

## Commission on Judicial Performance

## The Honorable David M. Thorson

2011 Interim Judicial Performance Survey 11th Judicial District





May 6, 2011



The Honorable David M. Thorson Fremont County Judicial Center 136 Justice Center Road, Room 103 Canon City, CO 81212

#### Dear Judge Thorson:

I am pleased to make available to you the attached copy of your 2011 Interim Judicial Performance Survey Report. The report is based on two surveys relating to how you are seen carrying out the performance of your office: One of attorneys who have had cases in your court or who are knowledgeable about your judicial performance, second a survey of non-attorneys who have observed your performance in court or who have otherwise been affected by your performance as a judge. In addition to this introduction, the report is divided into five main sections:

- 1. A brief summary of the results of the two surveys.
- 2. The numerical results of the survey of attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments attorneys made about your judicial performance. In some instances the comments have been redacted to eliminate respondent identifying information. A copy of the attorney questionnaire is included in the final section of this report.
- 3. The numerical results of the survey of non-attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments these respondent made on the subject of your judicial performance. In few instances the comments have been redacted to eliminate respondent identifying information. A copy of the non-attorney questionnaire is included in the final section of this report.
- 4. The fourth section of the Report discusses the methodology of the surveys.
- 5. The final section provides copies of the questions or questionnaires that were used for each survey.

Hon. David M. Thorson May 6, 2011 Page 2



If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 303-443-5300 ext 1 or by email at *talmey@talmeyresearch.com* (please put the words "Judicial Performance" in the subject line), and for any other questions you might have about the survey please call the Executive Director of the Office of Judicial Performance Evaluation, Jane Howell, at 303-866-6465.

Best regards,

Paul A. Talmey President

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# Summary of Results by Year and Juror/Non-Juror

On average, district judges received an overall combined average grade in the 2011 Interim Judicial Performance Survey of 3.49--the overall average grade received from attorney respondents, 3.30, to the survey plus the overall average grade received from non-attorney respondents, 3.67, divided by two.

Based on an average attorney grade of 3.77, and an average non-attorney grade of 3.53, Judge David M. Thorson's combined grade for the 2011 Interim Judicial Performance Survey of 3.65.

Judge Thorson Average Grade									
	Combined Attorney Non-attorn								
Overall Grade	3.65	3.77	3.53						
Sample Size	-	55	267						

Table 1

The results presented in this report are based on data from cases heard and closed by Judge Thorson from 2005¹ through 2010. (See Methodology section for description of sampling process.) Table 2 shows Judge Thorson's overall average grades for each of these years.

	Judge	Thorson Av	erage Grades	by Year			
	Combined	Atto	rney	Non-Attorney			
	Average	Average	Sample	Average	Sample		
Year	Score	Score	Size	Score	Size		
2005	3.68	3.52	5	3.83	47		
2007	3.54	3.74	21	3.33	60		
2008				3.62	73		
2009	3.57	3.95	12	3.18	48		
2010	3.75	3.77	17	3.73	39		
Overall	3.65	3.77	55	3.53	267		

Table 2

Due to sending questionnaires to all jurors—not just a sample—and their much higher response rate than other non-attorneys surveyed, the percentage of jurors in the district judge sample of the non-attorney survey is 66%. Moreover, jurors tend to grade judges much higher than non-jurors. The juror overall average grade for district judges was

<sup>&</sup>lt;sup>1</sup> There were no surveys conducted based on cases that closed in 2006. See methodology section for more details.

3.86, while the overall average grade awarded by non-jurors was 3.28. The effect of this is that judges with a higher percentage of jurors in their sample tend to have higher average grades in the non-attorney survey than those judges with a small percentage of jurors. The number of jurors in a judge's sample is, of course, closely related to the number of jury trials the judge presides over.

The table below shows Judge Thorson's non-attorney results broken out by jurors and non-jurors for each year from 2005 to 2010. It also shows the overall average juror and non-juror grades for all district judges.

