on "Not engaging in ex parte communications?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	42%	60%	63%
В	25%	16%	15%
C	17%	4%	3%
D	•••	1%	1%
Fail	•••	2%	1%
No Grade	17%	16%	16%
Average	3.3	3.6	3.6
Letter Grade	В	B+	B+

## 4k. How would you grade Judge Smith on "Treating pro se parties fairly?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	56%	52%
В	42%	15%	13%
C	•••	4%	4%
D	8%	2%	2%
Fail	8%	2%	1%
No Grade	25%	21%	28%
Average	2.7	3.5	3.6
Letter Grade	B-	B+	B+

### **Attorney Survey**

## 5a. How would you grade Judge Smith on "Making tough decisions when necessary?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	8%	48%	52%
В	58%	25%	23%
C	8%	9%	8%
D		3%	3%
Fail	8%	2%	2%
No Grade	17%	14%	12%
Average	2.7	3.3	3.4
Letter Grade	В-	В	B+

### **Attorney Survey**

# 5b. How would you grade Judge Smith on "Using good judgment in application of relevant law and rules?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	51%	53%
В	42%	28%	27%
C	•••	9%	9%
D	17%	4%	4%
Fail	17%	3%	3%
No Grade	8%	5%	4%
Average	2.3	3.2	3.3
Letter Grade	C	В	В

### **Attorney Survey**

# 5c. How would you grade Judge Smith on "Doing the necessary 'homework' and being prepared for [his/her] cases?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	49%	53%
В	33%	28%	26%
C	33%	9%	9%
D	8%	3%	3%
Fail	8%	2%	2%
No Grade		9%	7%
Average	2.4	3.3	3.4
Letter Grade	C+	В	B+

#### **Attorney Survey**

# 5d. How would you grade Judge Smith on "Being willing to handle cases on the docket even when they are complicated and time consuming?"

	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	25%	50%	53%
В	42%	22%	21%
C	25%	7%	7%
D		2%	2%
Fail	8%	2%	1%
No Grade		17%	15%
Average	2.8	3.4	3.5
Letter Grade	B-	B+	B+

### **Attorney Survey**

# 5e. How would you grade Judge Smith on "Providing prompt access to the court in emergency matters?"

_	Judge Smith	County Judges 2006	All Trial Judges 2006
Α	17%	39%	41%
В	42%	16%	16%
C	8%	5%	5%
D	8%	1%	1%
Fail		1%	1%
No Grade	25%	38%	36%
Average	2.9	3.5	3.5
Letter Grade	B-	B+	B+

### **Attorney Survey**

## 6. How biased do you think Judge Smith is towards the defense or the prosecution?

		Judge Smith	County Judges 2006	All Trial Judges 2006
_e	5	10%	4%	3%
Toward Prosecution <b>A</b>	4	30%	5%	5%
secut	3	20%	7%	7%
d Pro	2	10%	12%	11%
owar	1		19%	18%
	0 Neutral	20%	47%	47%
Se	-1	10%	3%	4%
Toward Defense	-2		2%	2%
ward	-3		1%	2%
ß	-4		1%	1%
IJ	-5			
	Average	2.4	0.9	0.8

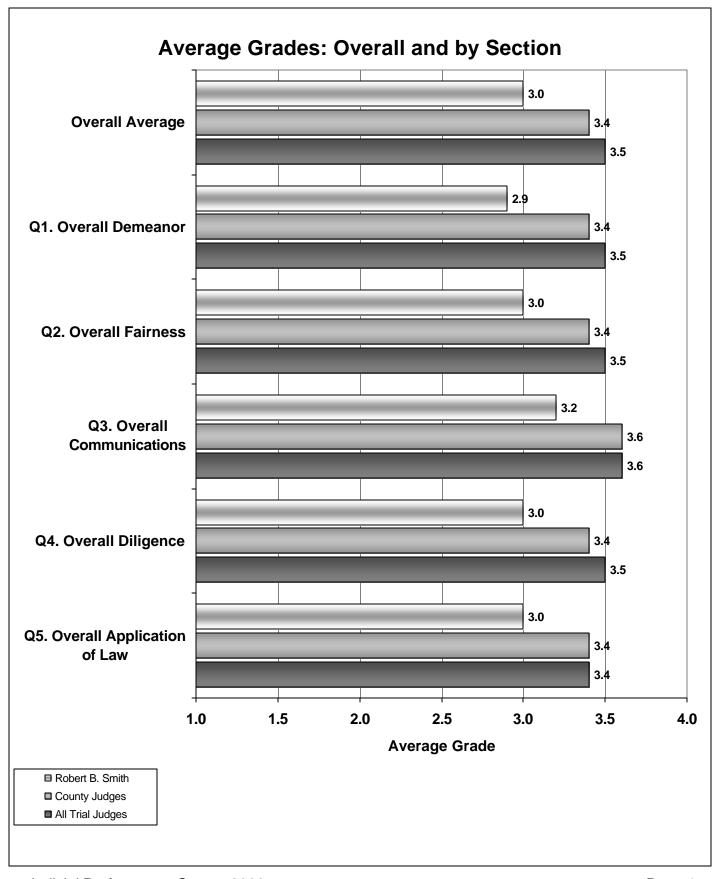
## 7. How lenient or harsh do you think the sentences handed down by Judge Smith are?

