

Commission on Judicial Performance

The Honorable Claude W. Appel

2006 Judicial Performance Survey 3rd Judicial District





April 26, 2006



The Honorable Claude W. Appel Huerfano County Courthouse 401 Main Street, Suite 304 Walsenburg, CO 81089

Dear Judge Appel:

Every two years the Colorado Judicial Performance Commission conducts a survey of people who have been in the courtroom of judges who face a retention vote in the next general election. The 2006 survey was conducted by Talmey-Drake Research & Strategy, Inc., who attempted to contact over 75,000 people who had been in these courtrooms in one capacity or another. Over 21,000 people responded—4,600 to the attorney survey and 17,000 to the non-attorney survey.

This report contains the results of those who were in your courtroom and who responded to the survey. In addition to this introduction, the report is divided into four main sections:

- Attorney Results & Comments: This section contains graphs displaying the average grade you received overall (the combined average from questions 1a through 5e), each section and each question. Following the graphs, are a series of tables showing the percentage distribution of responses to each question. Attorney respondents were also asked to comment about your performance. These comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the attorney questionnaire is at the back of this report.
- Non-attorney Results & Comments: Similar to the Attorney section, this portion of the report contains graphs (again including the overall average of questions 1a through 5c on the non-attorney questionnaire), the percentage distribution of responses by non-attorneys to each substantive question in the survey. The non-attorney respondents were also asked to comment about your performance. Again, these comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the non-attorney questionnaire is also at the back of this report.
- **Methodology:** The third section of the report discusses the methodology of the survey.
- **Questionnaires:** And the final section provides copies of the questionnaires that were used.

April, 26 2006 The Honorable Claude W. Appel Page 2

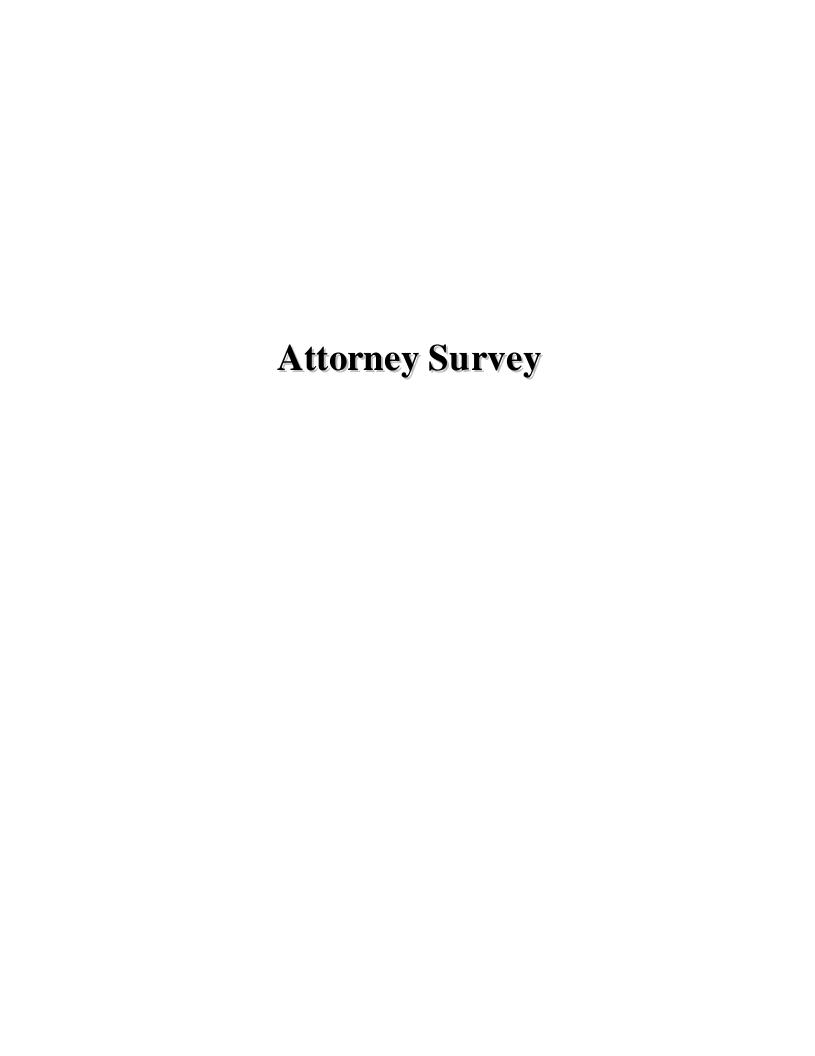


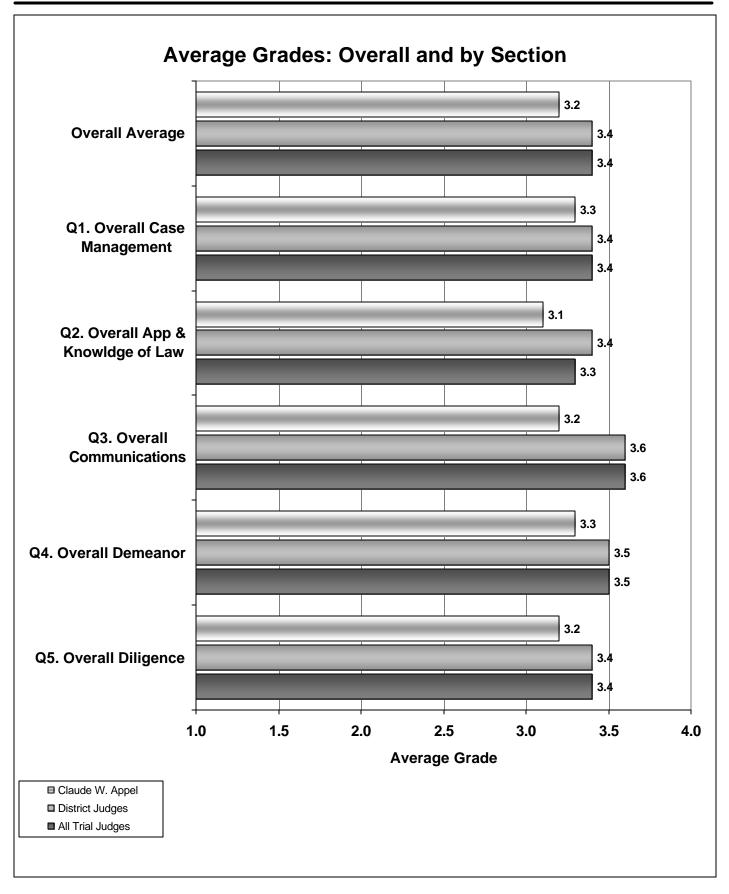
If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 303-443-5300, and for any other questions you might have about the survey please call the Director of the Colorado Judicial Performance Program, Jane Howell, at 303-837-3665.

