

Commission on Judicial Performance

The Honorable Larry E. Schwartz

2006 Judicial Performance Survey 4th Judicial District



April 26, 2006

The Honorable Larry E. Schwartz P.O. Box 2980 Colorado Springs, CO 80901

Dear Judge Schwartz:

Every two years the Colorado Judicial Performance Commission conducts a survey of people who have been in the courtroom of judges who face a retention vote in the next general election. The 2006 survey was conducted by Talmey-Drake Research & Strategy, Inc., who attempted to contact over 75,000 people who had been in these courtrooms in one capacity or another. Over 21,000 people responded—4,600 to the attorney survey and 17,000 to the non-attorney survey.

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research &√strategy.

This report contains the results of those who were in your courtroom and who responded to the survey. In addition to this introduction, the report is divided into four main sections:

- Attorney Results & Comments: This section contains graphs displaying the average grade you received overall (the combined average from questions 1a through 5e), each section and each question. Following the graphs, are a series of tables showing the percentage distribution of responses to each question. Attorney respondents were also asked to comment about your performance. These comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the attorney questionnaire is at the back of this report.
- Non-attorney Results & Comments: Similar to the Attorney section, this portion of the report contains graphs (again including the overall average of questions 1a through 5c on the non-attorney questionnaire), the percentage distribution of responses by non-attorneys to each substantive question in the survey. The non-attorney respondents were also asked to comment about your performance. Again, these comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the non-attorney questionnaire is also at the back of this report.
- **Methodology:** The third section of the report discusses the methodology of the survey.
- **Questionnaires:** And the final section provides copies of the questionnaires that were used.



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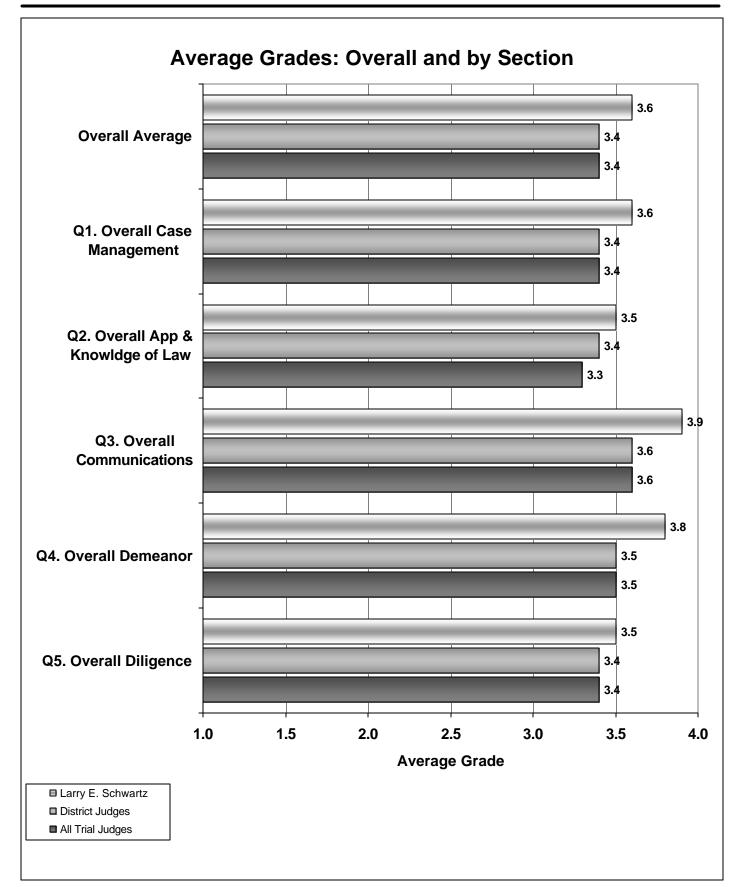
If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 303-443-5300, and for any other questions you might have about the survey please call the Director of the Colorado Judicial Performance Program, Jane Howell, at 303-837-3665.

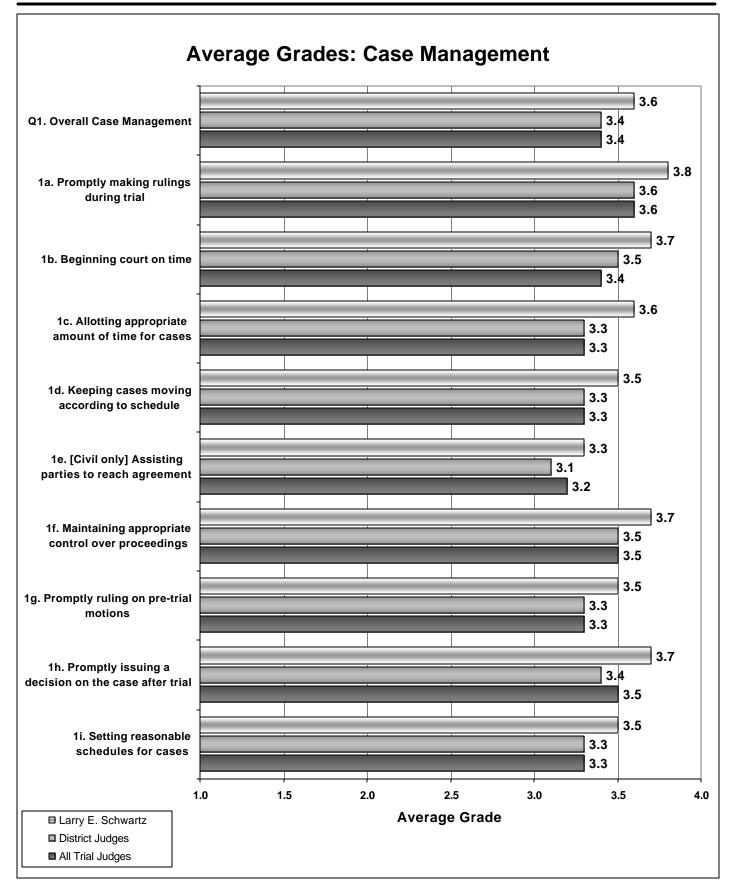
Best regards,

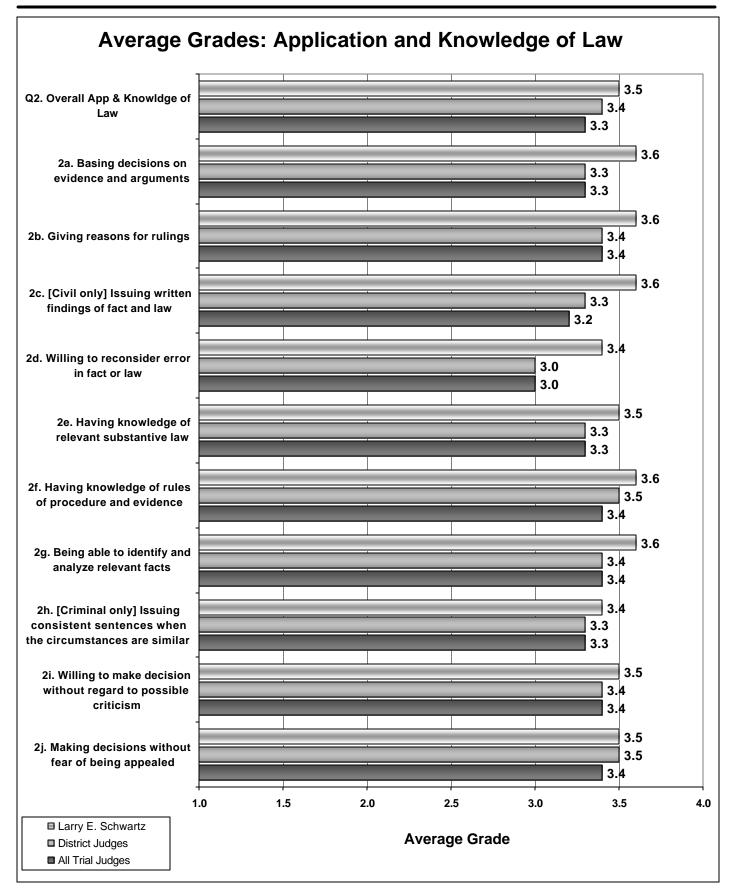
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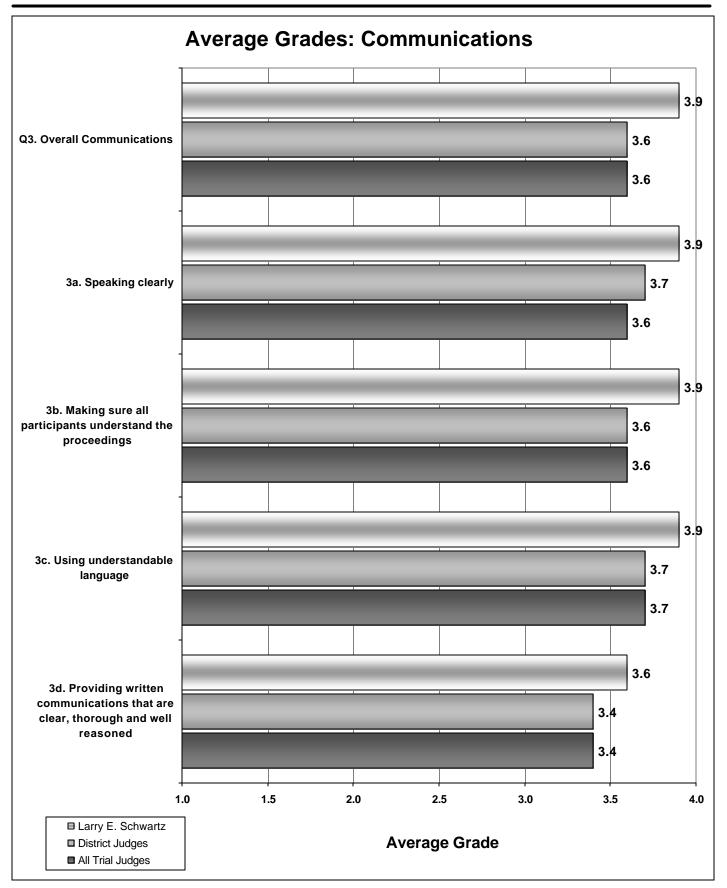
Paul A. Talmey President