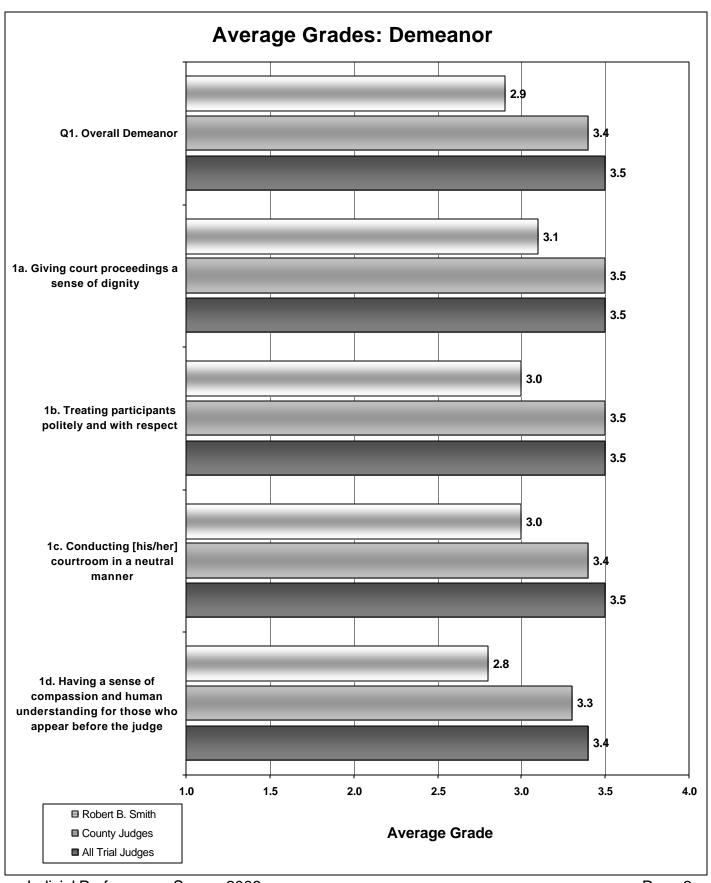
		Judge Smith	County Judges 2006	All Trial Judges 2006
_2	5		2%	2%
Harsh 🖈	4		3%	4%
Ha	3	22%	5%	5%
	2	11%	8%	9%
	1	11%	15%	15%
	0 Appropriate	33%	58%	55%
	-1	22%	2%	3%
	-2		2%	3%
Ħ	-3		1%	2%
<b>U</b> Light	-4		1%	1%
IJ	-5		1%	1%
	Average	0.8	0.5	0.5

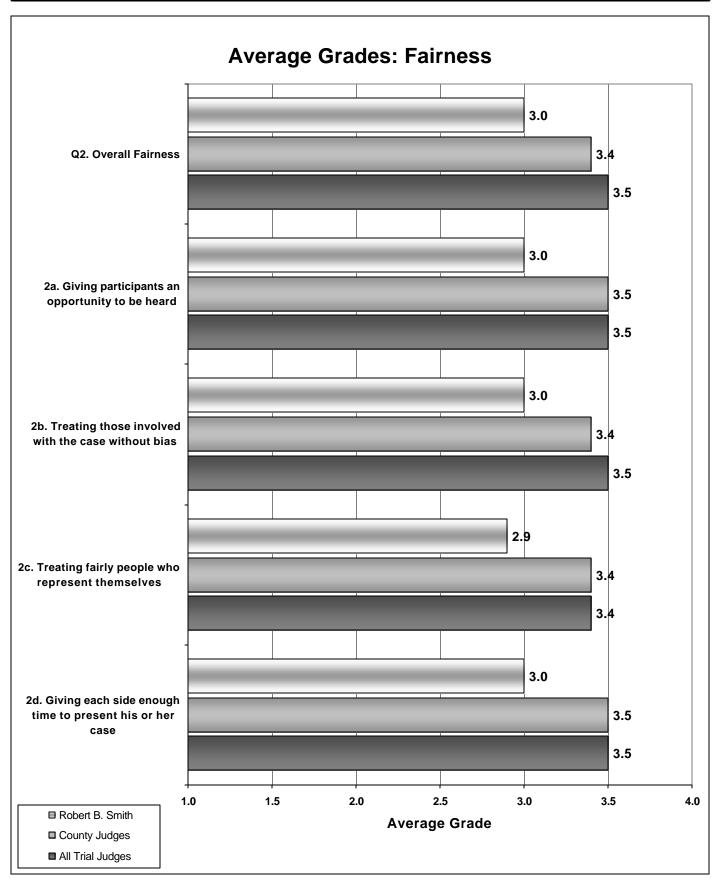
### **Attorney Survey**

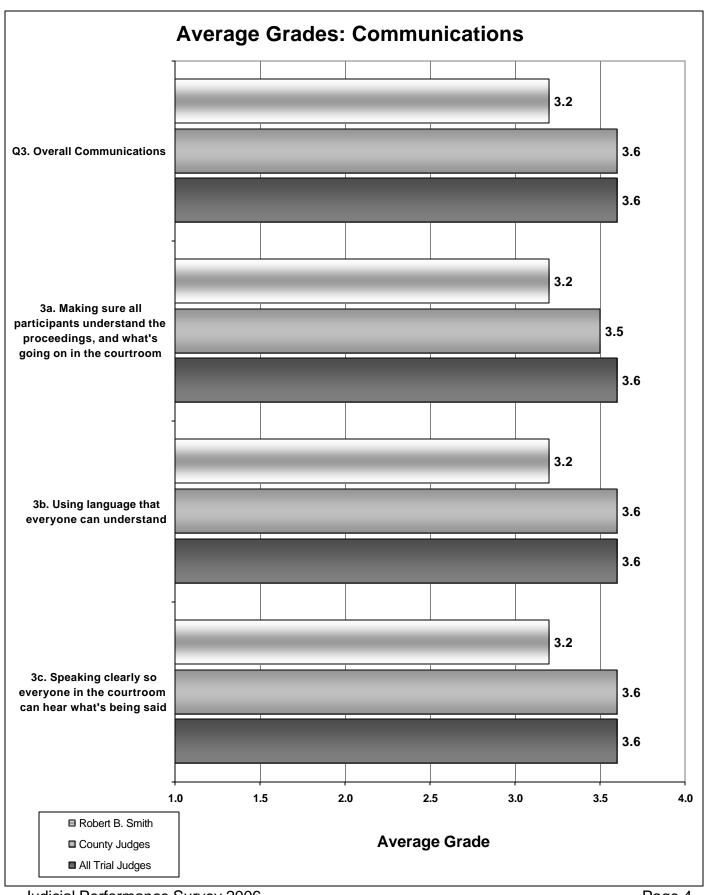
### 12. Do you recommend that Judge Smith be retained in office or not be retained in office?