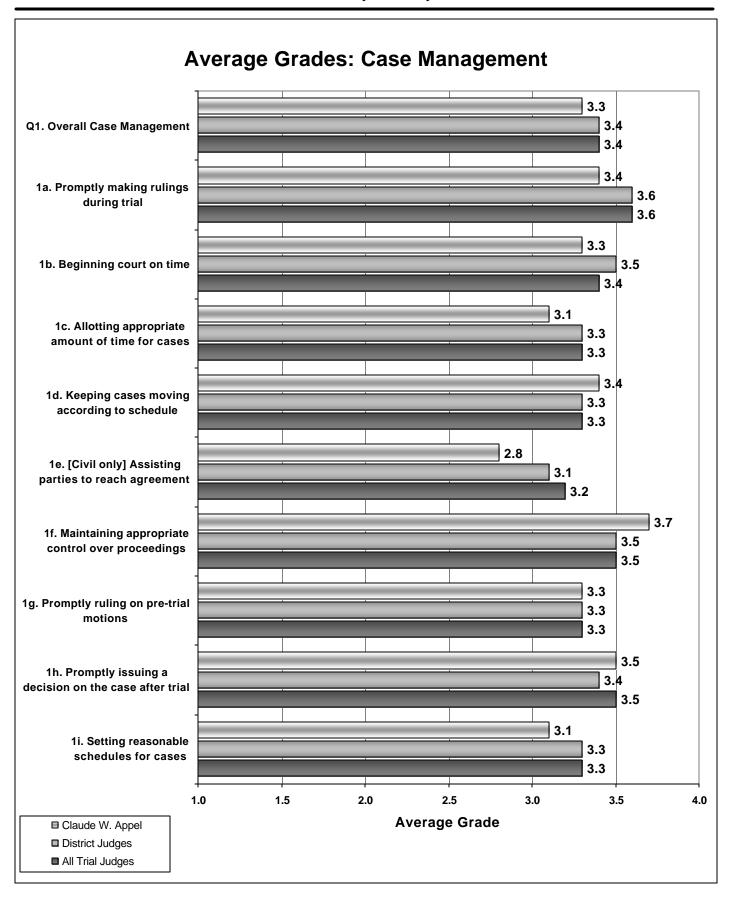
Best regards,

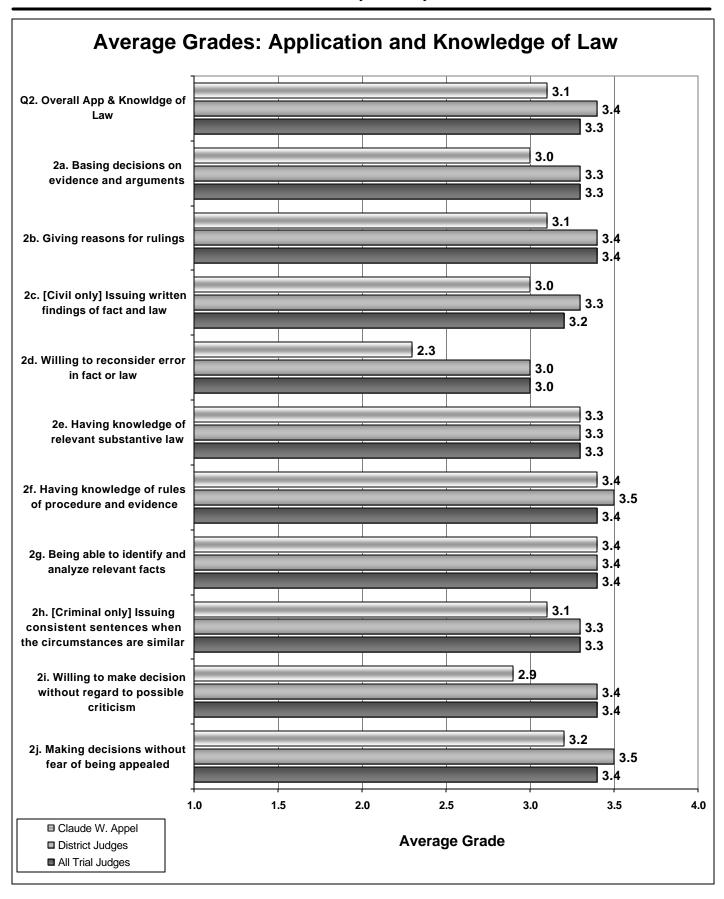
Paul A. Talmey President

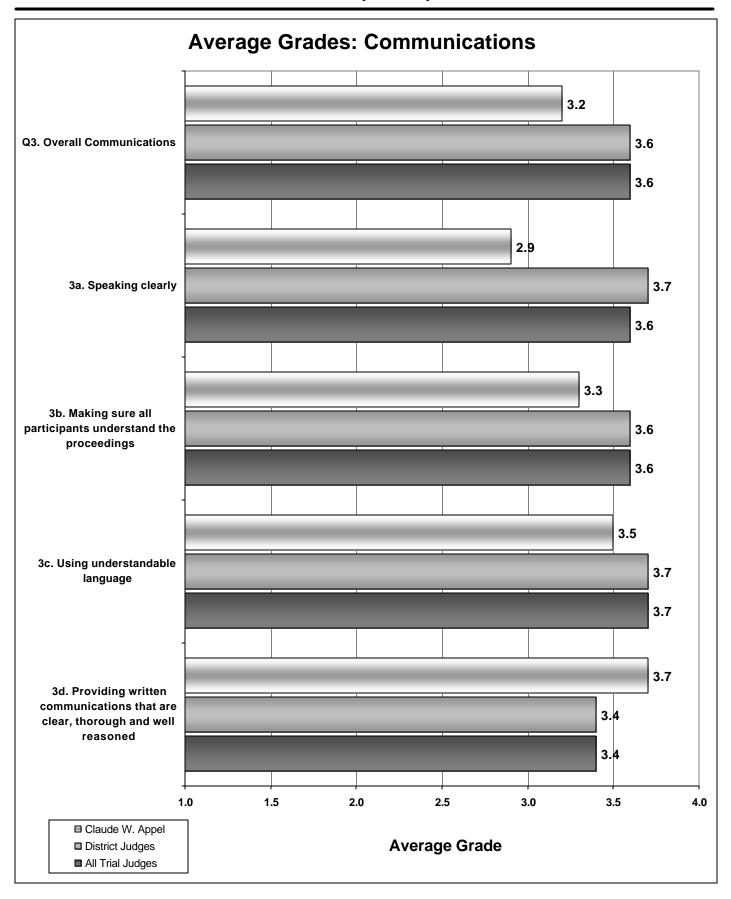
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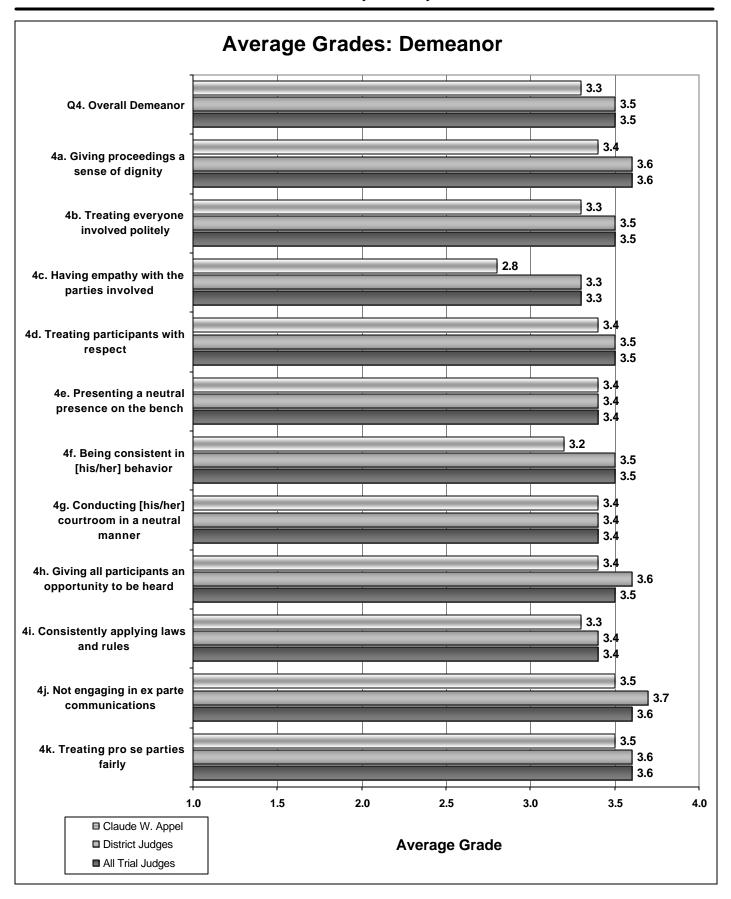