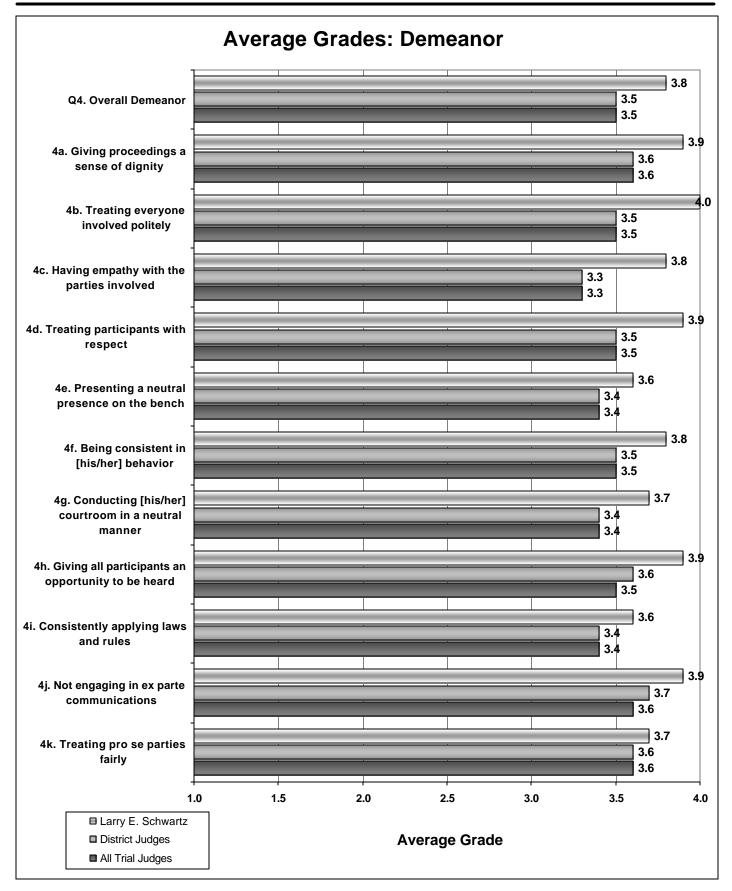
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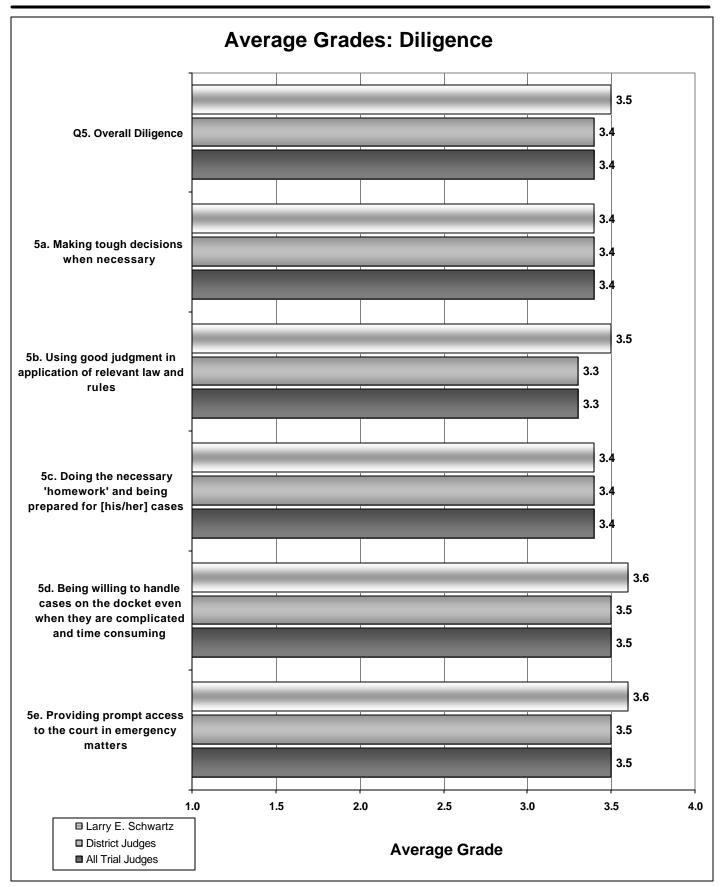