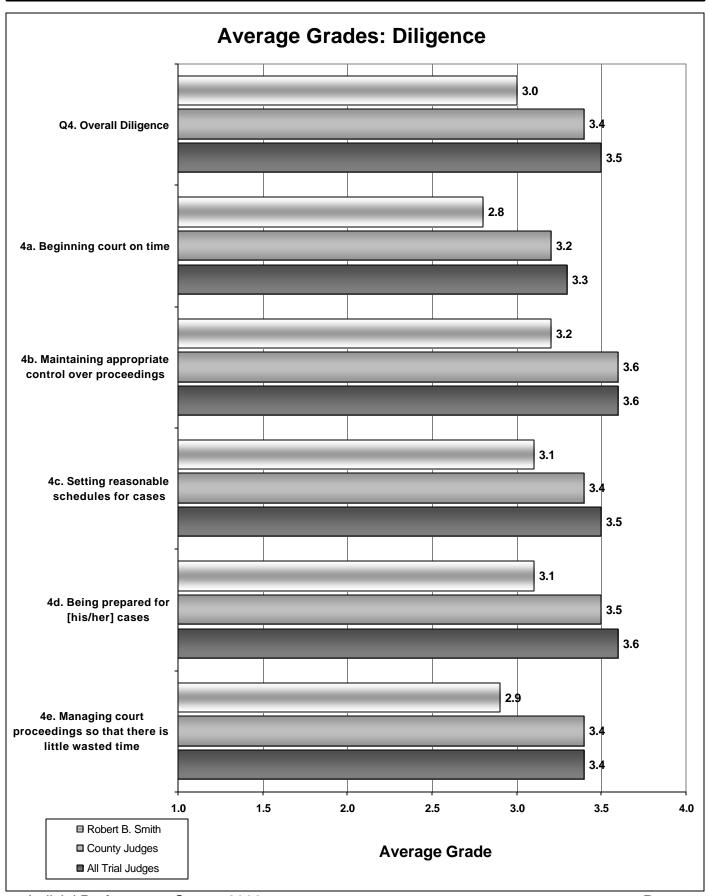
	Judge Smith	County Judges 2006	All Trial Judges 2006
Strongly Recommend Retain in office	45%	76%	77%
Somewhat Recommend Retain in office	18%	14%	14%
Somewhat Recommend Not Retain in office		5%	4%
Strongly Recommend Not Retain in office	36%	5%	5%