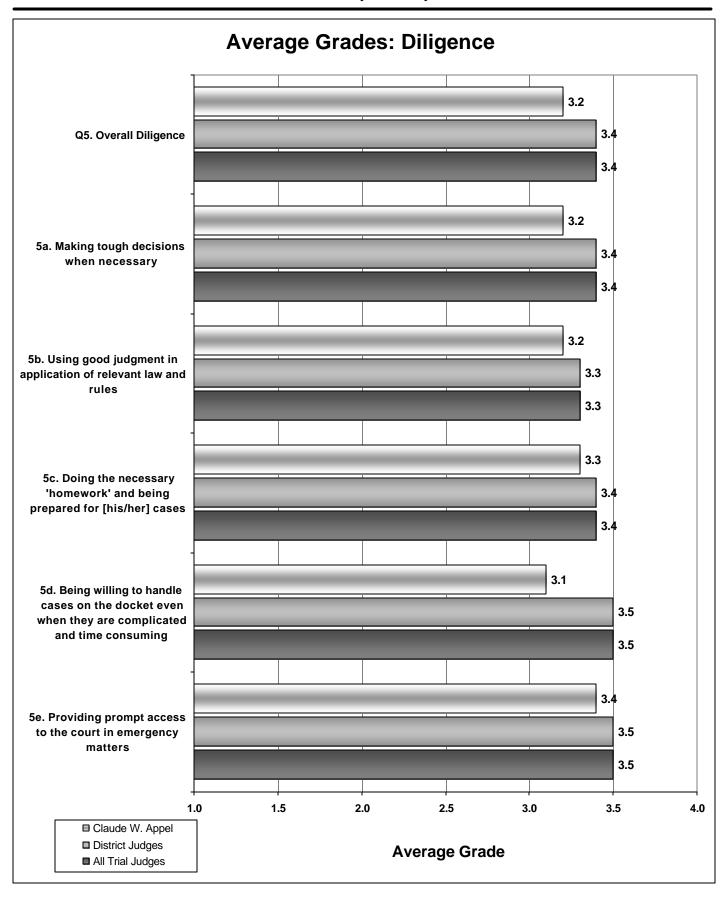


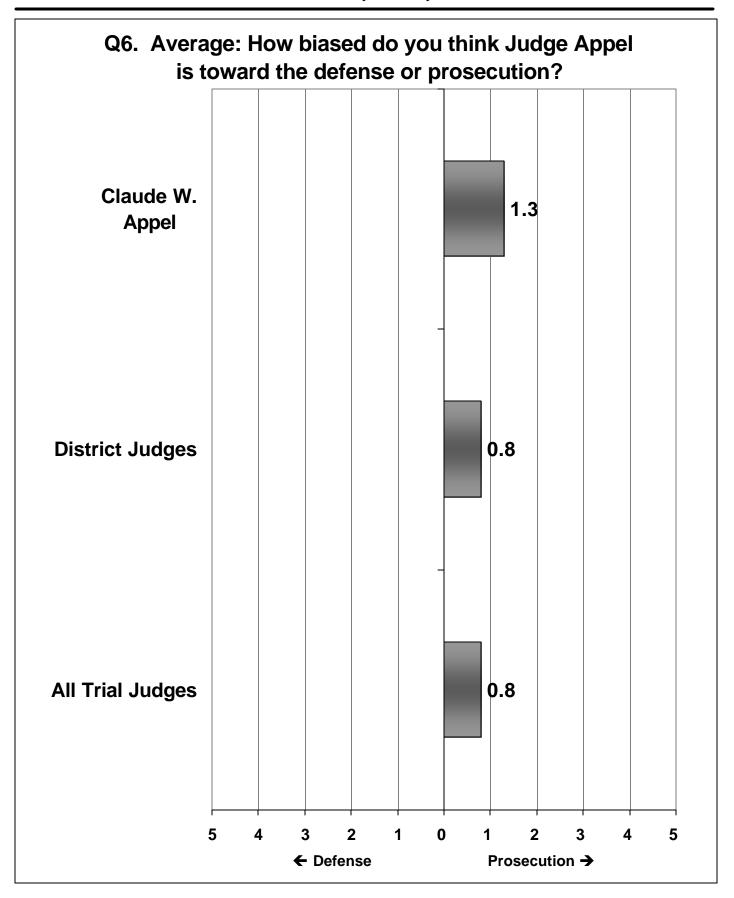


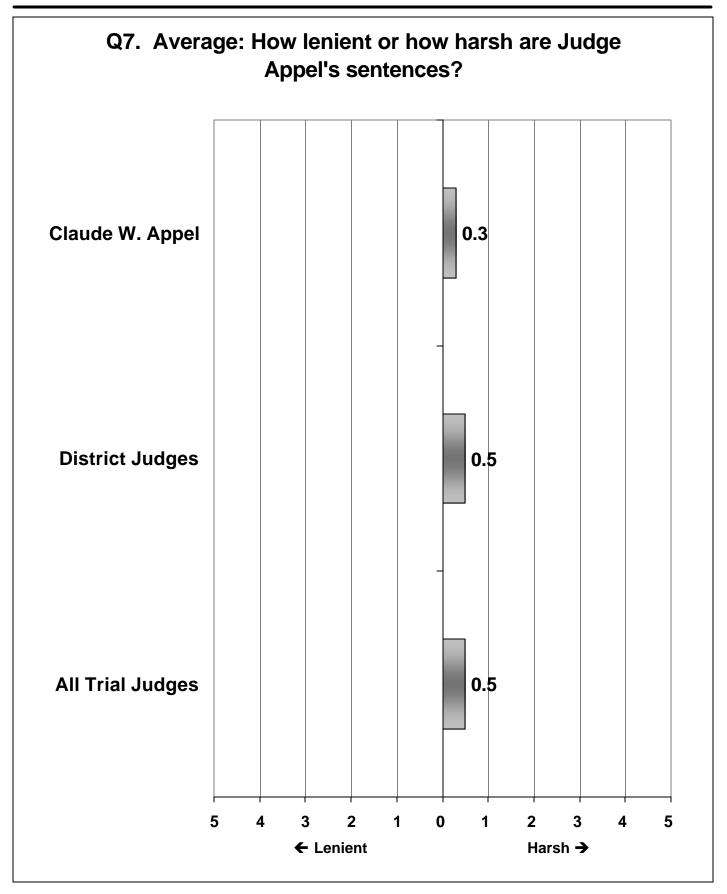


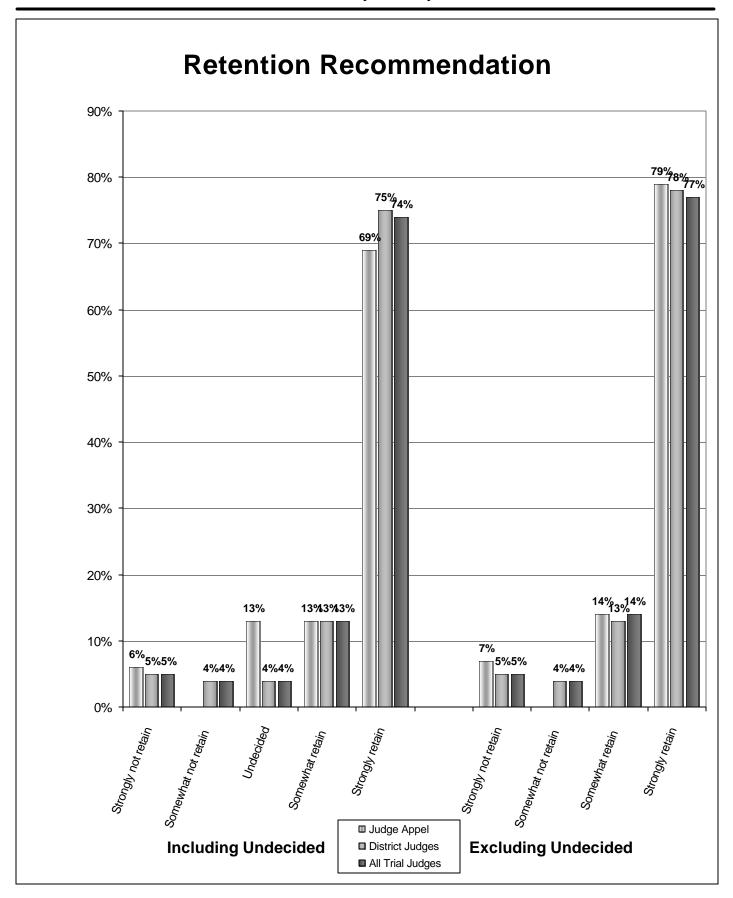












1a. How would you grade Judge Appel on "Promptly making rulings during trial?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	56%	59%	56%
В	19%	20%	21%
C	6%	5%	5%
D	6%	1%	1%
Fail		1%	1%
No Grade	13%	14%	17%
Average	3.4	3.6	3.6
Avolage		3.3	3.3
Letter Grade	B+	B+	B+

1b. How would you grade Judge Appel on "Beginning court on time?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	44%	61%	56%
В	50%	26%	28%
C		7%	9%
D		2%	3%
Fail	6%	1%	2%
No Grade		3%	2%
Average	3.3	3.5	3.4
Letter Grade	В	B+	B+

Attorney Survey

1c. How would you grade Judge Appel on "Allotting appropriate amount of time for cases?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	44%	51%	51%
В	13%	28%	29%
C	25%	12%	11%
D	6%	4%	3%
Fail	••	2%	2%
No Grade	13%	4%	4%
Average	3.1	3.3	3.3
Letter Grade	В	В	В

1d. How would you grade Judge Appel on "Keeping cases moving according to schedule?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	63%	51%	53%
В	31%	30%	31%
C		9%	9%
D	••	3%	3%
Fail	6%	2%	2%
No Grade		4%	3%
Average	3.4	3.3	3.3
Letter Grade	B+	В	В

Attorney Survey

1e. How would you grade Judge Appel on "[Civil only] Assisting parties to reach agreement?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	15%	27%	27%
В	46%	20%	18%
C	15%	9%	7%
D	8%	3%	2%
Fail		2%	1%
No Grade	15%	40%	43%
Average	2.8	3.1	3.2
Letter Grade	B-	В	В

1f. How would you grade Judge Appel on "Maintaining appropriate control over proceedings?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	75%	65%	63%
В	19%	23%	25%
C	6%	7%	7%
D		3%	2%
Fail		1%	1%
No Grade		2%	2%
Average	3.7	3.5	3.5
Letter Grade	A-	B+	B+

1g. How would you grade Judge Appel on "Promptly ruling on pre-trial motions?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	63%	46%	44%
В	6%	26%	25%
C	19%	10%	8%
D	6%	3%	2%
Fail		2%	1%
No Grade	6%	13%	19%
Average	3.3	3.3	3.3
Letter Grade	В	В	В

1h. How would you grade Judge Appel on "Promptly issuing a decision on the case after trial?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	50%	48%	48%
В	19%	21%	21%
C	13%	6%	5%
D		2%	2%
Fail		1%	1%
No Grade	19%	21%	24%
Average	3.5	3.4	3.5
Letter Grade	B+	B+	B+

Attorney Survey

1i. How would you grade Judge Appel on "Setting reasonable schedules for cases?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	44%	49%	50%
В	31%	30%	30%
C	19%	11%	9%
D		4%	3%
Fail	6%	2%	1%
No Grade		5%	6%
Average	3.1	3.3	3.3
Letter Grade	В	В	В

2a. How would you grade Judge Appel on "Basing decisions on evidence and arguments?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	25%	56%	53%
В	50%	25%	27%
C	13%	9%	9%
D	6%	4%	4%
Fail		2%	3%
No Grade	6%	3%	4%
Average	3.0	3.3	3.3
Letter Grade	В	В	В

2b. How would you grade Judge Appel on "Giving reasons for rulings?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	38%	60%	57%
В	38%	24%	25%
C	13%	8%	9%
D		3%	4%
Fail	6%	1%	2%
No Grade	6%	3%	4%
Average	3.1	3.4	3.4
Letter Grade	В	B+	B+

2c. How would you grade Judge Appel on "[Civil only] Issuing written findings of fact and law?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	23%	37%	28%
В	38%	17%	15%
C	8%	6%	6%
D	8%	3%	2%
Fail		1%	2%
No Grade	23%	35%	48%
Average	3.0	3.3	3.2
Letter Grade	В	В	В

2d. How would you grade Judge Appel on "Willing to reconsider error in fact or law?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	13%	28%	29%
В	25%	21%	20%
C	19%	10%	9%
D	13%	5%	4%
Fail	6%	4%	4%
No Grade	25%	33%	33%
Average	2.3	3.0	3.0
Letter Grade	С	В	В

2e. How would you grade Judge Appel on "Having knowledge of relevant substantive law?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	50%	55%	52%
В	25%	28%	30%
C	13%	10%	9%
D	6%	4%	4%
Fail		2%	2%
No Grade	6%	2%	3%
Average	3.3	3.3	3.3
Letter Grade	В	В	В

2f. How would you grade Judge Appel on "Having knowledge of rules of procedure and evidence?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	50%	61%	58%
В	31%	26%	27%
C	13%	7%	8%
D		2%	3%
Fail		1%	2%
No Grade	6%	2%	3%
Average	3.4	3.5	3.4
Letter Grade	B+	B+	B+

2g. How would you grade Judge Appel on "Being able to identify and analyze relevant facts?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	56%	61%	57%
В	25%	23%	27%
C	. 6%	8%	8%
D	. 6%	4%	3%
Fail		2%	2%
No Grade	. 6%	3%	3%
Average	3.4	3.4	3.4
Letter Grade	B+	B+	B+

Attorney Survey

2h. How would you grade Judge Appel on "[Criminal only] Issuing consistent sentences when the circumstances are similar?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	13%	26%	33%
В	33%	16%	20%
C	7%	6%	6%
D		2%	2%
Fail	••	1%	2%
No Grade	47%	49%	37%
Average	3.1	3.3	3.3
Letter Grade	В	В	В

Attorney Survey

2i. How would you grade Judge Appel on "Willing to make decision without regard to possible criticism?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	31%	55%	53%
В	25%	21%	22%
C	25%	8%	8%
D		3%	3%
Fail	6%	2%	2%
No Grade	13%	12%	12%
Average	2.9	3.4	3.4
Letter Grade	B-	B+	B+