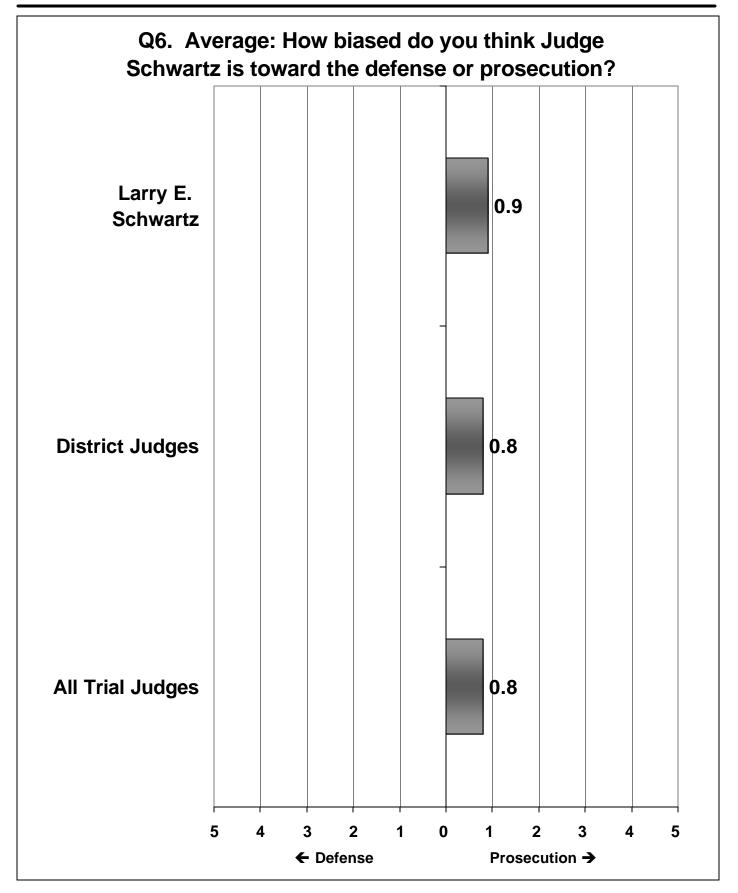


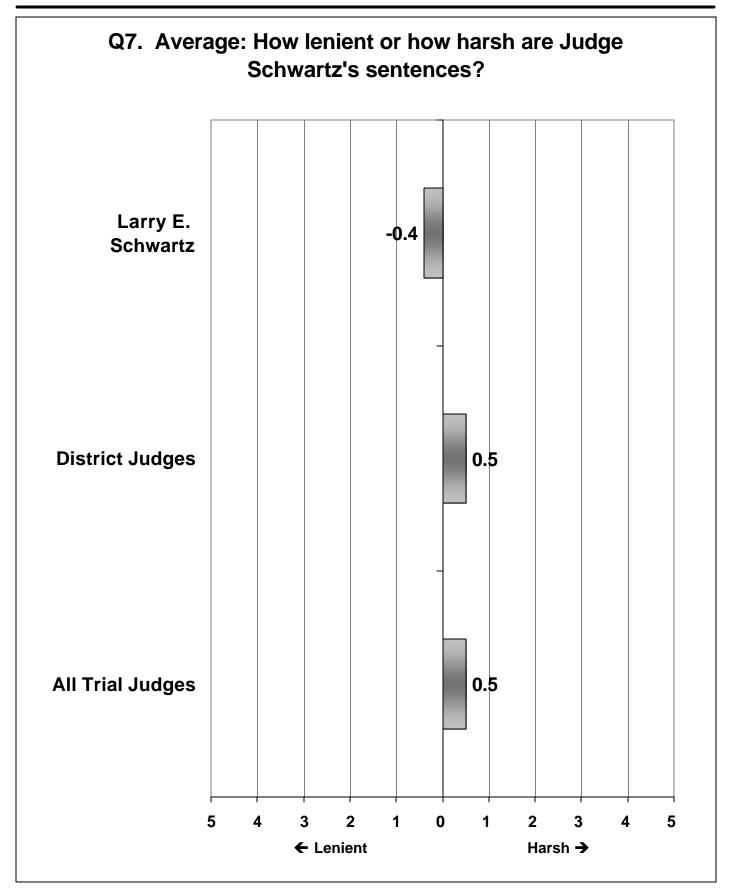


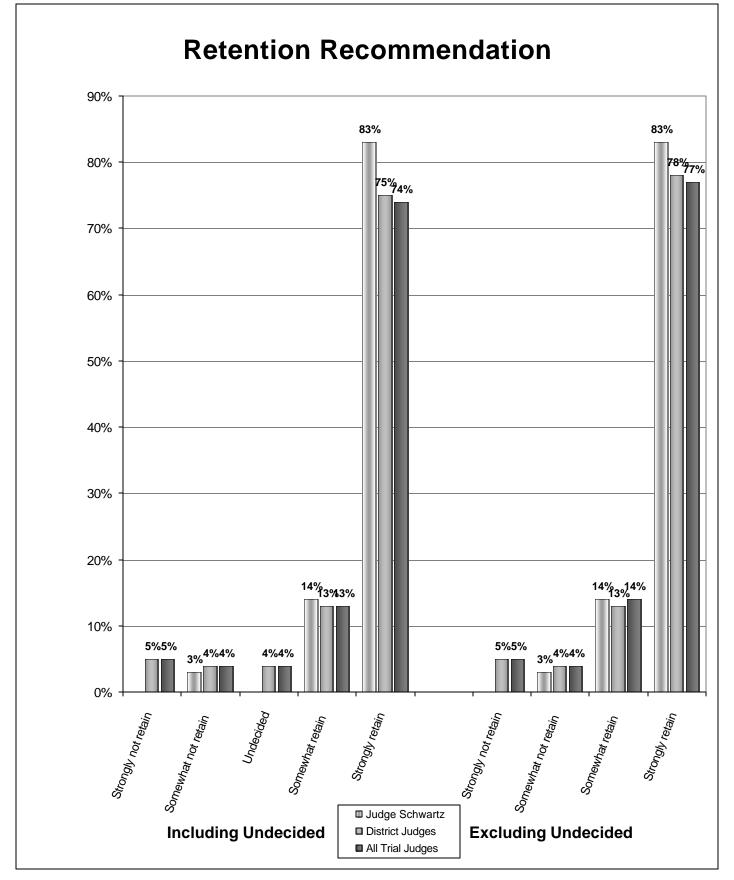












1a. How would you grade Judge Schwartz on "Promptly making rulings during trial?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A		59%	56%
В	13%	20%	21%
с	3%	5%	5%
D		1%	1%
Fail		1%	1%
No Grade	3%	14%	17%
Average	3.8	3.6	3.6
		р.	
Letter Grade	A-	B+	B+

1b. How would you grade Judge Schwartz on "Beginning court on time?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	70%	61%	56%
В	20%	26%	28%
C	7%	7%	9%
D		2%	3%
Fail		1%	2%
No Grade	3%	3%	2%
Average	3.7	3.5	3.4
Letter Grade	A-	B+	B+

1c. How would you grade Judge Schwartz on "Allotting appropriate amount of time for cases?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	63%	51%	51%
В	30%	28%	29%
C	7%	12%	11%
D		4%	3%
Fail	•	2%	2%
No Grade		4%	4%
Average	3.6	3.3	3.3
Letter Grade	B+	В	В

1d. How would you grade Judge Schwartz on "Keeping cases moving according to schedule?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	60%	51%	53%
В	33%	30%	31%
С	7%	9%	9%
D		3%	3%
Fail		2%	2%
No Grade		4%	3%
Average	3.5	3.3	3.3
Letter Grade	В+	В	В

1e. How would you grade Judge Schwartz on "[Civil only] Assisting parties to reach agreement?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	42%	27%	27%
В	38%	20%	18%
С	4%	9%	7%
D	4%	3%	2%
Fail		2%	1%
No Grade	12%	40%	43%
Average	3.3	3.1	3.2
Letter Grade	В	В	В

1f. How would you grade Judge Schwartz on "Maintaining appropriate control over proceedings?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	73%	65%	63%
В	20%	23%	25%
C	7%	7%	7%
D		3%	2%
Fail		1%	1%
No Grade		2%	2%
Average	3.7	3.5	3.5
,			
Letter Grade	A-	B+	B+

1g. How would you grade Judge Schwartz on "Promptly ruling on pre-trial motions?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	53%	46%	44%
В	40%	26%	25%
С	7%	10%	8%
D		3%	2%
Fail		2%	1%
No Grade		13%	19%
Average	3.5	3.3	3.3
Letter Grade	В+	В	В

1h. How would you grade Judge Schwartz on "Promptly issuing a decision on the case after trial?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	60%	48%	48%
В	23%	21%	21%
C	3%	6%	5%
D		2%	2%
Fail		1%	1%
No Grade	13%	21%	24%
Average	3.7	3.4	3.5
Letter Grade	A-	B+	B+

1i. How would you grade Judge Schwartz on "Setting reasonable schedules for cases?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	57%	49%	50%
В	37%	30%	30%
C	7%	11%	9%
D		4%	3%
Fail		2%	1%
No Grade		5%	6%
Average	3.5	3.3	3.3
Average	0.0	0.0	0.0
Letter Grade	B+	В	В

2a. How would you grade Judge Schwartz on "Basing decisions on evidence and arguments?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	60%	56%	53%
В	33%	25%	27%
с		9%	9%
D	3%	4%	4%
Fail		2%	3%
No Grade	3%	3%	4%
Average	3.6	3.3	3.3
Letter Grade		В	В

2b. How would you grade Judge Schwartz on "Giving reasons for rulings?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	63%	60%	57%
В	30%	24%	25%
C	3%	8%	9%
D		3%	4%
Fail		1%	2%
No Grade		3%	4%
Average	3.6	3.4	3.4
Letter Grade	B+	B+	B+

2c. How would you grade Judge Schwartz on "[Civil only] Issuing written findings of fact and law?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
- A	46%	37%	28%
В	27%	17%	15%
с	4%	6%	6%
D		3%	2%
Fail		1%	2%
No Grade	23%	35%	48%
Average	3.6	3.3	3.2
Letter Grade	В+	В	В

2d. How would you grade Judge Schwartz on "Willing to reconsider error in fact or law?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	37%	28%	29%
В	30%	21%	20%
с	3%	10%	9%
D	3%	5%	4%
Fail		4%	4%
No Grade	27%	33%	33%
	0.4		
Average	3.4	3.0	3.0
Letter Grade	B+	В	В

2e. How would you grade Judge Schwartz on "Having knowledge of relevant substantive law?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	57%	55%	52%
В	40%	28%	30%
с		10%	9%
D		4%	4%
Fail		2%	2%
No Grade		2%	3%
Average	3.5	3.3	3.3
Average		0.0	0.0
Letter Grade	В+	В	В

2f. How would you grade Judge Schwartz on "Having knowledge of rules of procedure and evidence?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	70%	61%	58%
Β	23%	26%	27%
С	7%	7%	8%
D		2%	3%
Fail		1%	2%
No Grade		2%	3%
Average	3.6	3.5	3.4
Letter Grade	В+	B+	B+

2g. How would you grade Judge Schwartz on "Being able to identify and analyze relevant facts?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	67%	61%	57%
В	30%	23%	27%
C		8%	8%
D		4%	3%
Fail		2%	2%
No Grade		3%	3%
Average	3.6	3.4	3.4
Average	3.0	3.4	3.4
Letter Grade	B+	B+	B+

2h. How would you grade Judge Schwartz on "[Criminal only] Issuing consistent sentences when the circumstances are similar?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	32%	26%	33%
В	27%	16%	20%
С	5%	6%	6%
D	•••	2%	2%
Fail		1%	2%
No Grade	36%	49%	37%
Average	3.4	3.3	3.3
Letter Grade		В	В

2i. How would you grade Judge Schwartz on "Willing to make decision without regard to possible criticism?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	53%	55%	53%
Β	30%	21%	22%
С	3%	8%	8%
D	3%	3%	3%
Fail		2%	2%
No Grade	10%	12%	12%
Average	3.5	3.4	3.4
Letter Grade	B+	B+	B+

2j. How would you grade Judge Schwartz on "Making decisions without fear of being appealed?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	50%	57%	54%
В	33%	20%	22%
С	7%	6%	7%
D		2%	2%
Fail		1%	2%
No Grade	10%	13%	14%
Average	3.5	3.5	3.4
Letter Grade	В+	B+	B+

3a. How would you grade Judge Schwartz on "Speaking clearly?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	86%	75%	73%
В	14%	19%	20%
С		4%	4%
D		1%	1%
Fail			1%
No Grade		1%	1%
Average	3.9	3.7	3.6
Letter Grade	A-	A-	B+

3b. How would you grade Judge Schwartz on "Making sure all participants understand the proceedings?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	90%	72%	71%
В	10%	20%	20%
C		5%	5%
D		2%	2%
Fail		1%	1%
No Grade		2%	1%
Average	3.9	3.6	3.6
		0.0	0.0
Letter Grade	A-	B+	B+

3c. How would you grade Judge Schwartz on "Using understandable language?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	93%	75%	74%
В	7%	20%	20%
C		3%	4%
D		1%	1%
Fail			
No Grade		1%	1%
Average	3.9	3.7	3.7
Letter Grade	A-	A-	A-

3d. How would you grade Judge Schwartz on "Providing written communications that are clear, thorough and well reasoned?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	63%	55%	55%
В	19%	23%	23%
C	6%	6%	6%
D		3%	3%
Fail		1%	1%
No Grade	13%	12%	12%
Average	3.6	3.4	3.4
Letter Grade	В+	B+	B+

4a. How would you grade Judge Schwartz on "Giving proceedings a sense of dignity?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	90%	73%	69%
Β	7%	18%	20%
С	3%	5%	6%
D		2%	2%
Fail		1%	2%
No Grade		1%	1%
Average	3.9	3.6	3.6
Letter Grade	A-	B+	B+

4b. How would you grade Judge Schwartz on "Treating everyone involved politely?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	97%	72%	70%
В	3%	16%	17%
C		5%	6%
D	•••	3%	3%
Fail		2%	3%
No Grade	•••	1%	1%
Average	4.0	3.5	3.5
Letter Grade	Α	B+	B+

4c. How would you grade Judge Schwartz on "Having empathy with the parties involved?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A		59%	59%
В	14%	20%	22%
с	3%	10%	9%
D		5%	5%
Fail		2%	3%
No Grade	3%	4%	3%
	3.8	3.3	3.3
Average	3.0	3.3	3.3
Letter Grade	A-	В	В

4d. How would you grade Judge Schwartz on "Treating participants with respect?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	90%	71%	69%
В	10%	16%	18%
С		6%	6%
D		3%	3%
Fail		2%	3%
No Grade		1%	1%
Average	3.9	3.5	3.5
Letter Grade	A-	B+	B+