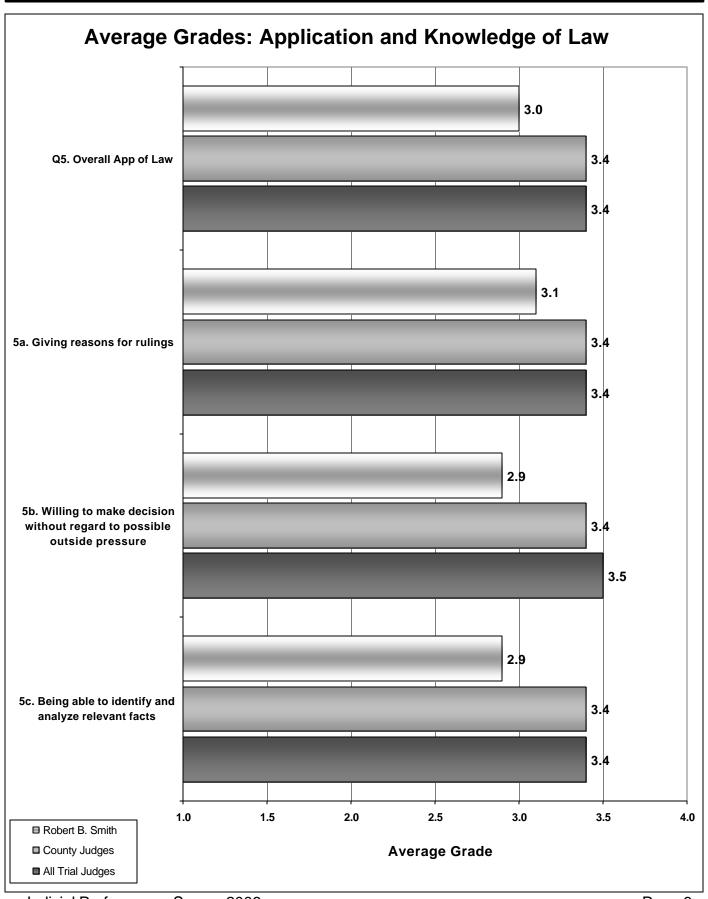


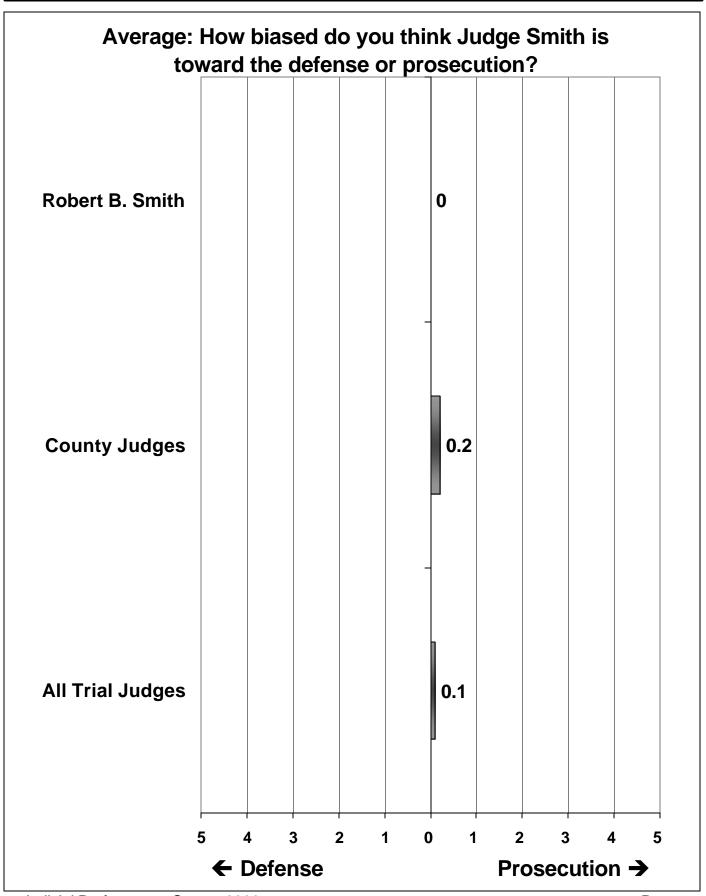


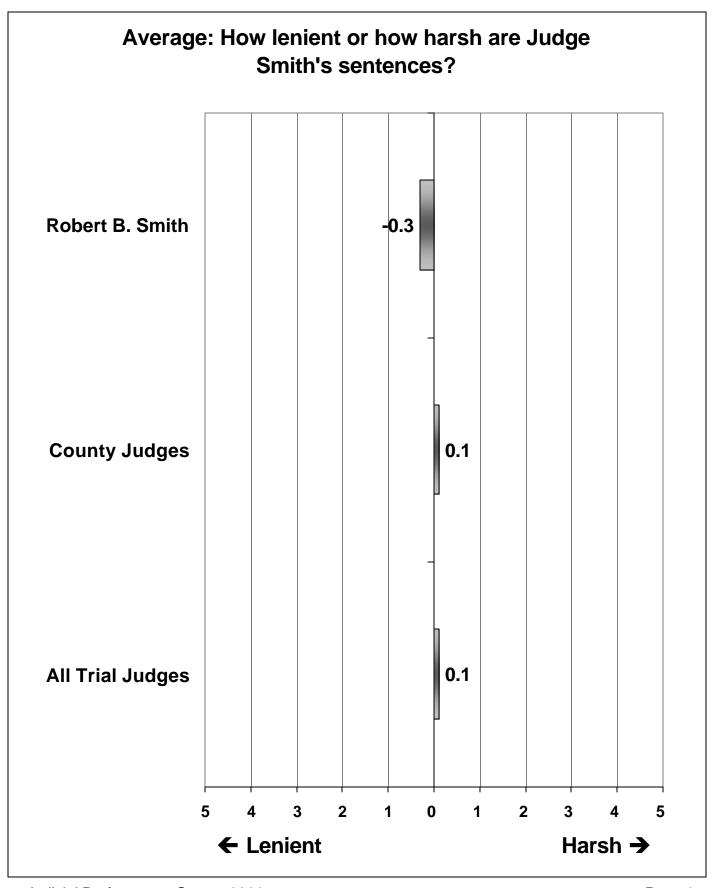


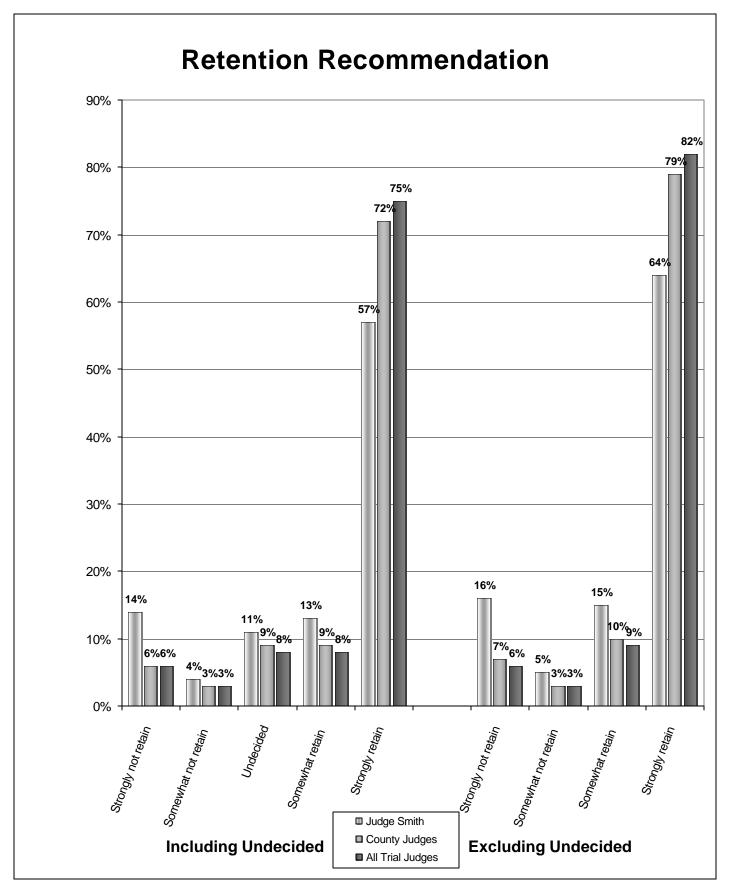












## 1a. How would you grade Judge Smith on "Giving proceedings a sense of dignity?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	43%	65%	69%
В	35%	23%	20%
C	13%	7%	6%
D	4%	2%	2%
Fail	5%	2%	2%
No Grade	1%	1%	1%
Average	3.1	3.5	3.5
Letter Grade	В	B+	B+