Attorney Survey

2j. How would you grade Judge Appel on "Making decisions without fear of being appealed?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	38%	57%	54%
В	38%	20%	22%
C	6%	6%	7%
D	6%	2%	2%
Fail		1%	2%
No Grade	13%	13%	14%
Average	3.2	3.5	3.4
Letter Grade	В	B+	B+

3a. How would you grade Judge Appel on "Speaking clearly?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	50%	75%	73%
В	13%	19%	20%
C	25%	4%	4%
D	6%	1%	1%
Fail	6%		1%
No Grade		1%	1%
Average	2.9	3.7	3.6
Letter Grade	B-	A-	B+

Attorney Survey

3b. How would you grade Judge Appel on "Making sure all participants understand the proceedings?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	56%	72%	71%
В	25%	20%	20%
C	13%	5%	5%
D		2%	2%
Fail	6%	1%	1%
No Grade		2%	1%
Average	3.3	3.6	3.6
Letter Grade	В	B+	B+

3c. How would you grade Judge Appel on "Using understandable language?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	63%	75%	74%
В	31%	20%	20%
C		3%	4%
D	6%	1%	1%
Fail			
No Grade		1%	1%
Λνοτασο	3.5	3.7	3.7
Average	0.0	0.1	0.1
Letter Grade	B+	A-	A-

Attorney Survey

3d. How would you grade Judge Appel on "Providing written communications that are clear, thorough and well reasoned?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	67%	55%	55%
В	33%	23%	23%
C		6%	6%
D		3%	3%
Fail		1%	1%
No Grade		12%	12%
Average	3.7	3.4	3.4
Letter Grade	Α-	B+	B+

4a. How would you grade Judge Appel on "Giving proceedings a sense of dignity?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
A	50%	73%	69%
В	44%	18%	20%
C		5%	6%
D	6%	2%	2%
Fail		1%	2%
No Grade		1%	1%
Average	3.4	3.6	3.6
Letter Grade	B+	B+	B+

Attorney Survey

4b. How would you grade Judge Appel on "Treating everyone involved politely?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	44%	72%	70%
В	50%	16%	17%
C	• •	5%	6%
D	• •	3%	3%
Fail	6%	2%	3%
No Grade		1%	1%
Average	3.3	3.5	3.5
Letter Grade	В	B+	B+

Attorney Survey

4c. How would you grade Judge Appel on "Having empathy with the parties involved?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	19%	59%	59%
В	50%	20%	22%
C	25%	10%	9%
D		5%	5%
Fail	6%	2%	3%
No Grade		4%	3%
Average	2.8	3.3	3.3
Letter Grade	B-	В	В

4d. How would you grade Judge Appel on "Treating participants with respect?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	50%	71%	69%
В	44%	16%	18%
C		6%	6%
D	6%	3%	3%
Fail		2%	3%
No Grade		1%	1%
Average	3.4	3.5	3.5
Letter Grade	B+	B+	B+

4e. How would you grade Judge Appel on "Presenting a neutral presence on the bench?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
A	50%	66%	63%
В	44%	18%	19%
C	6%	7%	8%
D		5%	5%
Fail		3%	4%
No Grade		1%	1%
Average	3.4	3.4	3.4
Letter Grade	B+	B+	B+

4f. How would you grade Judge Appel on "Being consistent in [his/her] behavior?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	. 44%	67%	64%
В	44%	20%	21%
C	6%	6%	7%
D	•	3%	3%
Fail	6%	2%	2%
No Grade	•	3%	2%
A	2.2	2.5	2.5
Average	3.2	3.5	3.5
Letter Grade	В	B+	B+

4g. How would you grade Judge Appel on "Conducting [his/her] courtroom in a neutral manner?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
A	50%	65%	63%
В	44%	18%	19%
C		8%	8%
D	6%	4%	4%
Fail		3%	3%
No Grade		2%	1%
Average	3.4	3.4	3.4
Letter Grade	B+	B+	B+

4h. How would you grade Judge Appel on "Giving all participants an opportunity to be heard?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	63%	71%	69%
В	25%	18%	19%
C	6%	6%	6%
D	6%	3%	2%
Fail		1%	2%
No Grade		1%	1%
Average	3.4	3.6	3.5
	• •		
Letter Grade	B+	B+	B+

4i. How would you grade Judge Appel on "Consistently applying laws and rules?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	56%	61%	59%
В	19%	21%	23%
C	19%	8%	8%
D	6%	4%	4%
Fail		2%	2%
No Grade		5%	4%
Average	3.3	3.4	3.4
Letter Grade	В	B+	B+

4j. How would you grade Judge Appel on "Not engaging in ex parte communications?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	63%	66%	63%
В	19%	13%	15%
C	13%	3%	3%
D		1%	1%
Fail		1%	1%
No Grade	6%	16%	16%
Average	3.5	3.7	3.6
Letter Grade	B+	A-	B+

4k. How would you grade Judge Appel on "Treating pro se parties fairly?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	50%	48%	52%
В	6%	11%	13%
C	6%	3%	4%
D	6%	1%	2%
Fail		1%	1%
No Grade	31%	36%	28%
Average	3.5	3.6	3.6
Letter Grade	B+	B+	B+

5a. How would you grade Judge Appel on "Making tough decisions when necessary?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	38%	57%	52%
В	31%	21%	23%
C	6%	8%	8%
D	6%	3%	3%
Fail		2%	2%
No Grade	19%	10%	12%
Average	3.2	3.4	3.4
Letter Grade	В	B+	B+

Attorney Survey

5b. How would you grade Judge Appel on "Using good judgment in application of relevant law and rules?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	44%	55%	53%
В	31%	26%	27%
C	13%	9%	9%
D	6%	4%	4%
Fail		3%	3%
No Grade	6%	3%	4%
Average	3.2	3.3	3.3
Letter Grade	В	В	В

Attorney Survey

5c. How would you grade Judge Appel on "Doing the necessary 'homework' and being prepared for [his/her] cases?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	50%	59%	53%
В	25%	23%	26%
C	25%	8%	9%
D		3%	3%
Fail		1%	2%
No Grade		5%	7%
Average	3.3	3.4	3.4
Letter Grade	В	B+	B+

Attorney Survey

5d. How would you grade Judge Appel on "Being willing to handle cases on the docket even when they are complicated and time consuming?"

	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	38%	58%	53%
В	25%	19%	21%
C	19%	7%	7%
D	6%	2%	2%
Fail		1%	1%
No Grade	13%	13%	15%
Average	3.1	3.5	3.5
Letter Grade	В	B+	B+

Attorney Survey

5e. How would you grade Judge Appel on "Providing prompt access to the court in emergency matters?"

_	Judge Appel	District Judges 2006	All Trial Judges 2006
Α	44%	43%	41%
В	19%	16%	16%
C	13%	5%	5%
D		2%	1%
Fail		1%	1%
No Grade	25%	33%	36%
Average	3.4	3.5	3.5
Letter Grade	B+	B+	B+

Attorney Survey

6. How biased do you think Judge Appel is towards the defense or the prosecution?

	_	Judge Appel	District Judges 2006	All Trial Judges 2006
	5		3%	3%
Toward Prosecution A	4	13%	5%	5%
secut	3		8%	7%
d Pro	2	25%	11%	11%
owar	1	25%	17%	18%
	0 Neutral	38%	46%	47%
Se	-1		5%	4%
Toward Defense	-2		3%	2%
ward	-3		2%	2%
ß	-4		1%	1%
1.J	-5	11		
	Average	1.3	0.8	0.8

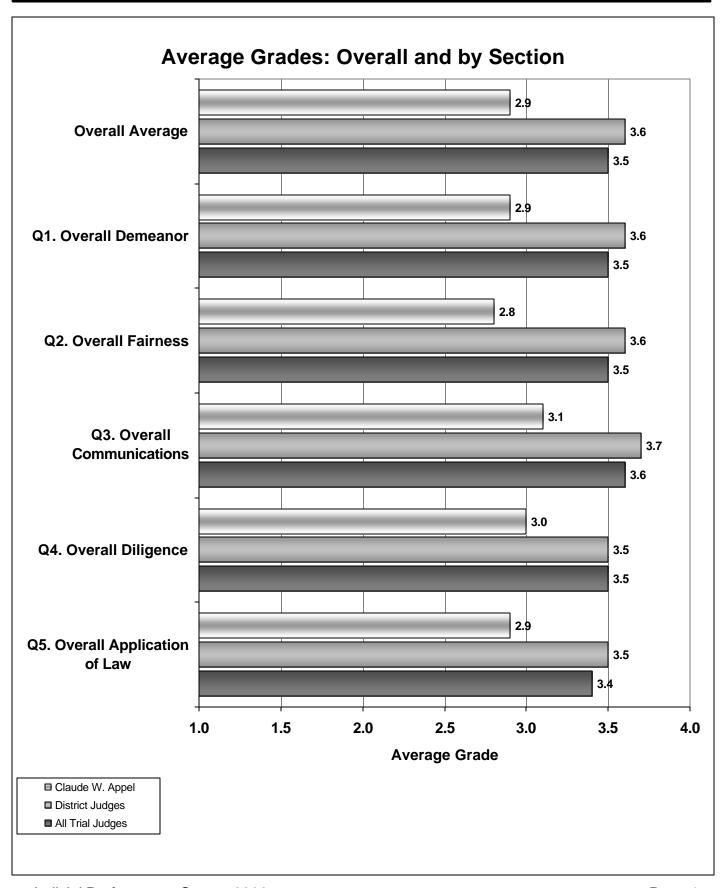
7. How lenient or harsh do you think the sentences handed down by Judge Appel are?