4e. How would you grade Judge Schwartz on "Presenting a neutral presence on the bench?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	79%	66%	63%
В	7%	18%	19%
С	10%	7%	8%
D	3%	5%	5%
Fail		3%	4%
No Grade	•	1%	1%
Average	3.6	3.4	3.4
Letter Grade	В+	B+	B+

4f. How would you grade Judge Schwartz on "Being consistent in [his/her] behavior?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	76%	67%	64%
В	24%	20%	21%
С		6%	7%
D		3%	3%
Fail		2%	2%
No Grade	•••	3%	2%
Average	3.8	3.5	3.5
Letter Grade		B+	B+

4g. How would you grade Judge Schwartz on "Conducting [his/her] courtroom in a neutral manner?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	76%	65%	63%
В	21%	18%	19%
C		8%	8%
D	3%	4%	4%
Fail		3%	3%
No Grade		2%	1%
Average	3.7	3.4	3.4
Letter Grade		B+	B+

4h. How would you grade Judge Schwartz on "Giving all participants an opportunity to be heard?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	93%	71%	69%
В	7%	18%	19%
C		6%	6%
D		3%	2%
Fail		1%	2%
No Grade		1%	1%
Average	3.9	3.6	3.5
Letter Grade		B+	B+

4i. How would you grade Judge Schwartz on "Consistently applying laws and rules?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	69%	61%	59%
В	24%	21%	23%
С	3%	8%	8%
D	3%	4%	4%
Fail	· •	2%	2%
No Grade	••	5%	4%
Average	3.6	3.4	3.4
Letter Grade	В+	B+	B+

4j. How would you grade Judge Schwartz on "Not engaging in ex parte communications?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A		66%	63%
В	7%	13%	15%
C		3%	3%
D		1%	1%
Fail		1%	1%
No Grade	14%	16%	16%
Average	3.9	3.7	3.6
Average		0.7	0.0
Letter Grade	A-	A-	B+

4k. How would you grade Judge Schwartz on "Treating pro se parties fairly?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	48%	48%	52%
В	14%	11%	13%
с	3%	3%	4%
D		1%	2%
Fail		1%	1%
No Grade	34%	36%	28%
Average	3.7	3.6	3.6
Letter Grade	A-	B+	B+

5a. How would you grade Judge Schwartz on "Making tough decisions when necessary?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	55%	57%	52%
В	31%	21%	23%
С		8%	8%
D	7%	3%	3%
Fail		2%	2%
No Grade	7%	10%	12%
Average	3.4	3.4	3.4
Letter Grade	B+	B+	B+

5b. How would you grade Judge Schwartz on "Using good judgment in application of relevant law and rules?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A	59%	55%	53%
В	31%	26%	27%
с	7%	9%	9%
D		4%	4%
Fail		3%	3%
No Grade	3%	3%	4%
Average	3.5	3.3	3.3
Letter Grade	B+	В	В

Larry E. Schwartz Attorney Survey

5c. How would you grade Judge Schwartz on "Doing the necessary 'homework' and being prepared for [his/her] cases?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	52%	59%	53%
В	31%	23%	26%
C	10%	8%	9%
D		3%	3%
Fail		1%	2%
No Grade	7%	5%	7%
Average	3.4	3.4	3.4
Letter Grade	В+	B+	B+

5d. How would you grade Judge Schwartz on "Being willing to handle cases on the docket even when they are complicated and time consuming?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
A		58%	53%
В	28%	19%	21%
с	3%	7%	7%
D		2%	2%
Fail	•••	1%	1%
No Grade	17%	13%	15%
Average	3.6	3.5	3.5
Letter Grade	В+	B+	B+

Larry E. Schwartz Attorney Survey

5e. How would you grade Judge Schwartz on "Providing prompt access to the court in emergency matters?"

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Α	50%	43%	41%
В	25%	16%	16%
С	4%	5%	5%
D		2%	1%
Fail		1%	1%
No Grade	21%	33%	36%
Average	3.6	3.5	3.5
Letter Grade		B+	B+

Larry E. Schwartz Attorney Survey

6. How biased do you think Judge Schwartz is towards the defense or the prosecution?

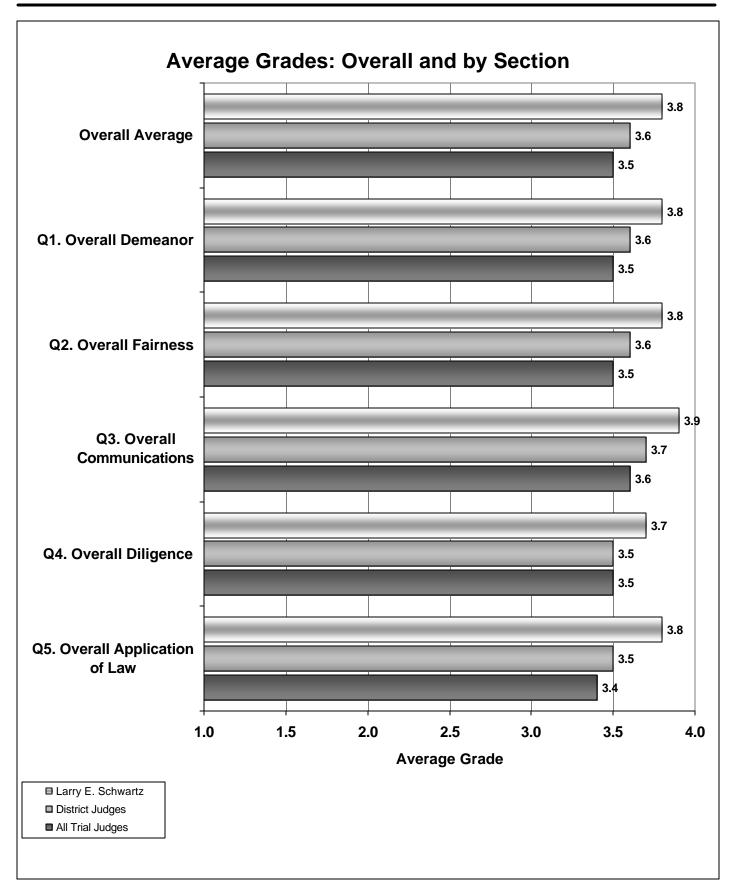
	_	Judge Schwartz	District Judges 2006	All Trial Judges 2006
_	5		3%	3%
Toward Prosecution K	4	7%	5%	5%
secuti	3		8%	7%
d Pro	2	7%	11%	11%
owar	1	7%	17%	18%
-	0 Neutral		46%	47%
se	-1		5%	4%
T oward Defense	-2		3%	2%
ward	-3		2%	2%
° R	-4		1%	1%
IJ	-5	•••		
	Average	0.9	0.8	0.8

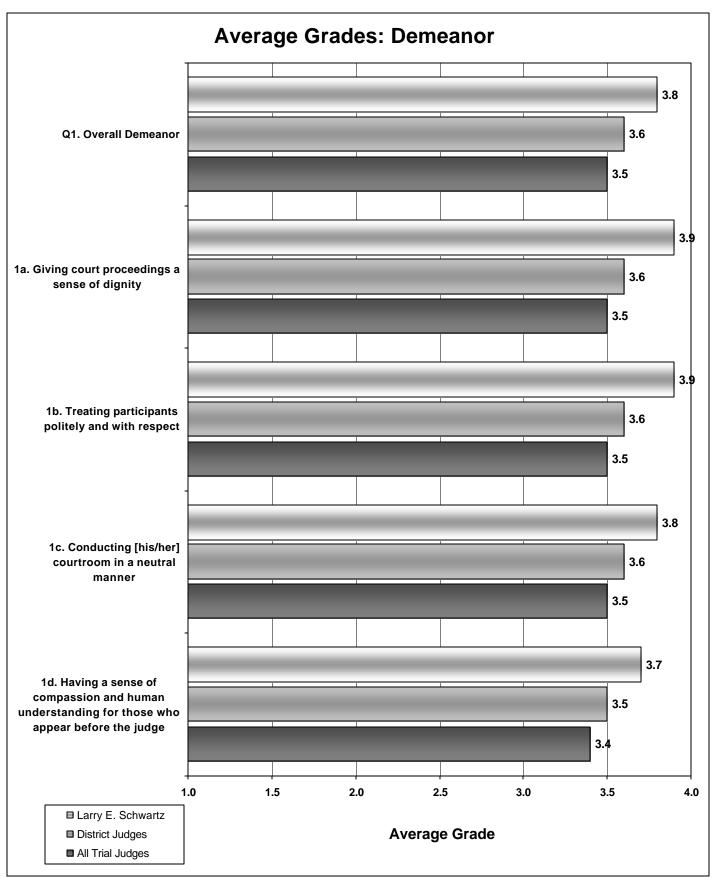
7. How lenient or harsh do you think the sentences handed down by Judge Schwartz are?