		Judge	e Thors	on Average	Grade	es by Ye	ear			
	Ju	ırors		Non	-Jurors		All Distr	All District Judges		
	Average	San	nple	Average	Sar	nple	Juror	Non-Juror		
Year	Score	Size	%	Score	ore Size %		core Size % Av		Average	Average
2005	3.87	42	89%	3.54	5	11%	3.84	3.25		
2007	3.43	11	18%	3.30	49	82%	3.86	3.31		
2008	3.84	41	56%	3.35	32	44%	3.87	3.30		
2009	3.80	7	15%	3.07	41	85%	3.87	3.26		
2010	3.73	27	69%	3.72	12	31%	3.88	3.28		
All Yrs.	3.79	128	48%	3.29	139	52%	3.86	3.28		

Table 3

Table 3 allows one to compare Judge Thorson's juror and non-juror grades with the all district judge averages to better ascertain if the judge is seen as performing relatively well or relatively poorly among these two subgroups.

# Survey of Attorneys Regarding Judge David M. Thorson

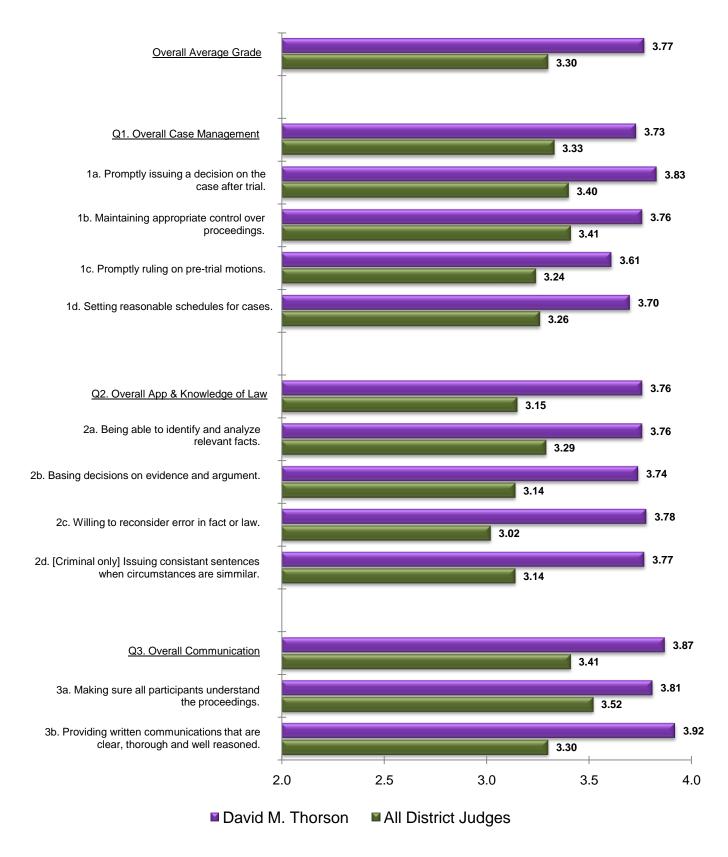
(Sample Size 55)

Survey of Attorneys		<u> </u>	9	<u> </u>	uug.	<del></del>		
Judge David M. Thorson							Aver	age
Sample Size = 55	А	В	С	D	Fail	DK/NA	David M. Thorson	All Distric Judges
I. Case Management:								
1a. Promptly issuing a decision on the case after trial.	64%	13%	0%	0%	0%		3.83	3.40
1b. Maintaining appropriate control over proceedings.	78%	16%	4%	0%	0%		3.76	3.41
1c. Promptly ruling on pre-trial motions.	60%	18%	4%	0%	2%		3.61	3.24
1d. Setting reasonable schedules for cases.	73%	18%	5%	0%	0%		3.70	3.26
			Overa	all Cas	e Mana	agement	3.73	3.33
2. Application and Knowledge of Law:								
2a. Being able to identify and analyze relevant facts.	76%	13%	2%	2%	0%	7%	3.76	3.29
2b. Basing decisions on evidence and arguments.	65%	9%	2%	0%	2%		3.74	3.14
2c. Willing to reconsider error in fact or law.	71%	16%	2%	0%	0%		3.78	3.02
2d. Issuing consistent sentences when the circumstances are similar.	49%	15%	0%	0%	0%	37%	3.77	3.14
	Overall	Applica	tion an	nd Kno	wledge	e of Law	3.76	3.15
3. Communications:								
3a. Making sure all participants understand the proceedings.	83%	11%	4%	0%	0%	2%	3.81	3.52
3b. Providing written communications that are clear, thorough	77%	6%	4 % 0%	0%	0%		3.92	3.30
and well reasoned.	11 /0	0 70	0 70	0 70	0 70	1076	3.92	3.30
			Ove	rall Co	mmun	ications	3.87	3.41
4. Demeanor:								
4a. Giving proceedings a sense of dignity.	82%	15%	2%	0%	0%	2%	3.81	3.49
4b. Treating parties with respect.	82%	15%	2%	0%	0%		3.81	3.41
4c. Conducting his/her courtroom in a neutral manner.	78%	16%	4%	0%	0%		3.76	3.29
4d. Consistently applying laws and rules.	80%	13%	2%	0%	0%		3.82	3.24
3 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2				Ove	erall De	meanor	3.80	3.36
5. Diligence:								
5a. Using good judgment in application of relevant law and	71%	16%	5%	2%	0%	5%	3.65	3.18
rules.	<i>i</i> 170	1070	J 70	∠70	U 70	370	3.03	3.10
5b. Doing the necessary homework and being prepared for his/her cases.	76%	15%	2%	2%	0%	5%	3.75	3.33
5c. Being willing to handle cases on the docket even when they are complicated and time consuming.	69%	16%	0%	0%	0%	15%	3.81	3.41
				Ov	erall D	iligence	3.74	3.31
			Over	all Ass	2 2 2 2 2	Grade:	3.77	3.30