## 1b. How would you grade Judge Smith on "Treating participants politely and with respect?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	47%	69%	72%
В	26%	18%	17%
C	10%	6%	5%
D	8%	3%	2%
Fail	8%	4%	3%
No Grade	1%	1%	1%
Average	3.0	3.5	3.5
Letter Grade	В	B+	B+

### 1c. How would you grade Judge Smith on "Conducting [his/her] courtroom in a neutral manner?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	47%	66%	70%
В	26%	19%	17%
C	13%	6%	5%
D	6%	4%	3%
Fail	9%	4%	3%
No Grade	1%	1%	1%
Average	3.0	3.4	3.5
Letter Grade	В	B+	B+

#### **Non Attorney Survey**

1d. How would you grade Judge Smith on "Having a sense of compassion and human understanding for those who appear before the judge?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	39%	62%	66%
В	30%	20%	18%
C	8%	7%	6%
D	10%	4%	3%
Fail	11%	5%	5%
No Grade	1%	2%	2%
Average	2.8	3.3	3.4
Letter Grade	В-	В	B+

## 2a. How would you grade Judge Smith on "Giving participants an opportunity to be heard?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	51%	68%	71%
В	23%	17%	16%
C	6%	6%	5%
D	10%	3%	3%
Fail	8%	4%	3%
No Grade	2%	2%	2%
Average	3.0	3.5	3.5
Letter Grade	В	B+	B+

### 2b. How would you grade Judge Smith on "Treating those involved in the case without bias?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	51%	66%	69%
В	22%	17%	16%
C	10%	6%	5%
D	6%	4%	3%
Fail	9%	5%	4%
No Grade	3%	3%	3%
Average	3.0	3.4	3.5
Letter Grade	В	B+	B+

## 2c. How would you grade Judge Smith on "Treating fairly people who represent themselves?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	36%	51%	49%
В	17%	13%	11%
C	8%	5%	4%
D	7%	3%	2%
Fail	8%	4%	4%
No Grade	24%	25%	30%
Average	2.9	3.4	3.4
Letter Grade	B-	B+	B+

# 2d. How would you grade Judge Smith on "Giving each side enough time to present his or her case?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	50%	65%	68%
В	20%	17%	16%
C	9%	6%	5%
D	6%	3%	2%
Fail	9%	4%	3%
No Grade	6%	6%	5%
Average	3.0	3.5	3.5
Letter Grade	В	B+	B+

### Robert B. Smith

#### **Non Attorney Survey**

3a. How would you grade Judge Smith on "Making sure participants understand the proceedings, and what's going on in the courtroom?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	53%	70%	73%
В	26%	18%	16%
C	9%	6%	5%
D	5%	2%	2%
Fail	6%	2%	2%
No Grade	1%	1%	1%
Average	3.2	3.5	3.6
Letter Grade	В	B+	B+

## 3b. How would you grade Judge Smith on "Using language that everyone can understand?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	51%	71%	74%
В	28%	19%	18%
C	8%	6%	5%
D	8%	2%	2%
Fail	3%	2%	1%
No Grade	2%	1%	1%
A	2.0	2.6	2.6
Average	3.2	3.6	3.6
Letter Grade	В	B+	B+

# 3c. How would you grade Judge Smith on "Speaking clearly so everyone in the courtroom can hear what's being said?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	55%	73%	75%
В	24%	17%	16%
C	13%	6%	5%
D	3%	2%	1%
Fail	4%	2%	1%
No Grade	1%	1%	1%
Average	3.2	3.6	3.6
Letter Grade	В	B+	B+

### 4a. How would you grade Judge Smith on "Beginning court on time?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	39%	52%	55%
В	26%	26%	26%
C	17%	11%	10%
D	8%	4%	3%
Fail	10%	3%	3%
No Grade	1%	4%	3%
Average	2.8	3.2	3.3
Letter Grade	В-	В	В

#### 4b. How would you grade Judge Smith on "Maintaining appropriate control over proceedings?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	52%	69%	72%
В	26%	20%	18%
C	13%	6%	5%
D	6%	2%	2%
Fail	2%	2%	1%
No Grade	1%	2%	2%
Average	3.2	3.6	3.6
Letter Grade	В	B+	B+

### 4c. How would you grade Judge Smith on "Setting reasonable schedules for cases?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	42%	56%	58%
В	23%	20%	19%
C	9%	7%	6%
D	5%	2%	2%
Fail	4%	2%	2%
No Grade	17%	13%	12%
Average	3.1	3.4	3.5
Letter Grade	В	B+	B+

## 4d. How would you grade Judge Smith on "Being prepared for [his/her] cases?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	49%	63%	67%
В	26%	19%	17%
C	9%	6%	5%
D	3%	2%	2%
Fail	8%	3%	2%
No Grade	. 6%	7%	7%
Average	3.1	3.5	3.6
Letter Grade	В	B+	B+

# 4e. How would you grade Judge Smith on "Managing court proceedings so that there is little wasted time?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	43%	59%	61%
В	24%	23%	23%
C	12%	9%	8%
D	8%	3%	3%
Fail	8%	3%	3%
No Grade	6%	3%	3%
Average	2.9	3.4	3.4
Letter Grade	B-	B+	B+

## 5a. How would you grade Judge Smith on "Giving reasons for rulings?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
A	44%	59%	62%
В	30%	19%	18%
C	13%	7%	6%
D	4%	3%	3%
Fail	6%	4%	4%
No Grade	4%	7%	7%
Average	3.1	3.4	3.4
Letter Grade	В	B+	B+

# 5b. How would you grade Judge Smith on "Willing to make decision without regard to possible outside pressure?"

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	40%	55%	58%
В	23%	16%	14%
C	13%	5%	5%
D	5%	3%	2%
Fail	7%	4%	3%
No Grade	11%	17%	17%
Average	2.9	3.4	3.5
Letter Grade	В-	B+	B+