		Judge Schwartz	District Judges 2006	All Trial Judges 2006
•	5		2%	2%
Harsh 🖡	4		4%	4%
Ha	3		6%	5%
	2		9%	9%
	1	27%	16%	15%
	0 Appropriate	45%	48%	55%
	-1	9%	6%	3%
	-2	9%	4%	3%
Ħ	-3	•••	3%	2%
B Light	-4	9%	1%	1%
IJ	-5		1%	1%
	Average	-0.4	0.5	0.5

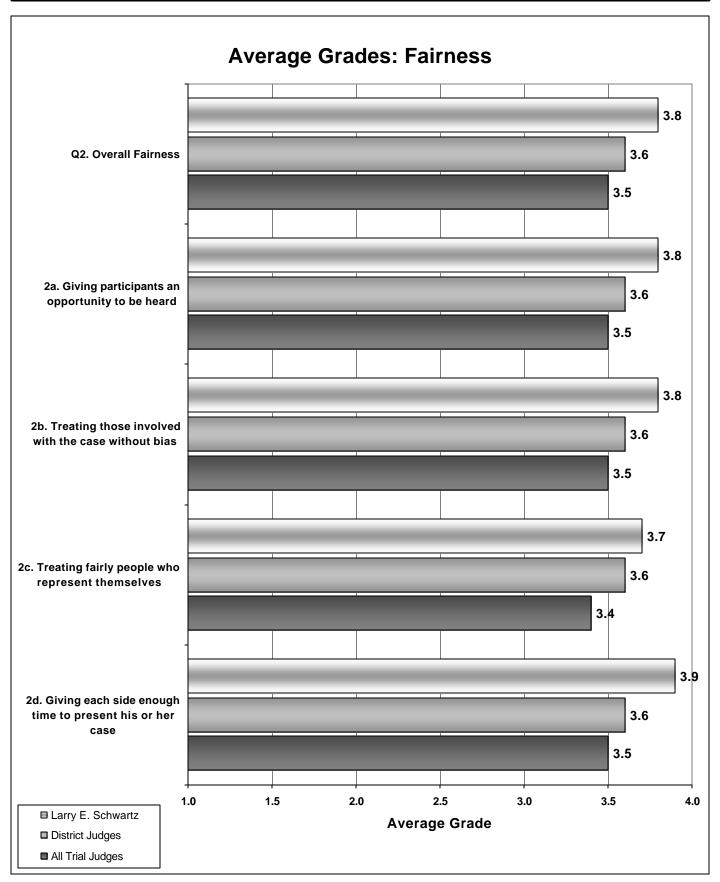
12. Do you recommend that Judge Schwartz be retained in office or not be retained in office?

	Judge Schwartz	District Judges 2006	All Trial Judges 2006
Strongly Recommend Retain in office		78%	77%
Somewhat Recommend Retain in office	14%	13%	14%
Somewhat Recommend Not Retain in office	3%	4%	4%
Strongly Recommend		5%	5%