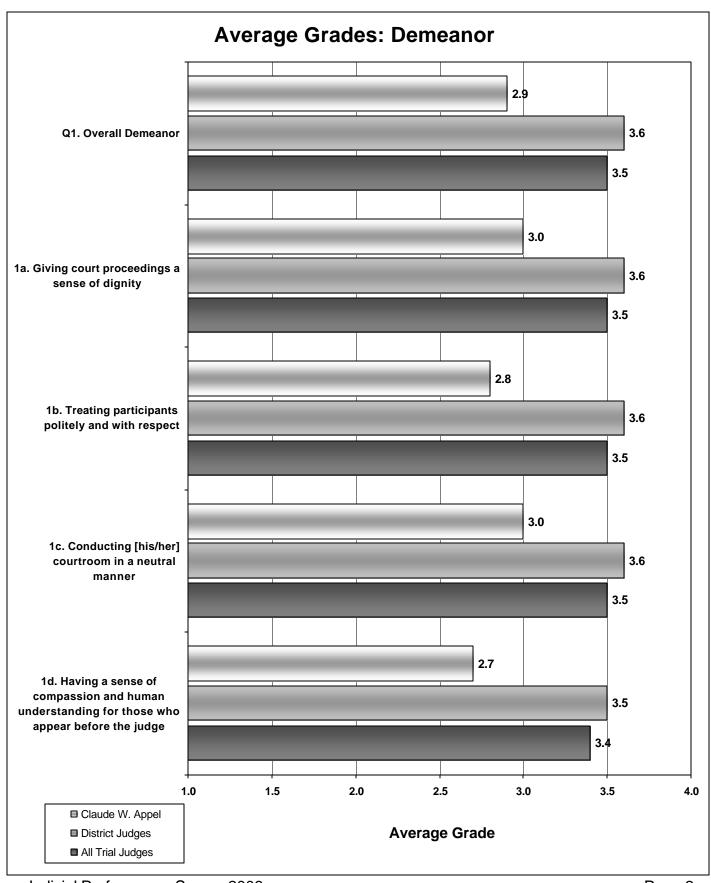
		Judge Appel	District Judges 2006	All Trial Judges 2006
_4	5	•	2%	2%
Harsh 🖟	4	ı	4%	4%
Ha	3		6%	5%
	2	14%	9%	9%
	1	14%	16%	15%
	0 Appropriate	57%	48%	55%
	-1	14%	6%	3%
	-2		4%	3%
Ħ	-3		3%	2%
U Light	-4		1%	1%
IJ	-5		1%	1%
	Average	0.3	0.5	0.5

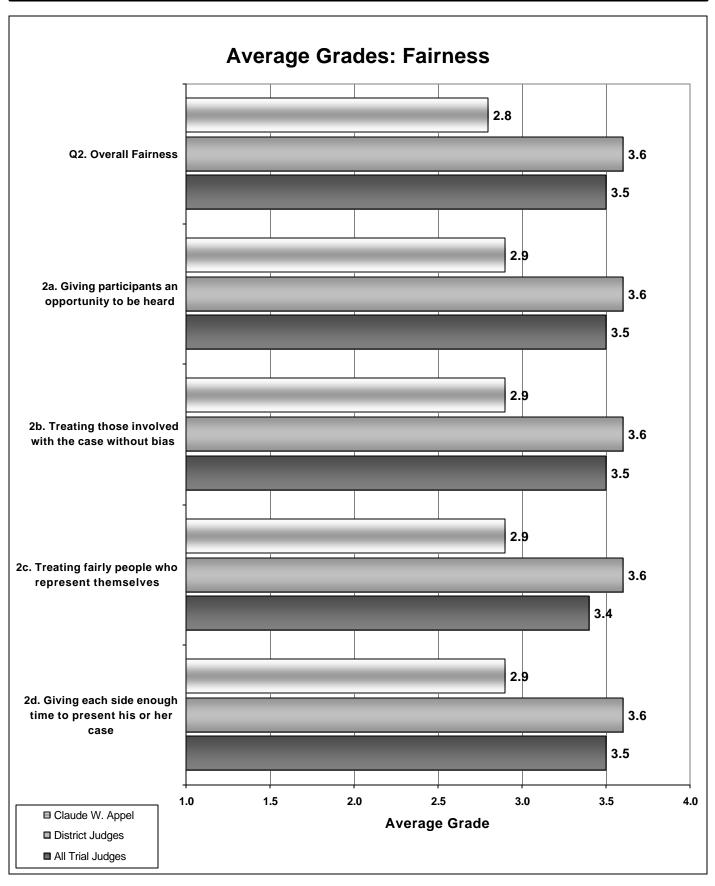
Attorney Survey

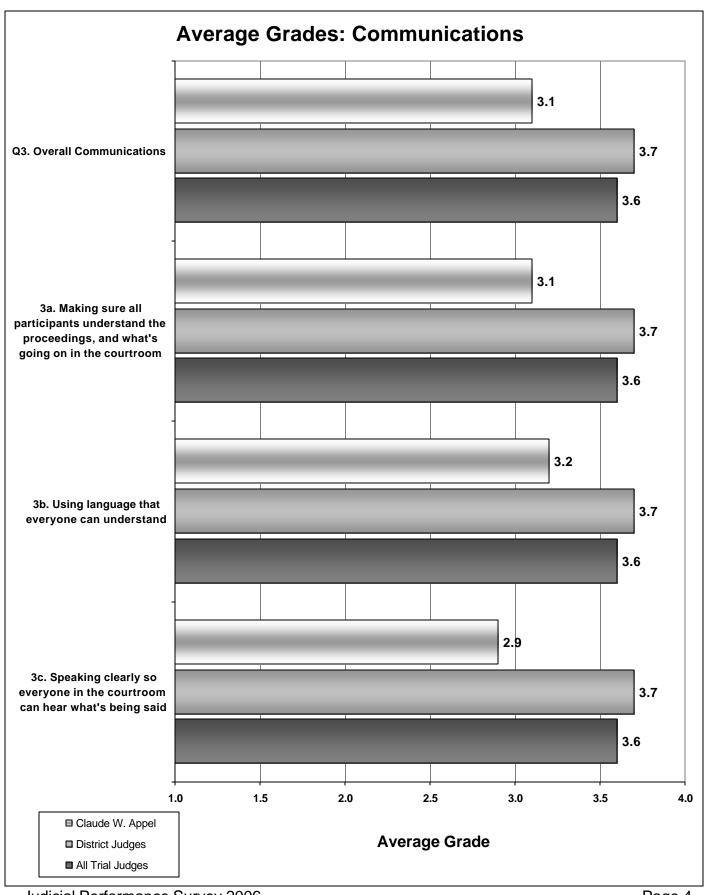
12. Do you recommend that Judge Appel be retained in office or not be retained in office?

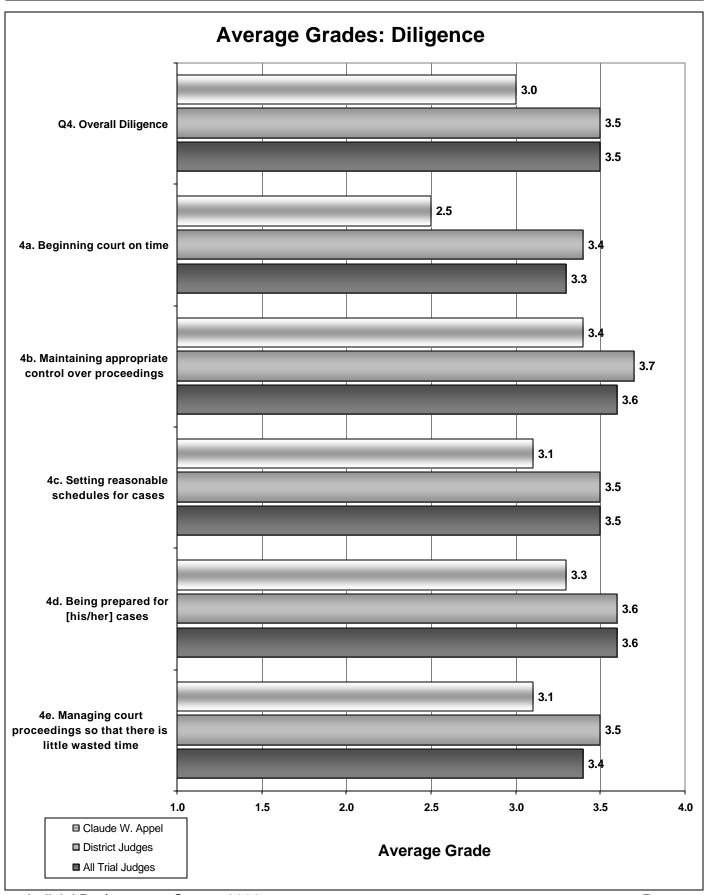
	Judge Appel	District Judges 2006	All Trial Judges 2006
Strongly Recommend Retain in office	79%	78%	77%
Somewhat Recommend Retain in office	14%	13%	14%
Somewhat Recommend Not Retain in office		4%	4%
Strongly Recommend Not Retain in office	7%	5%	5%