## 5c. How would you grade Judge Smith on "Being able to identify and analyze relevant facts?"

	Judge Smith	All County Judges 2006	All Trial Judges 2006
Α	. 44%	60%	64%
В	. 27%	18%	16%
C	. 8%	6%	5%
D	. 8%	3%	3%
Fail	. 8%	5%	4%
No Grade	. 5%	8%	8%
A	2.9	3.4	3.4
Average	. Z. <del>S</del>	3.4	3.4
Letter Grade	B-	B+	B+

## 6. How biased do you think Judge Smith is towards the defense or the prosecution?

		Judge Smith	All County Judges 2006	All Trial Judges 2006
	5	3%	3%	2%
Toward Prosecution K	4	4%	2%	1%
secut	3	2%	3%	2%
d Pro	2	2%	3%	3%
owar	1	2%	6%	5%
_	0 Neutral	71%	75%	78%
se	-1	3%	3%	3%
<b>Toward Defense</b>	-2	7%	2%	2%
ward	-3		1%	1%
e B	-4	3%	1%	1%
IJ	-5	3%	2%	2%
	Average		0.2	0.1

## 7. How lenient or harsh do you think the sentences handed down by Judge Smith are?

		Judge Smith	All County Judges 2006	All Trial Judges 2006
يم_	5	4%	4%	3%
Harsh <b>Ā</b>	4	5%	2%	2%
Hai	3	1%	2%	2%
	2	1%	3%	3%
	1	2%	4%	4%
	0 Appropriate	52%	69%	71%
	-1	8%	5%	5%
	-2	12%	4%	4%
<u>t</u>	-3	7%	2%	2%
<b>S</b> Light	-4	5%	1%	1%
IJ	-5	2%	2%	2%
	Average	-0.3	0.1	0.1

### 12. Do you recommend that Judge Smith be retained in office or not be retained in office?

_	Judge Smith	All County Judges 2006	All Trial Judges 2006
Strongly Recommend Retain in office	64%	79%	82%
Somewhat Recommend Retain in office	15%	10%	9%
Somewhat Recommend Not Retain in office	5%	3%	3%
Strongly Recommend Not Retain in office	16%	7%	6%



#### <u>Methodology</u>

#### Sample:

The Colorado Judicial Department provided Talmey-Drake Research & Strategy. Inc. with all the names and addresses of people from its ICON data base who in the previous 12 months had likely been in each judge's courtroom who was up for retention in November 2006. These names and addresses where then supplemented from the Colorado District Attorney's database (Blackstone system) and the databases of jurors, court employees and sheriff's security.

The data was then combined and cleaned. Where there were more than 400 potential respondents, a random sample was drawn. Included in the data cleaning was the identification of potential respondents in the sample who had appeared in more than two judges' courtrooms. Due to concerns about respondent fatigue, no more than two questionnaires were intended to be sent to any one respondent—though there were a few instances where one respondent did receive more than two questionnaires. Where a person had been in more than two judges' courtrooms, the selection criteria for which judges he or she would be sent questionnaires was generally: first, for the judge in whose courtroom the potential respondent had been in most often, and two, the judge with the smallest sample of the judges in whose courtroom the potential respondent had been in.

#### Survey:

Starting in October 2005, each person in the sample database was mailed an initial questionnaire and an introductory letter with a postage-paid return envelope. Those who did not respond to the first questionnaire were then sent a sent a second questionnaire and letter, and in some cases reminder postcards. During this process, the sample was augmented for judges with a particularly low number of completed questionnaires.

**Questionnaire**: The questionnaire used in the 2006 Judicial Performance Survey asked respondents to use a grade of A, B, C, D, or F (Fail) to assess the judge's performance in five different areas. For attorney these areas were Case Management, Application and Knowledge of Law, Communications, Demeanor and Diligence, and for non-attorney respondents the five were Demeanor, Fairness, Communications, Diligence and Application of Law. These scores were then converted to a numerical value where A = 4, B = 3, C =2, D = 1 and Fail = 0. Copies of the questionnaires are included at the end of this report.

#### Response:

The response rate is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses & refusals, undeliverables and other responses. The table presents the overall response rate as well as the response rate by the different types of respondents.

Attorney Respondents	Total Sent	Completed	Refused/ Nonre- sponse	Unde- livered	<u>Other</u>	Response <u>Rate</u>
District Attorney	684	402	223	56	3	64.3%
Attorney For Defendant	5,159	2,760	1895	490	14	59.3%
Attorney for Plaintiff	1,925	1,023	689	206	7	59.8%
Unknown Attorney Type	963	436	418	107	2	51.1%
Attorney Total	8,731	4,621	3225	859	26	58.7%

Non-Attny Respondents	Total Sent	Completed	Refused/ Nonre- sponse	Unde- livered	<u>Other</u>	Response Rate
Law Enforcement	5,659	1,161	3,276	1,195	27	26.2%
Employee	1,307	600	489	205	13	55.1%
Defendant	31,391	3,658	17,494	10,124	115	17.3%
Plaintiff	7,688	1,449	4,699	1,506	34	23.6%
Juror	16,267	9,482	5,961	795	29	61.4%
Other	4,061	401	2,342	1,281	37	14.6%
Parole Officers	599	323	136	125	15	70.4%
Non-attorney Total	66,972	17,074	34,397	15,231	270	33.0%
Total	75,703	21,695	37,622	16,090	296	36.4%

A similar table showing the response count and cooperation rate for your survey is provided at the end of this methodology section.

#### Results:

The results of the Survey are in two main sections: Attorney Respondents and Non-attorney Respondents. Within each main section are three subsections graphs displaying average grades for each section and question, the percentage distribution of grades, and respondent comments.

**Graphs:** The graphs visually display the overall average numerical grades received for all grade questions, each section and each question compared to averages for all district or county judges, and all trial judges for which 2006 surveys were conducted. Questions relating to bias toward the defense or prosecution, sentencing and retention recommendation are also graphed.