Survey of Attorneys Regarding Trial Judges		
	Percer	ntage
Judge David M. Thorson  Sample Size = 55	David M. Thorson	All District Judges
Would you say the judge is:		
Very biased in favor of the prosecution Somewhat biased in favor of the prosecution Completely neutra Somewhat biased in favor of the defense Very biased in favor of the defense Don't know or not sure	19% 75% 0% 0%	11% 26% 47% 8% 2% 4%
8. How strongly do you recommend that the Judge be retained in office, or not be retained in office?  [Percentages excluding undecided responses.]  Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain	6% 0%	72% 16% 6% 6%
Total Retain  Total Not Retain	100%	88% 12%
[Percentages including undecided responses.]  Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain Total Retain Undecided/Don't Know Total Not Retain	6% 4% 0% 0% 097% 4%	69% 15% 4% 6% 6% 84% 4%

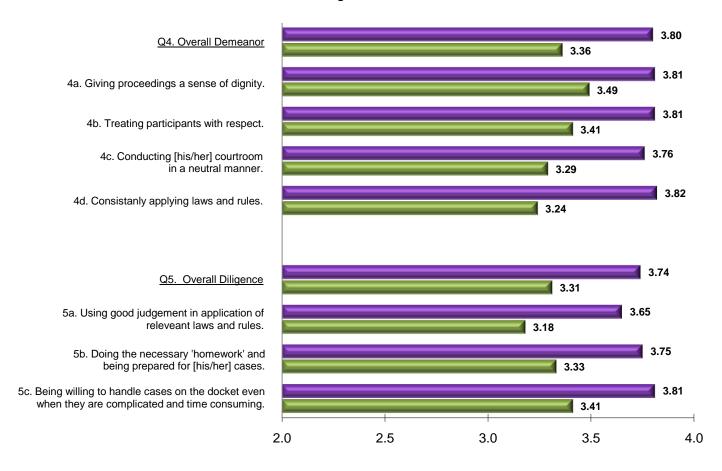
## Survey of Attorneys Regarding Trial Judges