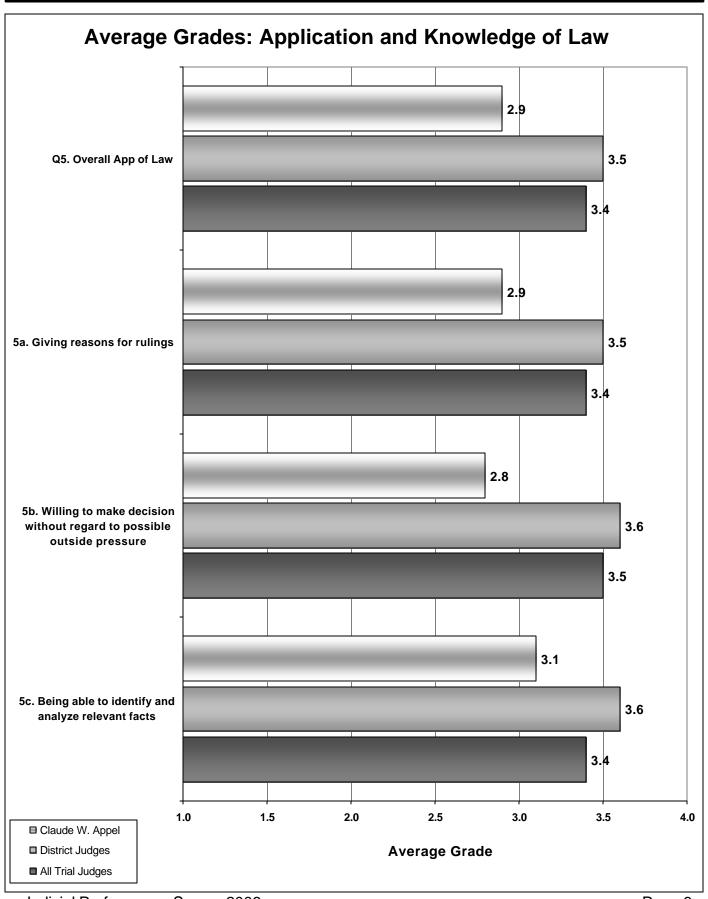


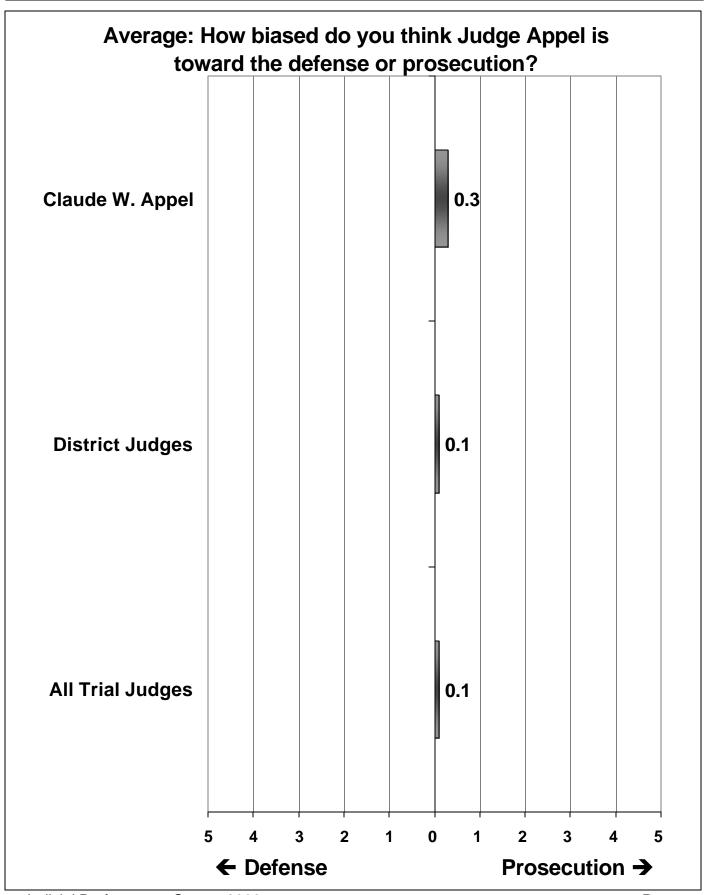


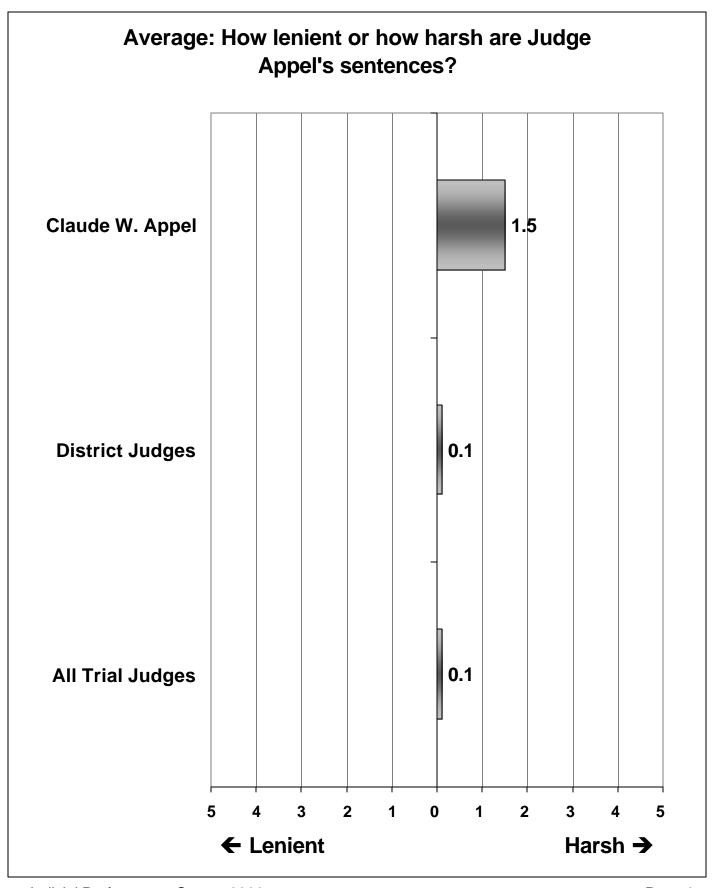


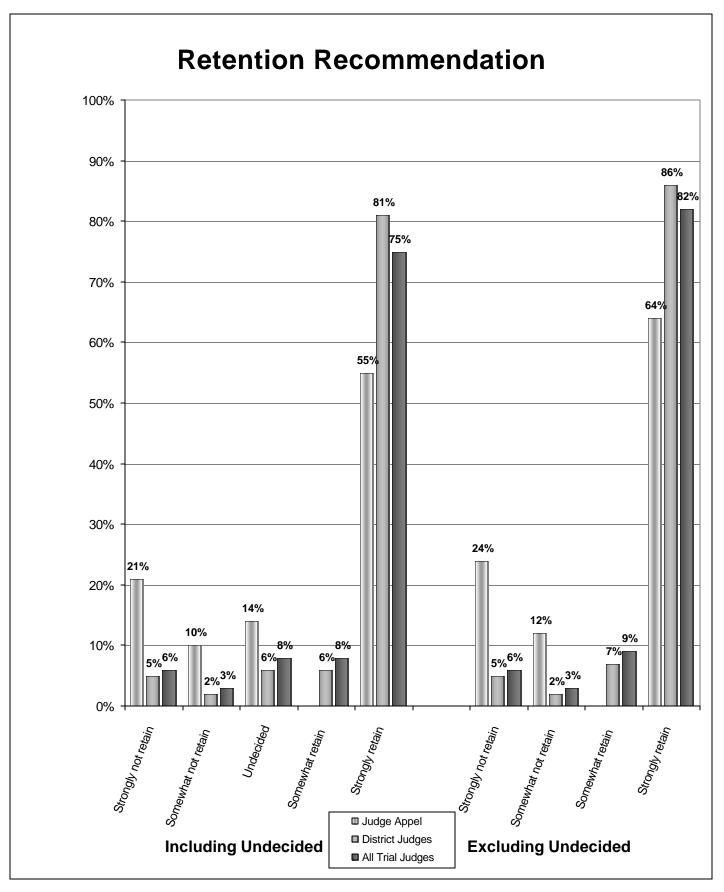












Non Attorney Survey

1a. How would you grade Judge Appel on "Giving proceedings a sense of dignity?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	55%	76%	69%
В	10%	16%	20%
C	10%	4%	6%
D	21%	1%	2%
Fail		2%	2%
No Grade	3%	1%	1%
Average	3.0	3.6	3.5
Letter Grade	В	B+	B+

Non Attorney Survey

1b. How would you grade Judge Appel on "Treating participants politely and with respect?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	50%	78%	72%
В	10%	14%	17%
C	13%	4%	5%
D	7%	2%	2%
Fail	13%	2%	3%
No Grade	7%	1%	1%
Average	2.8	3.6	3.5
Letter Grade	B-	B+	B+

Non Attorney Survey

1c. How would you grade Judge Appel on "Conducting [his/her] courtroom in a neutral manner?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	53%	76%	70%
В	10%	14%	17%
C	10%	4%	5%
D	17%	2%	3%
Fail	3%	3%	3%
No Grade	7%	1%	1%
Average	3.0	3.6	3.5
Letter Grade	В	B+	B+

Non Attorney Survey

1d. How would you grade Judge Appel on "Having a sense of compassion and human understanding for those who appear before the judge?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	50%	71%	66%
В	7%	16%	18%
C	10%	4%	6%
D	10%	2%	3%
Fail	17%	4%	5%
No Grade	7%	2%	2%
Average	2.7	3.5	3.4
Letter Grade	B-	B+	B+

Non Attorney Survey

2a. How would you grade Judge Appel on "Giving participants an opportunity to be heard?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	50%	77%	71%
В	17%	13%	16%
C	3%	4%	5%
D	17%	2%	3%
Fail	7%	3%	3%
No Grade	7%	2%	2%
Average	2.9	3.6	3.5
Letter Grade	B-	B+	B+

Non Attorney Survey

2b. How would you grade Judge Appel on "Treating those involved in the case without bias?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	50%	75%	69%
В	17%	13%	16%
C	3%	3%	5%
D	10%	2%	3%
Fail	13%	4%	4%
No Grade	7%	2%	3%
Average	2.9	3.6	3.5
Letter Grade	B-	B+	B+

Non Attorney Survey

2c. How would you grade Judge Appel on "Treating fairly people who represent themselves?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	53%	47%	49%
В	3%	8%	11%
C	3%	2%	4%
D	13%	1%	2%
Fail	10%	3%	4%
No Grade	17%	38%	30%
Average	2.9	3.6	3.4
Letter Grade	B-	B+	B+

Non Attorney Survey

2d. How would you grade Judge Appel on "Giving each side enough time to present his or her case?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	50%	75%	68%
В	10%	13%	16%
C	13%	4%	5%
D	13%	2%	2%
Fail	7%	3%	3%
No Grade	7%	4%	5%
Average	2.9	3.6	3.5
Letter Grade	B-	B+	B+

Non Attorney Survey

3a. How would you grade Judge Appel on "Making sure participants understand the proceedings, and what's going on in the courtroom?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	53%	77%	73%
В	17%	14%	16%
C	10%	4%	5%
D	10%	2%	2%
Fail	3%	1%	2%
No Grade	7%	1%	1%
Average	3.1	3.7	3.6
Letter Grade	В	A-	B+