**Percentage Distribution of Grades:** This section shows the percentage of each grade received on each question plus the bias, sentencing and retention questions. An average grade point for each graded question is computed and shown in the results section. A letter grade is also assigned to each grade point according to the following scale.

This section also includes two comparative columns of results: one comparative column shows the results for that question for all county or district judges (as appropriate) surveyed in 2006, along with a second comparative column showing the results for all trial judges in the 2006 survey.

**Comments:** Each respondent was encouraged to comment on the judge's performance. This section contains those comments.

### Sampling Error:

All sample surveys are subject to what is known as sampling error—the extent to which the results of the sample survey may differ from what would be obtained if the entire population being surveyed had been interviewed. The size of the sampling error is almost entirely due to the number of people interviewed for the survey and the variance of responses.

The table below shows the extent of sampling error (95%-confidence interval) for various sizes of random samples and sample percentage results.

		Sample Size										
	<u>25</u>	<u>50</u>	<u>100</u>	<u>150</u>	<u>200</u>	<u>300</u>						
Percentages near 10% or 90%	± 11.8%	± 8.3%	± 5.9%	± 4.8%	± 4.2%	± 3.4%						
Percentages near 20% or 80%	± 15.7%	± 11.1%	± 7.8%	± 6.4%	± 5.5%	± 4.5%						
Percentages near 30% or 70%	± 18.0%	± 12.7%	± 9.0%	± 7.3%	$\pm$ 6.4%	± 5.2%						
Percentages near 40% or 60%	± 19.2%	± 13.6%	± 9.6%	± 7.8%	± 6.8%	± 5.5%						
Percentages near 50%	$\pm$ 19.6%	± 13.9%	± 9.8%	± 8.0%	$\pm$ 6.9%	± 5.7%						

For example, suppose a reported percentage is 80% in a sample size of 150, then one could expect that 95% of the time that a random sample of the same size and collected the same way would include the true population percentage within the range of 80% plus or minus 6.4%.

Averages such as grade points also have a 95% confidence interval, however it is not so easily displayed in a table as confidence intervals about a percentage. Suffice it to say that the confidence interval about a score generally increases with smaller sample size.

### **Robert B. Smith**

#### **Responses by Type**

	Total				Other Non-	Coop
Role Type	Sent	Completes	Refusals	Undeliverable	Responses	Rate
District Attorney	3	2	1	0	0	66.7%
Attorney For Defendant	16	5	9	2	0	35.7%
Attorney for Plaintiff	7	3	3	1	0	50.0%
Unknown/Other Attorney Type	3	2	0	1	0	100.0%
Sub Total:	29	12	13	4	0	48.0%
Defendant	198	29	113	56	0	20.4%
Defendant	198	29	113	56	0	20.4%
Plaintiff	74	26	43	5	0	37.7%
Law Enforcement	58	26	26	6	0	50.0%
Employee	13	10	1	2	0	90.9%
Juror	72	44	27	1	0	62.0%
Other	36	7	16	13	0	30.4%
Parole Officers	3	3	0	0	0	100.0%
Sub Total:	454	145	226	83	0	39.1%
		<del>,</del>	<del>,</del>			
Grand Total:	483	157	239	87	0	39.6%

-	
S	Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge [Name] be retained in office, or no retained in office?
Į	Strongly recommend he be retained in office
	And why do you think that way about retaining or not retaining Jucanne?

Thank you for your time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

#### **Commission on Judicial Performance**

#### **Attorney Questionnaire**

### Evaluation of [Name of Judge]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don not have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NS).

	1						DK
<u>1.</u>	Case Management:	<u>A</u>	В	С	D	F	N/A
a.	Promptly making rulings during trial.	4	3	2	1	0	9
b.	Beginning court on time.	4	3	2	1	0	9
c.	Allotting appropriate amount of time for cases.	4	3	2	1	0	9
d.	Keeping cases moving according to schedule.	4	3	2	1	0	9
e.	[Civil only] <sup>1</sup> Assisting parties to reach agreement.	4	3	2	1	0	9
f.	Maintaining appropriate control over proceedings.	4	3	2	1	0	9
g.	Promptly ruling on pre-trial motions.	4	3	2	1	0	9
h.	Promptly issuing a decision on the case after trial.	4	3	2	1	0	9
i.	Setting reasonable schedules for cases.	4	3	2	1	0	9
							DK
2.	Application and Knowledge of Law:	Α	В	С	D	F	N/A
a.	Basing decisions on evidence and arguments.	4	3	2	1	0	9
b.	Giving reasons for rulings.	4	3	2	1	0	9
c.	[Civil only] <sup>1</sup> Issuing written findings of fact and law.	4	3	2	1	0	9
d.	Willing to reconsider error in fact or law.	4	3	2	1	0	9
e.	Having knowledge of relevant substantive law.	4	3	2	1	0	9
f.	Having knowledge of rules of procedure						
	and evidence.	4	3	2	1	0	9
g.	Being able to identify and analyze relevant facts.	4	3	2	1	0	9
h.	[Criminal only] <sup>2</sup> Issuing consistent sentences when						
	the circumstances are similar.	4	3	2	1	0	9
i.	Willing to make decisions without regard						
	to possible criticism.	4	3	2	1	0	9
j.	Making decisions without fear of being appealed.	4	3	2	1	0	9

<sup>&</sup>lt;sup>1</sup> Please answer questions 1e & 2c only if you have observed the judge in a civil case.

<sup>&</sup>lt;sup>2</sup> Please answer question 2h only if you have observed the judge in a criminal case.