**Average Grades** 

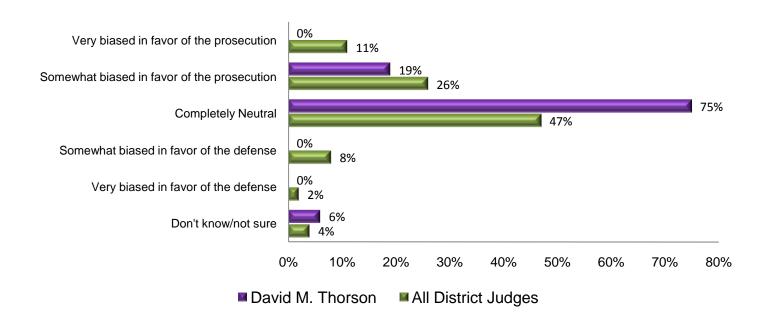


## **Survey of Attorneys Regarding Trial Judges**

**Average Grades** 

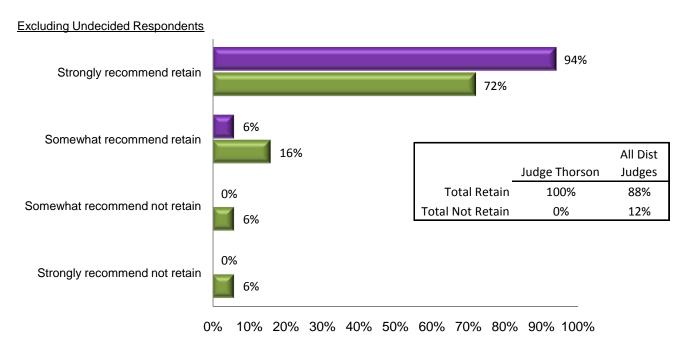


#### Biased in favor of prosecution/defense.



## **Survey of Attorneys Regarding Trial Judges**

## Q8. How strongly do you recommend that Judge Thorson be retained or not retained in office?



**Including Undecided Respondents** 91% Strongly recommend retain 69% 6% Somewhat recommend retain 15% All Dist 4% Judge Thorson **Judges** Undecided or DK 4% **Total Retain** 97% 84% Undecided or DK 4% 4% 0% Somewhat recommend not retain **Total Not Retain** 0% 12% 6% 0% Strongly recommend not retain 6%

10% 20% 30% 40% 50% 60% 70% 80% 90% 100%

■ David M. Thorson ■ All District Judges

# Survey of Non-Attorneys Regarding Judge David M. Thorson

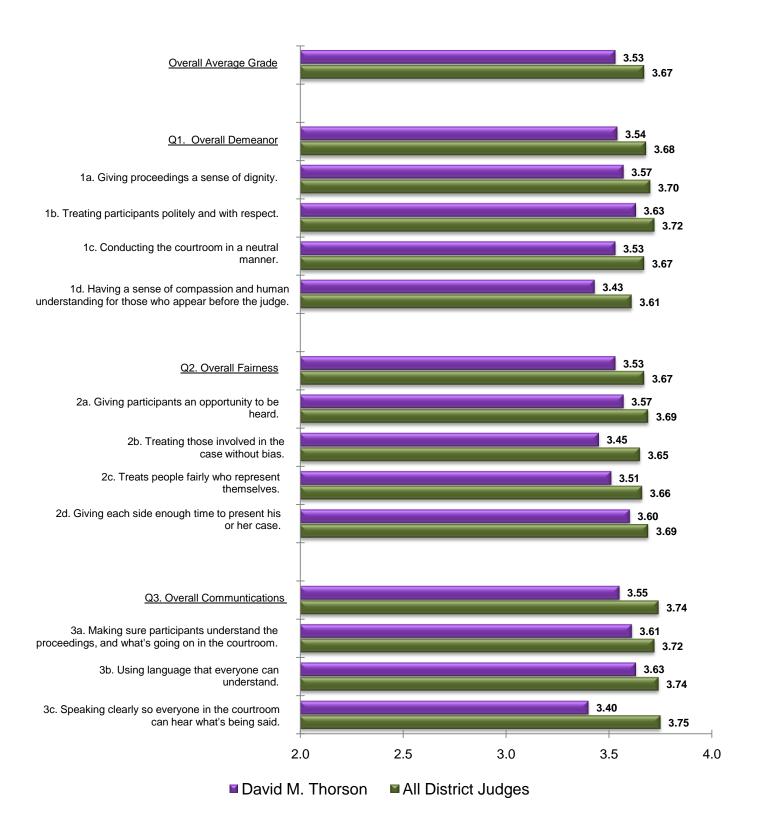
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Survey of Non-Attorne	ys R	egaro	ding	Tria	ıl Ju	dges		
ludge Devid M. Thereen							Aver	age
Judge David M. Thorson  Sample Size = 267	А	В	С	D	Fail	DK/NA	David M. Thorson	All District Judges
1. Demeanor:								
1a. Giving court proceedings a sense of dignity.	71%	20%	6%	2%			3.57	3.70
1b. Treating participants in the case politely and with respect.	76%	16%	3%	2%			3.63	3.72
1c. Conducting court in a neutral manner.	75%	15%	3%	3%			3.53	3.67
1d. Having a sense of compassion and human understanding for those who appear before the court.	68%	20%	2%	4%	5%	% 1%	3.43	3.61
				Ov	erall D	emeanor	3.54	3.68
2. Fairness:								
2a. Giving participants an opportunity to be heard.	76%	13%	3%	4%	3%	6 2%	3.57	3.69
2b. Treating those involved in the case without bias.	69%	16%	5%	2%	5%	6 2%	3.45	3.65
2c. Treating fairly people who represent themselves.	45%	8%	0%	0%	5%	6 42%	3.51	3.66
2d. Giving each side enough time to present his or her case.	73%	15%	3%	2%	3%	6 5%	3.60	3.69
				0	verall	Fairness	3.53	3.67
3. Communications:								
3a. Making sure participants understand the proceedings, and what is going on in the courtroom.	76%	16%	4%	2%	2%	% 0%	3.61	3.72
3b. Using language that everyone can understand.	76%	18%	3%	2%	2%	6 0%	3.63	3.74
3c. Speaking clearly so everyone in the courtroom can hear	64%	20%	9%	3%			3.40	3.75
what is being said.	0.70							
			Ove	erall Co	ommu	nications	3.55	3.74
4. Diligence:								
4a. Beginning court on time	61%	24%	8%	2%	2%	6 3%	3.43	3.54
4b. Maintaining appropriate control over proceedings.	76%	20%	2%	1%	19	6 0%	3.70	3.75
4c. Setting reasonable schedules for cases.	60%	20%	5%	2%			3.46	3.64
4d. Being prepared for cases.	70%	18%	5%	2%			3.55	3.71
4e. Managing court proceedings so that there is little wasted time.	63%	23%	8%	2%	3%	% 2%	3.44	3.58
				O	verall	Diligence	3.52	3.64
5. Application of Law:								
5a. Giving reasons for rulings.	71%	16%	5%	2%	3%	6 3%	3.54	3.60
5b. Willing to make decisions without regard to possible outside pressure.	62%	11%	3%	2%			3.48	3.64
5c. Being able to identify and analyze relevant facts.	67%	17%	3%	4%	49	6 5%	3.47	3.63
			Over	all App	licatio	on of Law	3.50	3.62
			Over	all Av	erage	Grade:	3.53	3.67