Non Attorney Survey

3b. How would you grade Judge Appel on "Using language that everyone can understand?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	60%	78%	74%
В	17%	14%	18%
C		4%	5%
D	10%	1%	2%
Fail	7%	1%	1%
No Grade	7%	1%	1%
Average	3.2	3.7	3.6
Letter Grade	В	A-	B+

Non Attorney Survey

3c. How would you grade Judge Appel on "Speaking clearly so everyone in the courtroom can hear what's being said?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	50%	79%	75%
В	20%	14%	16%
C	3%	4%	5%
D	3%	1%	1%
Fail	17%	1%	1%
No Grade	7%	1%	1%
Average	2.9	3.7	3.6
Letter Grade	B-	A-	B+

Non Attorney Survey

4a. How would you grade Judge Appel on "Beginning court on time?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	26%	59%	55%
В	29%	26%	26%
C	16%	9%	10%
D	10%	3%	3%
Fail	13%	2%	3%
No Grade	6%	2%	3%
Average	2.5	3.4	3.3
Letter Grade	C+	B+	В

Claude W. Appel Non Attorney Survey

4b. How would you grade Judge Appel on "Maintaining appropriate control over proceedings?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
A	63%	78%	72%
В	17%	16%	18%
C	3%	4%	5%
D	10%	1%	2%
Fail		1%	1%
No Grade	7%	1%	2%
Average	3.4	3.7	3.6
Letter Grade	B+	A-	B+

Non Attorney Survey

4c. How would you grade Judge Appel on "Setting reasonable schedules for cases?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	50%	62%	58%
В	13%	18%	19%
C	13%	6%	6%
D	13%	2%	2%
Fail	•••	2%	2%
No Grade	10%	11%	12%
Average	3.1	3.5	3.5
Letter Grade	В	B+	B+

Non Attorney Survey

4d. How would you grade Judge Appel on "Being prepared for [his/her] cases?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	57%	73%	67%
В	17%	14%	17%
C	13%	4%	5%
D	3%	1%	2%
Fail	3%	2%	2%
No Grade	7%	6%	7%
Average	3.3	3.6	3.6
Letter Grade	В	B+	B+

Non Attorney Survey

4e. How would you grade Judge Appel on "Managing court proceedings so that there is little wasted time?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	48%	63%	61%
В	19%	23%	23%
C	16%	7%	8%
D	6%	2%	3%
Fail	3%	2%	3%
No Grade	6%	3%	3%
Average	3.1	3.5	3.4
Letter Grade	В	B+	B+

Non Attorney Survey

5a. How would you grade Judge Appel on "Giving reasons for rulings?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	43%	67%	62%
В	17%	16%	18%
C	13%	5%	6%
D	10%	2%	3%
Fail	7%	3%	4%
No Grade	10%	7%	7%
Average	2.9	3.5	3.4
Average		0.0	3. .
Letter Grade	B-	B+	B+

Non Attorney Survey

5b. How would you grade Judge Appel on "Willing to make decision without regard to possible outside pressure?"

	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	47%	63%	58%
В	17%	12%	14%
C	7%	4%	5%
D	3%	2%	2%
Fail	17%	3%	3%
No Grade	10%	17%	17%
Average	2.8	3.6	3.5
Letter Grade	В-	B+	B+

Non Attorney Survey

5c. How would you grade Judge Appel on "Being able to identify and analyze relevant facts?"

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Α	53%	69%	64%
В	10%	14%	16%
C	17%	4%	5%
D	3%	2%	3%
Fail	7%	3%	4%
No Grade	10%	8%	8%
Average	3.1	3.6	3.4
Letter Grade	В	B+	B+

Non Attorney Survey

6. How biased do you think Judge Appel is towards the defense or the prosecution?

		Judge Appel	All District Judges 2006	All Trial Judges 2006
_ <u></u>	5	13%	2%	2%
Toward Prosecution A	4		1%	1%
secut	3	7%	1%	2%
d Pro	2		2%	3%
owar	1	13%	4%	5%
	0 Neutral	47%	83%	78%
se	-1		3%	3%
Defen	-2		1%	2%
Toward Defense	-3	13%	1%	1%
ß	-4		1%	1%
10	-5	7%	1%	2%
	Average	0.3	0.1	0.1

Claude W. Appel Non Attorney Survey

7. How lenient or harsh do you think the sentences handed down by Judge Appel are?

		Judge Appel	All District Judges 2006	All Trial Judges 2006
_ <u>^</u>	5	17%	3%	3%
Harsh Ā	4	11%	2%	2%
Hal	3	11%	2%	2%
	2		2%	3%
	1	6%	3%	4%
	0 Appropriate	44%	75%	71%
	-1	6%	5%	5%
	-2	6%	4%	4%
<u>t</u>	-3		2%	2%
S Light	-4		1%	1%
IJ	-5		1%	2%
	Average	1.5	0.1	0.1

Non Attorney Survey

12. Do you recommend that Judge Appel be retained in office or not be retained in office?

_	Judge Appel	All District Judges 2006	All Trial Judges 2006
Strongly Recommend Retain in office	64%	86%	82%
Somewhat Recommend Retain in office		7%	9%
Somewhat Recommend Not Retain in office	12%	2%	3%
Strongly Recommend Not Retain in office	24%	5%	6%



<u>Methodology</u>

Sample:

The Colorado Judicial Department provided Talmey-Drake Research & Strategy. Inc. with all the names and addresses of people from its ICON data base who in the previous 12 months had likely been in each judge's courtroom who was up for retention in November 2006. These names and addresses where then supplemented from the Colorado District Attorney's database (Blackstone system) and the databases of jurors, court employees and sheriff's security.

The data was then combined and cleaned. Where there were more than 400 potential respondents, a random sample was drawn. Included in the data cleaning was the identification of potential respondents in the sample who had appeared in more than two judges' courtrooms. Due to concerns about respondent fatigue, no more than two questionnaires were intended to be sent to any one respondent—though there were a few instances where one respondent did receive more than two questionnaires. Where a person had been in more than two judges' courtrooms, the selection criteria for which judges he or she would be sent questionnaires was generally: first, for the judge in whose courtroom the potential respondent had been in most often, and two, the judge with the smallest sample of the judges in whose courtroom the potential respondent had been in.

Survey:

Starting in October 2005, each person in the sample database was mailed an initial questionnaire and an introductory letter with a postage-paid return envelope. Those who did not respond to the first questionnaire were then sent a sent a second questionnaire and letter, and in some cases reminder postcards. During this process, the sample was augmented for judges with a particularly low number of completed questionnaires.

Questionnaire: The questionnaire used in the 2006 Judicial Performance Survey asked respondents to use a grade of A, B, C, D, or F (Fail) to assess the judge's performance in five different areas. For attorney these areas were Case Management, Application and Knowledge of Law, Communications, Demeanor and Diligence, and for non-attorney respondents the five were Demeanor, Fairness, Communications, Diligence and Application of Law. These scores were then converted to a numerical value where A = 4, B = 3, C =2, D = 1 and Fail = 0. Copies of the questionnaires are included at the end of this report.

Response:

The response rate is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses & refusals, undeliverables and other responses. The table presents the overall response rate as well as the response rate by the different types of respondents.

Attorney Respondents	Total Sent	Completed	Refused/ Nonre- sponse	Unde- livered	<u>Other</u>	Response <u>Rate</u>
District Attorney	684	402	223	56	3	64.3%
Attorney For Defendant	5,159	2,760	1895	490	14	59.3%
Attorney for Plaintiff	1,925	1,023	689	206	7	59.8%
Unknown Attorney Type	963	436	418	107	2	51.1%
Attorney Total	8,731	4,621	3225	859	26	58.7%

Non-Attny Respondents	Total Sent	Completed	Refused/ Nonre- sponse	Unde- livered	<u>Other</u>	Response Rate
Law Enforcement	5,659	1,161	3,276	1,195	27	26.2%
Employee	1,307	600	489	205	13	55.1%
Defendant	31,391	3,658	17,494	10,124	115	17.3%
Plaintiff	7,688	1,449	4,699	1,506	34	23.6%
Juror	16,267	9,482	5,961	795	29	61.4%
Other	4,061	401	2,342	1,281	37	14.6%
Parole Officers	599	323	136	125	15	70.4%
Non-attorney Total	66,972	17,074	34,397	15,231	270	33.0%
Total	75,703	21,695	37,622	16,090	296	36.4%

A similar table showing the response count and cooperation rate for your survey is provided at the end of this methodology section.

Results:

The results of the Survey are in two main sections: Attorney Respondents and Non-attorney Respondents. Within each main section are three subsections graphs displaying average grades for each section and question, the percentage distribution of grades, and respondent comments.

Graphs: The graphs visually display the overall average numerical grades received for all grade questions, each section and each question compared to averages for all district or county judges, and all trial judges for which 2006 surveys were conducted. Questions relating to bias toward the defense or prosecution, sentencing and retention recommendation are also graphed.

Percentage Distribution of Grades: This section shows the percentage of each grade received on each question plus the bias, sentencing and retention questions. An average grade point for each graded question is computed and shown in the results section. A letter grade is also assigned to each grade point according to the following scale.

This section also includes two comparative columns of results: one comparative column shows the results for that question for all county or district judges (as appropriate) surveyed in 2006, along with a second comparative column showing the results for all trial judges in the 2006 survey.

Comments: Each respondent was encouraged to comment on the judge's performance. This section contains those comments.

Sampling Error:

All sample surveys are subject to what is known as sampling error—the extent to which the results of the sample survey may differ from what would be obtained if the entire population being surveyed had been interviewed. The size of the sampling error is almost entirely due to the number of people interviewed for the survey and the variance of responses.

The table below shows the extent of sampling error (95%-confidence interval) for various sizes of random samples and sample percentage results.