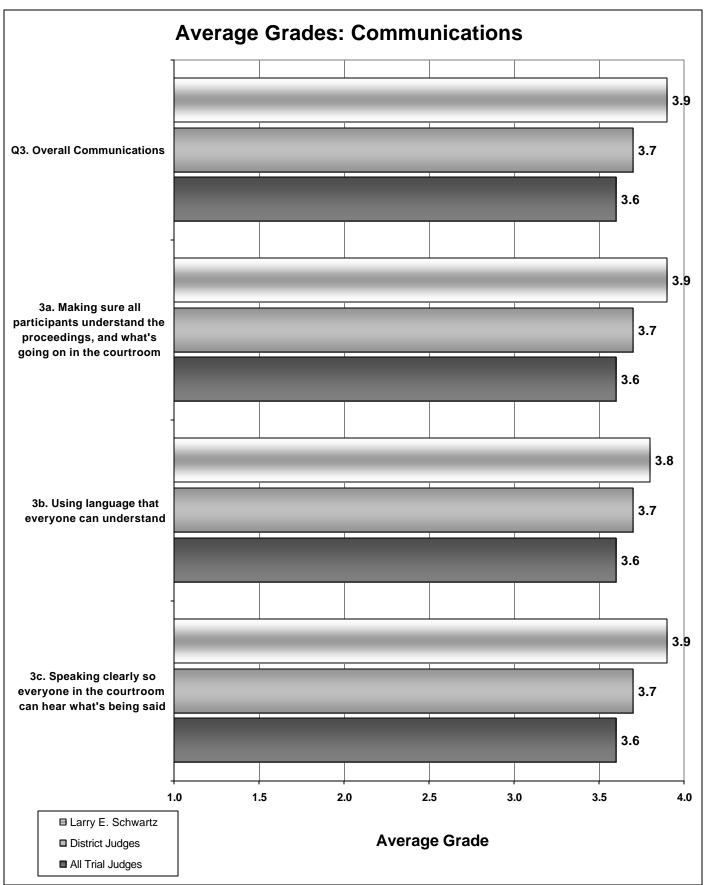


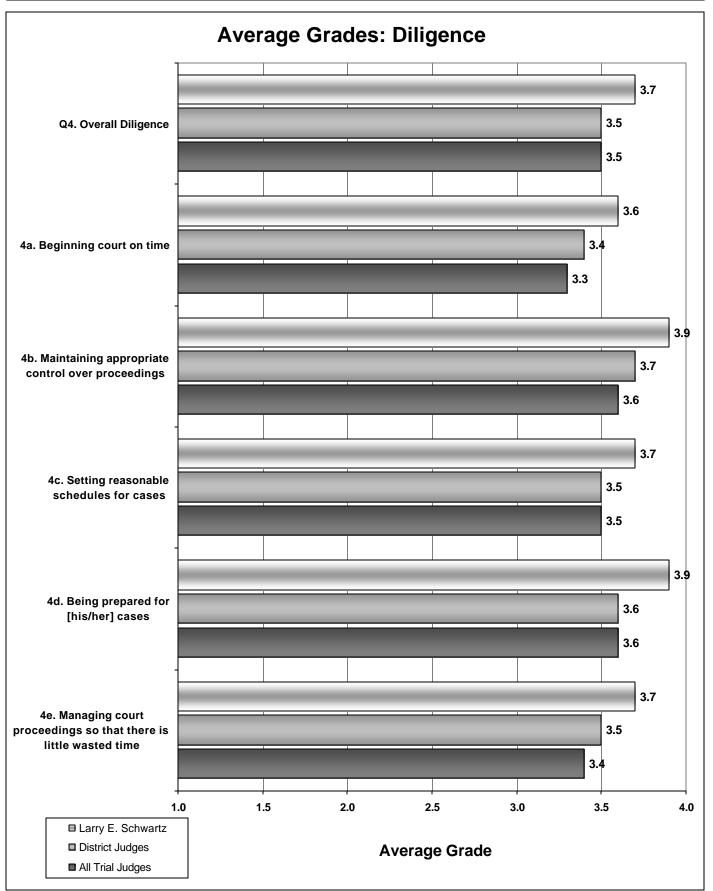


Non Attorney Survey

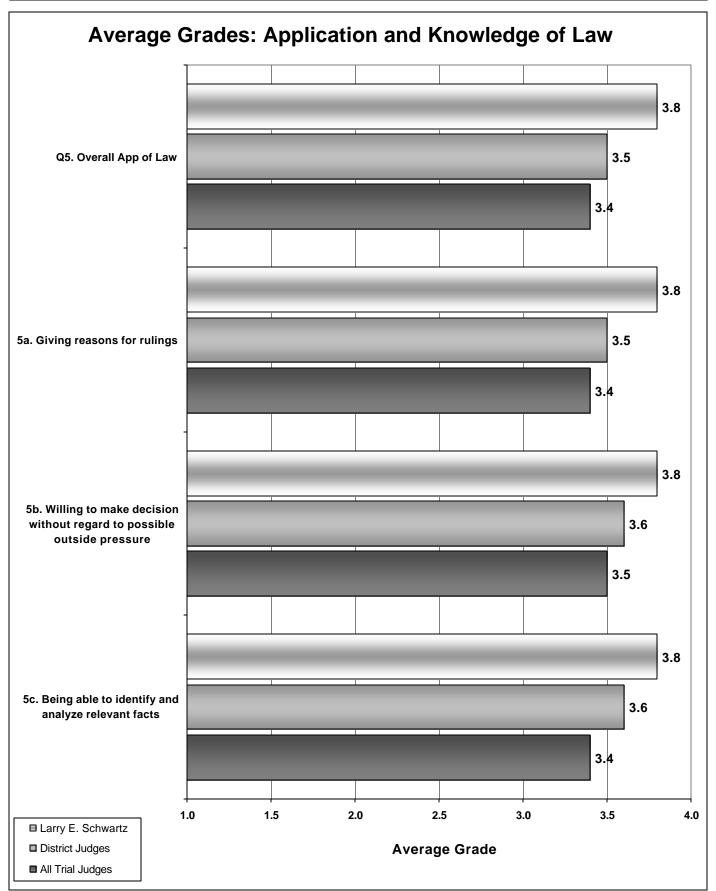


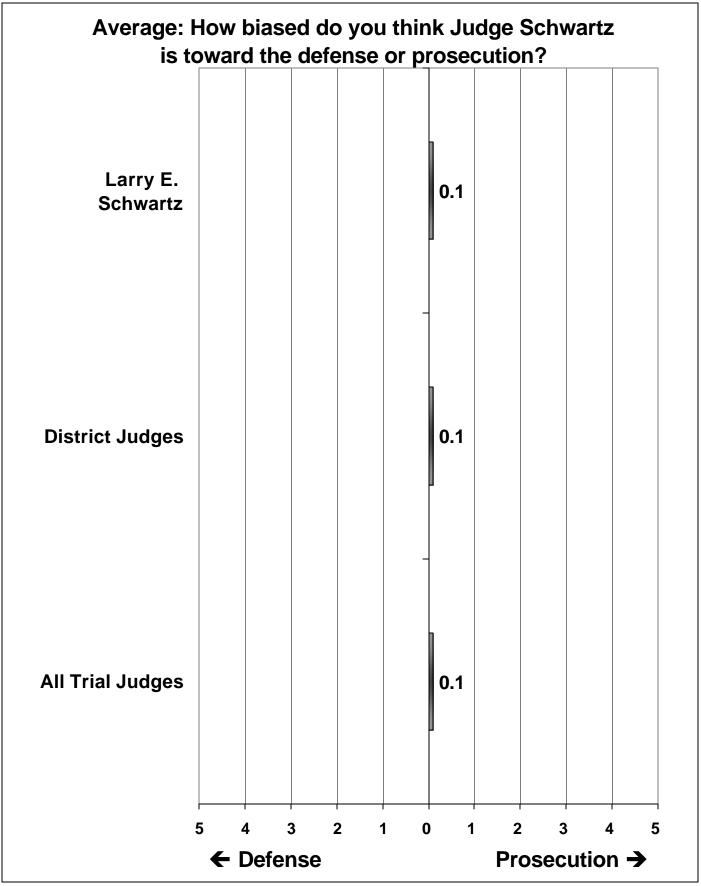
Judicial Performance Survey 2006

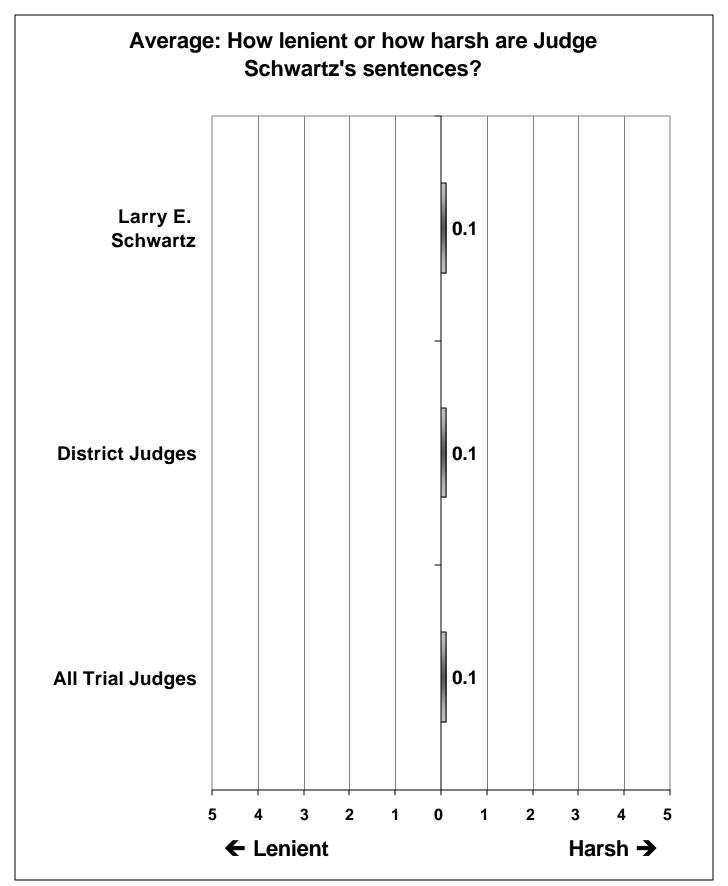


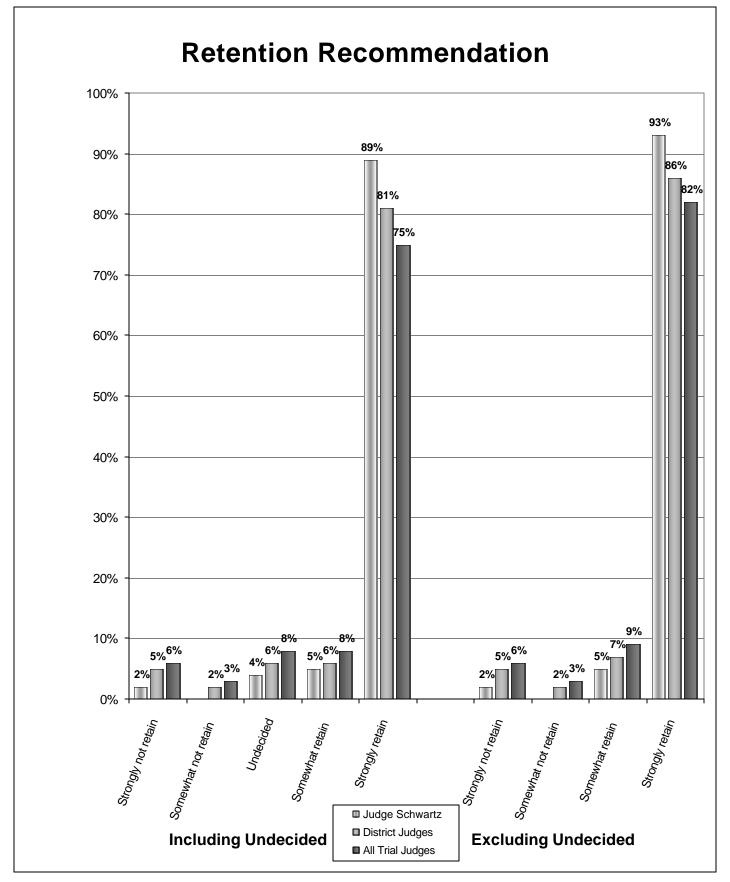


Non Attorney Survey









1a. How would you grade Judge Schwartz on "Giving proceedings a sense of dignity?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
A	87%	76%	69%
В	11%	16%	20%
С	2%	4%	6%
D		1%	2%
Fail		2%	2%
No Grade		1%	1%
A	2.0	26	25
Average	3.9	3.6	3.5
Letter Grade	A-	B+	B+

1b. How would you grade Judge Schwartz on "Treating participants politely and with respect?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	. 88%	78%	72%
В	. 11%	14%	17%
C		4%	5%
D	1%	2%	2%
Fail		2%	3%
No Grade		1%	1%
Average	3.9	3.6	3.5
Letter Grade	A-	B+	B+

1c. How would you grade Judge Schwartz on "Conducting [his/her] courtroom in a neutral manner?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	85%	76%	70%
В	12%	14%	17%
С	2%	4%	5%
D	1%	2%	3%
Fail		3%	3%
No Grade		1%	1%
Average	3.8	3.6	3.5
Letter Grade	A-	B+	B+

1d. How would you grade Judge Schwartz on "Having a sense of compassion and human understanding for those who appear before the judge?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	78%	71%	66%
В	17%	16%	18%
C	2%	4%	6%
D	1%	2%	3%
Fail	1%	4%	5%
No Grade	. 1%	2%	2%
Average	3.7	3.5	3.4
Letter Grade	A-	B+	B+

2a. How would you grade Judge Schwartz on "Giving participants an opportunity to be heard?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	84%	77%	71%
В	. 11%	13%	16%
С	4%	4%	5%
D		2%	3%
Fail		3%	3%
No Grade	. 1%	2%	2%
Average	3.8	3.6	3.5
Letter Grade	A-	B+	B+

2b. How would you grade Judge Schwartz on "Treating those involved in the case without bias?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	. 84%	75%	69%
В	11%	13%	16%
С	2%	3%	5%
D	2%	2%	3%
Fail		4%	4%
No Grade	1%	2%	3%
Average	3.8	3.6	3.5
		0.0	0.0
Letter Grade	A-	B+	B+

Larry E. Schwartz Non Attorney Survey

2c. How would you grade Judge Schwartz on "Treating fairly people who represent themselves?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	52%	47%	49%
В	8%	8%	11%
C	2%	2%	4%
D	2%	1%	2%
Fail	•••	3%	4%
No Grade	36%	38%	30%
Average	3.7	3.6	3.4
Letter Grade	A-	B+	B+

2d. How would you grade Judge Schwartz on "Giving each side enough time to present his or her case?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	83%	75%	68%
В	13%	13%	16%
С		4%	5%
D		2%	2%
Fail		3%	3%
No Grade	5%	4%	5%
	0.0		0.5
Average	3.9	3.6	3.5
Letter Grade	A-	B+	B+

3a. How would you grade Judge Schwartz on "Making sure participants understand the proceedings, and what's going on in the

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
A	91%	77%	73%
В	9%	14%	16%
С		4%	5%
D		2%	2%
Fail		1%	2%
No Grade		1%	1%
Average	3.9	3.7	3.6
Letter Grade	A-	A-	B+

3b. How would you grade Judge Schwartz on "Using language that everyone can understand?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	82%	78%	74%
В	17%	14%	18%
с	1%	4%	5%
D		1%	2%
Fail		1%	1%
No Grade		1%	1%
Average	3.8	3.7	3.6
Letter Grade	A-	A-	B+

3c. How would you grade Judge Schwartz on "Speaking clearly so everyone in the courtroom can hear what's being said?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
A		79%	75%
В	10%	14%	16%
с	1%	4%	5%
D		1%	1%
Fail		1%	1%
No Grade		1%	1%
Average	3.9	3.7	3.6
Letter Grade	A-	A-	B+

4a. How would you grade Judge Schwartz on "Beginning court on time?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	67%	59%	55%
В	26%	26%	26%
с	4%	9%	10%
D	1%	3%	3%
Fail		2%	3%
No Grade	2%	2%	3%
Average	3.6	3.4	3.3
Letter Grade	В+	B+	В

4b. How would you grade Judge Schwartz on "Maintaining appropriate control over proceedings?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	86%	78%	72%
В	13%	16%	18%
C	1%	4%	5%
D	•••	1%	2%
Fail		1%	1%
No Grade		1%	2%
Average	3.9	3.7	3.6
Letter Grade	A-	A-	B+

4c. How would you grade Judge Schwartz on "Setting reasonable schedules for cases?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	72%	62%	58%
В	17%	18%	19%
С		6%	6%
D		2%	2%
Fail		2%	2%
No Grade		11%	12%
Average	3.7	3.5	3.5
Average		0.0	0.0
Letter Grade	A-	B+	B+

4d. How would you grade Judge Schwartz on "Being prepared for [his/her] cases?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
A	80%	73%	67%
В	14%	14%	17%
с		4%	5%
D		1%	2%
Fail		2%	2%
No Grade		6%	7%
Average	3.9	3.6	3.6
Letter Grade	A-	B+	B+

4e. How would you grade Judge Schwartz on "Managing court proceedings so that there is little wasted time?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
A	74%	63%	61%
В	17%	23%	23%
с		7%	8%
D		2%	3%
Fail		2%	3%
No Grade	1%	3%	3%
Average	3.7	3.5	3.4
Letter Grade	A-	B+	B+

5a. How would you grade Judge Schwartz on "Giving reasons for rulings?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	. 74%	67%	62%
В	17%	16%	18%
C	3%	5%	6%
D		2%	3%
Fail	•	3%	4%
No Grade	. 7%	7%	7%
Average	. 3.8	3.5	3.4
Letter Grade	A-	B+	B+

5b. How would you grade Judge Schwartz on "Willing to make decision without regard to possible outside pressure?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	67%	63%	58%
В	12%	12%	14%
С	1%	4%	5%
D		2%	2%
Fail	1%	3%	3%
No Grade	19%	17%	17%
Average	3.8	3.6	3.5
-		B+	B+
Letter Grade		DT	DT

5c. How would you grade Judge Schwartz on "Being able to identify and analyze relevant facts?"