3. a. b.	<u>Communications:</u> Speaking clearly. Makings sure all participants understand	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>F</b>	<b>DK N/A</b> 9	6.	6. [Criminal cases only] <sup>1</sup> On the scale below, please indicate by circling the appropriate number how biased you think Judge [Name] is toward the defense or the prosecution. If you feel Judge [Name] is completely unbiased, circle "0."											
	the proceedings.	4	3	2	1	0	9			toward			C	omplete Neutral				Bias toward Prosecution		
c.	Using understandable language.	4	3	2	1	0	9		Defense -					Neutrai			Prosecution			
									5	4	3	2	1	0	1	2	3	4	5	
4.	Demeanor:	Α	В	С	D	F	DK N/A	7.										ircling t		
a.	Giving proceedings a sense of dignity.	4	3	2	1	0	9													
b.	Treating everyone involved politely.	4	3	2	1	0	9									circle "(	feel Judge <i>[Name]</i> 0.''			
c.	Having empathy with the parties involved.	4	3	2	1	0	9		_	ences		11	•	ppropri				Sentences		
d.	Treating participants with respect.	4	3	2	1	0	9		Too I					Sentenc				Too Harsh		
e.	Presenting a neutral presence on the bench.	4	3	2	1	0	9		<b>←</b> 5	4	3				1		3	4	<b>→</b> 5	
f.	Being consistent in [his/her] behavior	4	3	2	1	0	9		5	4	3	2	1	0	1	2	3	4	5	
g.	Conducting [his/her] courtroom in a neutral manner.	4	3	2	1	0	9	8.			iate we at woul			) Judge	: [Nam	e] in a	case an	d asked	you for	
h.	Giving all participants an opportunity to be heard.	4	3	2	1	0	9		auvi	ce, wiii	at wou	u you :	say:							
i.	Consistently applying laws and rules.	4	3	2	1	0	9													
j.	Not engaging in ex parte communications	4	3	2	1	0	9													
k.	Treating pro se parties fairly.	4	3	2	1	0	9													
							DK													
<u>5.</u>	Diligence:	<u>A</u>	В	С	D	F	N/A	9.	Wha	t would	d you sa	ıy are J	udge [	Vame]'s	streng	gths?				
a.	Making tough decisions when necessary.	4	3	2	1	0	9													
b.	Using good judgment in application of relevant law and rules.	4	3	2	1	0	9													
c.	Doing the necessary "homework" and being prepared for [his/her] cases.	4	3	2	1	0	9													
d.	Being willing to handle cases on the docket even when they are complicated and time consuming.	4	3	2	1	0	9	10.	And	what v	vould y	ou say a	are Jud	ge [Na	me]'s w	veaknes	ses?			
e.	Providing prompt access to the court	•	~	_	-	~	-													
	in emergency matters.	4	3	2	1	0	9													

#### [Continued on Back Page]

<sup>&</sup>lt;sup>1</sup> Please answer questions 6 & 7 only if you have observed the judge in a criminal case.

Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge [Name] be retained in office, or not retained in office?											
Strongly recommend [he/she] be retained in office	5 4 3 2 1										
And why do you think that way about retaining or not retaining Judge [Name]?	- - - -										
	Strongly recommend [he/she] be retained in office										

Thank you for you time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

#### **Commission on Judicial Performance**

### Evaluation of [Name of Judge]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

1. Demeanor:	Α	В	С	D	F	DK N/A
a. Giving court proceedings a sense of dignity.	4	3	2	1	0	9
b. Treating participants in the case politely and with respect.	4	3	2	1	0	9
c. Conducting [his/her] courtroom in a neutral manner.	4	3	2	1	0	9
d. Having a sense of compassion and human understanding for those who appear before [him/her].	4	3	2	1	0	9
2. Fairness:	Α	В	С	D	F	DK N/A
a. Giving participants an opportunity to be heard.	4	3	2	1	0	9
b. Treating those involved in the case without bias.	4	3	2	1	0	9
<ul><li>c. Treating fairly people who represent themselves.</li><li>d. Giving each side enough time to present his</li></ul>	4	3	2	1	0	9
or her case.	4	3	2	1	0	9
3. Communications:	Α	В	С	D	F	DK N/A
Makings sure participants understand the proceedings, and what's going on in the					•	1471
courtroom.	4	3	2	1	0	9
<ul><li>b. Using language that everyone can understand.</li><li>c. Speaking clearly so everyone in the courtroom</li></ul>	4	3	2	1	0	9
can hear what's being said.	4	3	2	1	0	9

4	Diligence:						Δ	R	С	D	F	DK N/A	8.	If a friend of yours was scheduled to appear before Judge [Name] as a party in either a civil or criminal case and asked you for advice, what
a.	Beginnin		t on tin	ne.			4	3	2	1	0	9		would you tell your friend about Judge /Name/?
b.	Maintain	0			rol over		•			_		ŕ		would you tell your mend about judge [1 vame].
٥.	procee		proprin		1010,01		4	3	2	1	0	9		
c.	Setting r		ble sch	edules	for cases		4	3	2	1	0	9		
d.	Being pr						4 3	2	1	0	9			
e.	Managin		_			nere is								
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													9.	What would you say are Judge [Name]'s strengths?
_	A 11 .1							_	_	_	_	DK		
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c.	Denig ab	ne to id	ienury a	and am	aryze reie	vani naci	.S. 4	3	2	1	U	9		
													10.	And what would you say are Judge [Name]'s weaknesses?
6.	[]fx	zou we	re in I	ndae l	Name]'s	s courtr	oom d	nrin	or a	crim	ninal	l case		
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	num	ber hov	w biased	d you th	nink Judg	e <i>[Nam</i>	<i>e]</i> is tov	ward	the	defe	nse c	or the		
	pros	ecution	ı. If you	u feel Ju	ıdge <i>[Na</i>	<i>meJ</i> is c	omplete	ely u	nbias	sed,	circle	e "0."		
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	Defe	nse			Ne	utral 				Pro	oseci	ution		
	5	4	3	2	1	0 1	2	2	3		4	5	11.	Is there anything else that you would like to say about Judge [Name]?
7.	Πfτ	zou wa	ro in I	udae I	Name]'s	e courtr	oom d	in	$\alpha a$	crim	inal	l case	_	
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