	Sample Size									
	<u>25</u>	<u>50</u>	<u>100</u>	<u>150</u>	<u>200</u>	<u>300</u>				
Percentages near 10% or 90%	± 11.8%	± 8.3%	± 5.9%	± 4.8%	± 4.2%	± 3.4%				
Percentages near 20% or 80%	± 15.7%	± 11.1%	± 7.8%	± 6.4%	± 5.5%	± 4.5%				
Percentages near 30% or 70%	± 18.0%	± 12.7%	± 9.0%	± 7.3%	\pm 6.4%	± 5.2%				
Percentages near 40% or 60%	± 19.2%	± 13.6%	± 9.6%	± 7.8%	± 6.8%	± 5.5%				
Percentages near 50%	\pm 19.6%	± 13.9%	± 9.8%	± 8.0%	\pm 6.9%	± 5.7%				

For example, suppose a reported percentage is 80% in a sample size of 150, then one could expect that 95% of the time that a random sample of the same size and collected the same way would include the true population percentage within the range of 80% plus or minus 6.4%.

Averages such as grade points also have a 95% confidence interval, however it is not so easily displayed in a table as confidence intervals about a percentage. Suffice it to say that the confidence interval about a score generally increases with smaller sample size.

Responses by Type

	Total				Other Non-	Coop
Role Type	Sent	Completes	Refusals	Undeliverable	Responses	Rate
District Attorney	1	0	1	0	0	0.0%
Attorney For Defendant	18	10	4	3	1	71.4%
Attorney for Plaintiff	6	4	2	0	0	66.7%
Unknown/Other Attorney Type	3	2	1	0	0	66.7%
Sub Total:	28	16	8	3	1	64.0%
Defendant	101	17	56	27	1	23.3%
Plaintiff	38	7	28	3	0	20.0%
Employee	9	4	3	2	0	57.1%
Other	4	0	4	0	0	0.0%
Parole Officers	3	3	0	0	0	100.0%
Sub Total:	155	31	91	32	1	25.2%
Grand Total:	183	47	99	35	2	31.8%

-	
S	Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge [Name] be retained in office, or no retained in office?
Į	Strongly recommend he be retained in office
	And why do you think that way about retaining or not retaining Jucanne?

Thank you for your time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

Commission on Judicial Performance

Attorney Questionnaire

Evaluation of [Name of Judge]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don not have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NS).

	1						DK
<u>1.</u>	Case Management:	<u>A</u>	В	С	D	F	N/A
a.	Promptly making rulings during trial.	4	3	2	1	0	9
b.	Beginning court on time.	4	3	2	1	0	9
c.	Allotting appropriate amount of time for cases.	4	3	2	1	0	9
d.	Keeping cases moving according to schedule.	4	3	2	1	0	9
e.	[Civil only] ¹ Assisting parties to reach agreement.	4	3	2	1	0	9
f.	Maintaining appropriate control over proceedings.	4	3	2	1	0	9
g.	Promptly ruling on pre-trial motions.	4	3	2	1	0	9
h.	Promptly issuing a decision on the case after trial.	4	3	2	1	0	9
i.	Setting reasonable schedules for cases.	4	3	2	1	0	9
							DK
2.	Application and Knowledge of Law:	Α	В	С	D	F	N/A
a.	Basing decisions on evidence and arguments.	4	3	2	1	0	9
b.	Giving reasons for rulings.	4	3	2	1	0	9
c.	[Civil only] ¹ Issuing written findings of fact and law.	4	3	2	1	0	9
d.	Willing to reconsider error in fact or law.	4	3	2	1	0	9
e.	Having knowledge of relevant substantive law.	4	3	2	1	0	9
f.	Having knowledge of rules of procedure						
	and evidence.	4	3	2	1	0	9
g.	Being able to identify and analyze relevant facts.	4	3	2	1	0	9
h.	[Criminal only] ² Issuing consistent sentences when						
	the circumstances are similar.	4	3	2	1	0	9
i.	Willing to make decisions without regard						
	to possible criticism.	4	3	2	1	0	9
j.	Making decisions without fear of being appealed.	4	3	2	1	0	9

¹ Please answer questions 1e & 2c only if you have observed the judge in a civil case.

² Please answer question 2h only if you have observed the judge in a criminal case.

. Communications:	Α	В	С	D	F	DK N/A
. Speaking clearly.	4	3	2	1	0	9
o. Makings sure all participants understand						
the proceedings.	4	3	2	1	0	9
. Using understandable language.	4	3	2	1	0	9
l. Providing written communications that are						
clear, thorough and well reasoned.	4	3	2	1	0	9
						DK
. Demeanor:	Α	В	С	D	F	N/A
. Giving proceedings a sense of dignity.	4	3	2	1	0	9
o. Treating everyone involved politely.	4	3	2	1	0	9
. Having empathy with the parties involved.	4	3	2	1	0	9
l. Treating participants with respect.	4	3	2	1	0	9
Presenting a neutral presence on the bench.	4	3	2	1	0	9
Being consistent in [his/her] behavior	4	3	2	1	0	9
g. Conducting [his/her] courtroom in a neutral						
manner.	4	3	2	1	0	9
a. Giving all participants an opportunity to be heard.	4	3	2	1	0	9
Consistently applying laws and rules.	4	3	2	1	0	9
Not engaging in <i>ex parte</i> communications	4	3	2	1	0	9
Treating pro se parties fairly.	4	3	2	1	0	9
						DK
. Diligence:	<u>A</u>	В	С	D	F	N/A
. Making tough decisions when necessary.	4	3	2	1	0	9
. Using good judgment in application of relevant		•	_		0	
law and rules.	4	3	2	1	0	9
Doing the necessary "homework" and being	4	2	2	1	0	0
prepared for [his/her] cases.	4	3	2	1	0	9
l. Being willing to handle cases on the docket even when they are complicated and time consuming.	4	3	2	1	0	9
. Providing prompt access to the court		3	2			
in emergency matters.	4			1	0	9

	Rias toward	Completely	Rias tow
	unbiased, circle "0."		
	defense or the prosec	ution. If you feel Judge [Name	is completely
	appropriate number l	now biased you think Judge [N	[ame] is toward the
6.		On the scale below, please indic	, 0

Bias toward			Completely				Bias toward			
Defense			Neutral				Prosecution			
← 5	4	3	2	1	0	1	2	3	4	5

ne scale below, please indicate by circling the
lenient or how harsh you think the sentences
Judge /Name/ are. If you feel Judge /Name/
ropriate sentences, circle "0."
,

	ences Light			A S		Sentences Too Harsh				
◆ 5	4	3	2	1	0	1	2	3	4	5

8.	If an associate were assigned to Judge [Name] in a case and asked you for
	advice, what would you say?

9.	What would you say are Judge [Name]'s strengths?

[Continued on Back Page]

¹ Please answer questions 6 & 7 only if you have observed the judge in a criminal case.

Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge [Name] be retained in office, or not retained in office?	7
Strongly recommend [he/she] be retained in office	5 4 3 2 1
And why do you think that way about retaining or not retaining Judge [Name]?	- - -

Thank you for you time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

Commission on Judicial Performance

Evaluation of [Name of Judge]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

1. Demeanor:	Α	В	С	D	F	DK N/A
a. Giving court proceedings a sense of dignity.	4	3	2	1	0	9
b. Treating participants in the case politely and with respect.	4	3	2	1	0	9
c. Conducting [his/her] courtroom in a neutral manner.	4	3	2	1	0	9
d. Having a sense of compassion and human understanding for those who appear before [him/her].	4	3	2	1	0	9
2. Fairness:	Α	В	С	D	F	DK N/A
a. Giving participants an opportunity to be heard.	4	3	2	1	0	9
b. Treating those involved in the case without bias.	4	3	2	1	0	9
c. Treating fairly people who represent themselves.d. Giving each side enough time to present his	4	3	2	1	0	9
or her case.	4	3	2	1	0	9
3. Communications:	Α	В	С	D	F	DK N/A
a. Makings sure participants understand the proceedings, and what's going on in the					•	1471
courtroom.	4	3	2	1	0	9
b. Using language that everyone can understand.c. Speaking clearly so everyone in the courtroom	4	3	2	1	0	9
can hear what's being said.	4	3	2	1	0	9

4	Diligence:	•						Δ	R	С	D	F	DK N/A	8.	If a friend of yours was scheduled to appear before Judge [Name] as a party in either a civil or criminal case and asked you for advice, what
a.	Beginnin		t on tin	ne.				4	3	2	1	0	9		would you tell your friend about Judge /Name/?
b.	Maintain	0			rol over			·		_	_		,		would you tell your mend about judge [1 vame].
٥.	procee		proprin		2010101			4	3	2	1	0	9		
c.	Setting r		ble sch	edules	for case	s.		4	3	2	1	0	9		
d.	Being pr						4	3	2	1	0	9			
e.	Managin		_			here is	S								
	little w			O				4	3	2	1	0	9		
														9.	What would you say are Judge [Name]'s strengths?
_	A 11 .1								_	_	_	_	DK		
	Application Giving references							<u>A</u>	<u>B</u>	<u>C</u>	<u>∪</u>	<u>F</u>	N/A		
a.	_			_		1.		4	3	2	1	U	y		
b.	0		ide pres		nout reg	ard to		4	3	2	1	0	9		
	D. 1		_		المسمورية	orrant f	Facto	4	3	2	1	0	9		
c.	Denig ab	ne to ic	ienury a	and am	aryze rei	evant i	iacis.	4	3	2	1	U	y		
														10.	And what would you say are Judge [Name]'s weaknesses?
6.	[]fx	zou we	re in I	ndae l	Name]	e con	rtroor	n di	rrin	a a i	rrim	inal	case		
0.					this qu										
					below,										
	num	ber hov	w biased	d you th	nink Jud	ge [N a	<i>ime]</i> is	is toward the defense or the					or the		
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		toward				npletely	y					as to			
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	5	4	3	2	1	0	1	2		3		4	5	11.	Is there anything else that you would like to say about Judge [Name]?
7.	Πfτ	zou wa	ro in I	udae I	Name]	e con	rtroor	n di	rrin	$\alpha a a$	reim	inal	l case	_	
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