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Α	78%	69%	64%
В	17%	14%	16%
с	1%	4%	5%
D	1%	2%	3%
Fail		3%	4%
No Grade	4%	8%	8%
_			- <i>i</i>
Average	3.8	3.6	3.4
Letter Grade	A-	B+	B+

6. How biased do you think Judge Schwartz is towards the defense or the prosecution?

		Judge Schwartz	All District Judges 2006	All Trial Judges 2006
	5	1%	2%	2%
Toward Prosecution A	4	1%	1%	1%
secut	3	•	1%	2%
d Pro	2	3%	2%	3%
Fowar	1	5%	4%	5%
-	0 Neutral	83%	83%	78%
se	-1	5%	3%	3%
Toward Defense	-2	1%	1%	2%
ward	-3	•	1%	1%
Lo L	-4		1%	1%
IJ	-5		1%	2%
	Average	0.1	0.1	0.1

7. How lenient or harsh do you think the sentences handed down by Judge Schwartz are?

		Judge Schwartz	All District Judges 2006	All Trial Judges 2006
_	5		3%	3%
Harsh Ă	4	2%	2%	2%
	3	2%	2%	2%
	2	6%	2%	3%
	1	2%	3%	4%
	0 Appropriate	80%	75%	71%
	-1	5%	5%	5%
	-2	3%	4%	4%
ļt	-3	2%	2%	2%
J Light	-4		1%	1%
IJ	-5		1%	2%
	Average	0.1	0.1	0.1

12. Do you recommend that Judge Schwartz be retained in office or not be retained in office?

	Judge Schwartz	All District Judges 2006	All Trial Judges 2006
Strongly Recommend Retain in office	93%	86%	82%
Somewhat Recommend Retain in office	5%	7%	9%
Somewhat Recommend Not Retain in office		2%	3%
Strongly Recommend Not Retain in office	2%	5%	6%

Methodology

<u>Methodology</u>

Sample: The Colorado Judicial Department provided Talmey-Drake Research & Strategy, Inc. with all the names and addresses of people from its ICON data base who in the previous 12 months had likely been in each judge's courtroom who was up for retention in November 2006. These names and addresses where then supplemented from the Colorado District Attorney's database (Blackstone system) and the databases of jurors, court employees and sheriff's security.

The data was then combined and cleaned. Where there were more than 400 potential respondents, a random sample was drawn. Included in the data cleaning was the identification of potential respondents in the sample who had appeared in more than two judges' courtrooms. Due to concerns about respondent fatigue, no more than two questionnaires were intended to be sent to any one respondent—though there were a few instances where one respondent did receive more than two questionnaires. Where a person had been in more than two judges' courtrooms, the selection criteria for which judges he or she would be sent questionnaires was generally: first, for the judge in whose courtroom the potential respondent had been in most often, and two, the judge with the smallest sample of the judges in whose courtroom the potential respondent had been in whose courtroom the potential respond

- **Survey:** Starting in October 2005, each person in the sample database was mailed an initial questionnaire and an introductory letter with a postage-paid return envelope. Those who did not respond to the first questionnaire were then sent a second questionnaire and letter, and in some cases reminder postcards. During this process, the sample was augmented for judges with a particularly low number of completed questionnaires.
- Questionnaire: The questionnaire used in the 2006 Judicial Performance Survey asked respondents to use a grade of A, B, C, D, or F (Fail) to assess the judge's performance in five different areas. For attorney these areas were Case Management, Application and Knowledge of Law, Communications, Demeanor and Diligence, and for non-attorney respondents the five were Demeanor, Fairness, Communications, Diligence and Application of Law. These scores were then converted to a numerical value where A = 4, B = 3, C = 2, D = 1 and Fail = 0. Copies of the questionnaires are included at the end of this report.
- **Response:** The response rate is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses & refusals, undeliverables and other responses. The table presents the overall response rate as well as the response rate by the different types of respondents.

Attorney Respondents	Total Sent	<u>Completed</u>	Refused/ Nonre- <u>sponse</u>	Unde- livered	<u>Other</u>	Response <u>Rate</u>
District Attorney	684	402	223	56	3	64.3%
Attorney For Defendant	5,159	2,760	1895	490	14	59.3%
Attorney for Plaintiff	1,925	1,023	689	206	7	59.8%
Unknown Attorney Type	963	436	418	107	2	51.1%
Attorney Total	8,731	4,621	3225	859	26	58.7%

Non-Attny Respondents	Total Sent	<u>Completed</u>	Refused/ Nonre- <u>sponse</u>	Unde- livered	<u>Other</u>	Response <u>Rate</u>
Law Enforcement	5,659	1,161	3,276	1,195	27	26.2%
Employee	1,307	600	489	205	13	55.1%
Defendant	31,391	3,658	17,494	10,124	115	17.3%
Plaintiff	7,688	1,449	4,699	1,506	34	23.6%
Juror	16,267	9,482	5,961	795	29	61.4%
Other	4,061	401	2,342	1,281	37	14.6%
Parole Officers	599	323	136	125	15	70.4%
Non-attorney Total	66,972	17,074	34,397	15,231	270	33.0%
Total	75,703	21,695	37,622	16,090	296	36.4%

A similar table showing the response count and cooperation rate for your survey is provided at the end of this methodology section.

Results: The results of the Survey are in two main sections: Attorney Respondents and Non-attorney Respondents. Within each main section are three subsections graphs displaying average grades for each section and question, the percentage distribution of grades, and respondent comments.

Graphs: The graphs visually display the overall average numerical grades received for all grade questions, each section and each question compared to averages for all district or county judges, and all trial judges for which 2006 surveys were conducted. Questions relating to bias toward the defense or prosecution, sentencing and retention recommendation are also graphed.

Percentage Distribution of Grades: This section shows the percentage of each grade received on each question plus the bias, sentencing and retention questions. An average grade point for each graded question is computed and shown in the results section. A letter grade is also assigned to each grade point according to the following scale.

A = 4.00	B = 3.00 to 3.33	C = 2.00 to 2.33	D = 1.00 to 1.33
A— = 3.68 to 3.99	B— = 2.67 to 2.99	C— = 1.67 to 1.99	D— = 0.67 to 0.99
B+ = 3.34 to 3.66	C+ = 2.34 to 2.66	D+ = 1.34 to 1.66	Fail = 0.00 to 0.67

This section also includes two comparative columns of results: one comparative column shows the results for that question for all county or district judges (as appropriate) surveyed in 2006, along with a second comparative column showing the results for all trial judges in the 2006 survey.

Comments: Each respondent was encouraged to comment on the judge's performance. This section contains those comments.

SamplingAll sample surveys are subject to what is known as sampling error—the
extent to which the results of the sample survey may differ from what would
be obtained if the entire population being surveyed had been interviewed.
The size of the sampling error is almost entirely due to the number of people
interviewed for the survey and the variance of responses.

	Sample Size								
	<u>25</u>	<u>50</u>	<u>100</u>	<u>150</u>	<u>200</u>	<u>300</u>			
Percentages near 10% or 90%	± 11.8%	± 8.3%	\pm 5.9%	± 4.8%	± 4.2%	± 3.4%			
Percentages near 20% or 80%	$\pm 15.7\%$	± 11.1%	± 7.8%	\pm 6.4%	\pm 5.5%	\pm 4.5%			
Percentages near 30% or 70%	\pm 18.0%	\pm 12.7%	$\pm 9.0\%$	\pm 7.3%	\pm 6.4%	\pm 5.2%			
Percentages near 40% or 60%	\pm 19.2%	\pm 13.6%	$\pm 9.6\%$	± 7.8%	\pm 6.8%	\pm 5.5%			
Percentages near 50%	\pm 19.6%	\pm 13.9%	\pm 9.8%	± 8.0%	\pm 6.9%	± 5.7%			

The table below shows the extent of sampling error (95%-confidence interval) for various sizes of random samples and sample percentage results.

For example, suppose a reported percentage is 80% in a sample size of 150, then one could expect that 95% of the time that a random sample of the same size and collected the same way would include the true population percentage within the range of 80% plus or minus 6.4%.

Averages such as grade points also have a 95% confidence interval, however it is not so easily displayed in a table as confidence intervals about a percentage. Suffice it to say that the confidence interval about a score generally increases with smaller sample size.

Larry E. Schwartz Responses by Type

D. L. Turn	Total	Complet	D - C 1	T. J. P	Other Non-	-
Role Type	Sent	Completes	Kefusais	Undeliverable	Responses	Rate
District Attorney	8	5	2	1	0	71.4%
Attorney For Defendant	36	14	16	6	0	46.7%
Attorney for Plaintiff	22	9	11	2	0	45.0%
Unknown/Other Attorney Type	3	2	1	0	0	66.7%
Sub Total:	69	30	30	9	0	50.0%
Defendant	126	14	57	55	0	19.7%
Defendant			•••	55	0	19.7%
Plaintiff	42	3	25	14	0	10.7%
Law Enforcement	84	12	41	30	1	22.6%
Employee	12	1	5	6	0	16.7%
Juror	100	66	34	0	0	66.0%
Other	36	4	20	12	0	16.7%
Parole Officers	5	4	0	1	0	100.0%
Sub Total:	405	104	182	118	1	36.2%
			1			
Grand Total:	474	134	212	127	1	38.6%

11. Is there anything else that you would like to say about Judge [Name]?

Commission on Judicial Performance

Attorney Questionnaire

Evaluation of [Name of Judge]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don not have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NS).

nu	mber corresponding to Don't Know/1400 Applicab	n		•/ 1 •0	<i>.</i>		DK
<u>1.</u> (Case Management:	Α	в	С	D	F	N/A
a.	Promptly making rulings during trial.	4	3	2	1	0	9
b.	Beginning court on time.	4	3	2	1	0	9
c.	Allotting appropriate amount of time for cases.	4	3	2	1	0	9
d.	Keeping cases moving according to schedule.	4	3	2	1	0	9
e.	[Civil only] ¹ Assisting parties to reach agreement.	4	3	2	1	0	9
f.	Maintaining appropriate control over proceedings.	4	3	2	1	0	9
g.	Promptly ruling on pre-trial motions.	4	3	2	1	0	9
h.	Promptly issuing a decision on the case after trial.	4	3	2	1	0	9
i.	Setting reasonable schedules for cases.	4	3	2	1	0	9
2.	Application and Knowledge of Law:	Α	в	С	D	F	DK N/A
a.	Basing decisions on evidence and arguments.	4	3	2	1	0	9
b.	Giving reasons for rulings.	4	3	2	1	0	9
c.	[Civil only]1 Issuing written findings of fact and law.	4	3	2	1	0	9
d.	Willing to reconsider error in fact or law.	4	3	2	1	0	9
e.	Having knowledge of relevant substantive law.	4	3	2	1	0	9
f.	Having knowledge of rules of procedure and evidence.	4	3	2	1	0	9
g.	Being able to identify and analyze relevant facts.	4	3	2	1	0	9
ь. h.	[Criminal only] ² Issuing consistent sentences when	•	5	-	1	0	-
	the circumstances are similar.	4	3	2	1	0	9
i.	Willing to make decisions without regard						
	to possible criticism.	4	3	2	1	0	9
j.	Making decisions without fear of being appealed.	4	3	2	1	0	9

² Please answer question 2h only if you have observed the judge in a criminal case.

12. Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge [*Name*] be retained in office, or not retained in office?

Strongly recommend he be retained in office	5
Somewhat recommend he be retained in office	4
Undecided or don't know enough to make recommendation	3
Somewhat recommend he not be retained in office	2
Strongly recommend he not be retained in office	1

And why do you think that way about retaining or not retaining Judge [Name]?

Thank you for your time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

¹ Please answer questions 1e & 2c only if you have observed the judge in a civil case.

3.	Communications:

- a. Speaking clearly.
- b. Makings sure all participants understand the proceedings.
- Using understandable language. c.
- d. Providing written communications that are clear, thorough and well reasoned.

4.	Demeanor:	А	в	С	D	F	DK N/A
a.	Giving proceedings a sense of dignity.	4	3	2	1	0	9
b.	Treating everyone involved politely.	4	3	2	1	0	9
c.	Having empathy with the parties involved.	4	3	2	1	0	9
d.	Treating participants with respect.	4	3	2	1	0	9
e.	Presenting a neutral presence on the bench.	4	3	2	1	0	9
f.	Being consistent in [his/her] behavior	4	3	2	1	0	9
g.	Conducting [his/her]courtroom in a neutral						
	manner.	4	3	2	1	0	9
h.	Giving all participants an opportunity to be heard.	4	3	2	1	0	9
i.	Consistently applying laws and rules.	4	3	2	1	0	9
j.	Not engaging in ex parte communications	4	3	2	1	0	9
k.	Treating pro se parties fairly.	4	3	2	1	0	9
_		_	_	-	_	_	DK
<u>5.</u>	Diligence:	<u>A</u>	B	С	D	F	N/A
a.	Making tough decisions when necessary.	4	3	2	1	0	9
b.	Using good judgment in application of relevant						

upplying involution rules.		5	-	-	0		
ging in ex parte communications	4	3	2	1	0	9	
bro se parties fairly.	4	3	2	1	0	9	
	Α	в	С	D	F	DK N/A	
ough decisions when necessary.	4	3	2	1	0	9	
od judgment in application of relevant rules.	4	3	2	1	0	9	
e necessary "homework" and being	4	2	2	1	0	0	

- law and c. Doing the prepared for *[his/her]* cases. d. Being willing to handle cases on the docket even
- when they are complicated and time consuming. $4 \quad 3 \quad 2 \quad 1 \quad 0$ Providing prompt access to the court e.
- in emergency matters. 4 3 2 1 0

						DK
	<u>A</u>	В	С	D	F	N/A
	4	3	2	1	0	9
					0	0
	4	3	2	1	0	9
	4	3	2	1	0	9
	4	3	2	1	0	9
						DK
	Α	В	С	D	F	<u>N/A</u>
	A 4	3	2	1	0	9
	4	3	2	1	0	9
	4	3	2	1	0	9
	4	3	2	1	0	9
	4	3	2	1	0	9
	4	3	2	1	0	9
	4	3	2	1	0	9
neard.	4	3		1	0	9
leard.	4		2 2	1	0	
		3				9
	4	3	2	1	0	9
	4	3	2	1	0	9
						DK
	<u>A</u>	В	С	D	F	N/A
	4	3	2	1	0	9
ant						
	4	3	2	1	0	9
	4	2	2	1	0	0
	4	3	2	1	0	9
even						

DИ

- 9

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6.

7.

[Criminal cases only]¹ On the scale below, please indicate by circling the appropriate number how biased you think Judge [Name] is toward the defense or the prosecution. If you feel Judge [Name] is completely unbiased, circle "0."

	Bias toward Defense			С	omplete Neutral	Bias toward Prosecution				
↓ 5	4	3	2	1	0	1	2	3	4	5

[Criminal cases only]¹ On the scale below, please indicate by circling the appropriate number how lenient or how harsh you think the sentences generally handed down by Judge [Name] are. If you feel Judge [Name] generally hands down appropriate sentences, circle "0."

	Sentences Too Light				ppropria Sentence		Senten Too Ha			
↓ 5	4	3	2	1	0	1	2	3	4	5

8. If an associate were assigned to Judge [Name] in a case and asked you for advice, what would you say?

What would you say are Judge /Name?'s strengths? 9.

And what would you say are Judge /Name/'s weaknesses? 10.

[Continued on Back Page]

¹ Please answer questions 6 & 7 only if you have observed the judge in a criminal case.

12. Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge [*Name*] be retained in office, or not retained in office?

5

4

3 2

1

And why do you think that way about retaining or not retaining Judge [Name] ?

Thank you for you time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

Commission on Judicial Performance

Evaluation of [Name of Judge]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

<u>1.</u>	Demeanor:	<u>A</u>	в	С	D	F	DK N/A
a.	Giving court proceedings a sense of dignity.	4	3	2	1	0	9
b.	Treating participants in the case politely and with respect.	4	3	2	1	0	9
c.	Conducting [his/her] courtroom in a neutral manner.	4	3	2	1	() 9
d.	Having a sense of compassion and human understanding for those who appear before <i>[him/her]</i> .	4	3	2	1	0	9
<u>2.</u>	Fairness:	А	в	С	D	F	DK N/A
a.	Giving participants an opportunity to be heard.	4	3	2	1	0	9
b.	Treating those involved in the case without bias.	4	3	2	1	0	9
c.	Treating fairly people who represent themselves.	4	3	2	1	0	9
d.	Giving each side enough time to present his or her case.	4	3	2	1	0	9
<u>3.</u>	Communications:	<u>A</u>	в	С	D	F	DK N/A
a.	Makings sure participants understand the proceedings, and what's going on in the						
	courtroom.	4	3	2	1	0	9
b. с.	Using language that everyone can understand. Speaking clearly so everyone in the courtroom	4	3	2	1	0	9
	can hear what's being said.	4	3	2	1	0	9

						DK
4. Diligence:	Α	В	С	D	F	N/A
a. Beginning court on time.	4	3	2	1	0	9
b. Maintaining appropriate control over						
proceedings.	4	3	2	1	0	9
c. Setting reasonable schedules for cases.	4	3	2	1	0	9
d. Being prepared for [his/her] cases. 4	3	2	1	0	9	
e. Managing court proceedings so that there is						
little wasted time.	4	3	2	1	0	9
						DK
5 Application of Law	۸	в	C	п	F	N/A
5. Application of Law:	<u>~</u>		0			
a. Giving reasons for rulings.	4	3	2	1	0	9
b. Willing to make decision without regard to						
possible outside pressure.	4	3	2	1	0	9
c. Being able to identify and analyze relevant facts.	4	3	2	1	0	9

[If you were in Judge [Name]'s courtroom during a criminal case 6. or cases please answer this question, otherwise skip to the next question.] On the scale below, please indicate by circling the appropriate number how biased you think Judge [Name] is toward the defense or the prosecution. If you feel Judge [Name] is completely unbiased, circle "0."

Bias toward Defense				omplete Neutral	Bias toward Prosecution					
↓ 5	4	3	2	1	0	1	2	3	4	5

[If you were in Judge [Name]'s courtroom during a criminal case 7. or cases please answer this question, otherwise skip to the next question.] On the scale below, please indicate by circling the appropriate number how lenient or how harsh you think the sentences generally handed down by Judge [Name] are. If you feel Judge [Name] generally hands down appropriate sentences, circle "0."

Sentences			Appropriate							Sentences	
Too Light			Sentences							Too Harsh	
∢ 5	4	3	2	1	0	1	2	3	4	5	

If a friend of yours was scheduled to appear before Judge /Name] as a party in either a civil or criminal case and asked you for advice, what would you tell your friend about Judge [Name]?

9. What would you say are Judge /Name/'s strengths?

8.

10. And what would you say are Judge /Name/'s weaknesses?

Is there anything else that you would like to say about Judge 11. [Name] ?

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