Survey of Non-Attorneys F	Regarding Trial Judges		
	togaranig iriai odagoo	Perce	ntage
Judge David M. Thorso	on	David M. Thorson	All District Judges
6. How biased do you think the Judge is toward the defense or prosecuti	on?		
[Please see the questionnaire at the end of report for question wording.]	Biased in favor of the prosecution total  Competely neutral	13% 79%	8% 86%
[A positive average indicates bias toward prosecution, and a negative average indicates a bias toward the defense.]	Biased in favor of the defense total  Average	6% 0.22	6% 0.07
7. How lenient or harsh do you think the sentences generally handed do	wn by Judge		
are? [Please see the questionnaire at the end of report for question wording.]	Harsh sentencing total Competely neutral Lenient sentencing total	14% 67% 21%	8% 82% 10%
[A positive average indicates sentences are harsh, and a negative average indicates sentences are lenient.]	Average	0.01	0.04
10. How strongly do you recommend that the Judge be retained or not reoffice?  [Percentages excluding undecided responses.]	etained in		
[i ercentages excluding undecided responses.]	Strongly recommend retain Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain	85% 8% 1% 6%	89% 6% 2% 4%
	Total Retain Total Not Retain	93% 7%	95% 6%
[Percentages including undecided responses.]			
	Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain	79% 7% 7% 1% 6%	84% 6% 5% 2% 4%
	Total Retain Undecided/Don't Know Total Not Retain	86% 7% 7%	90% 5% 6%

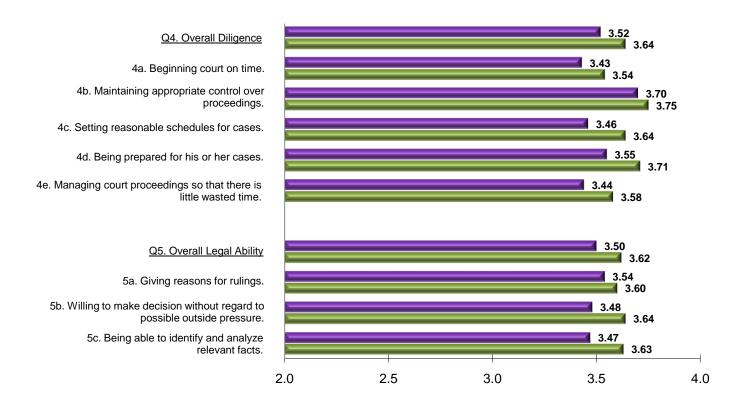
## **Survey of Non-Attorneys Regarding Trial Judges**

**Average Grades** 

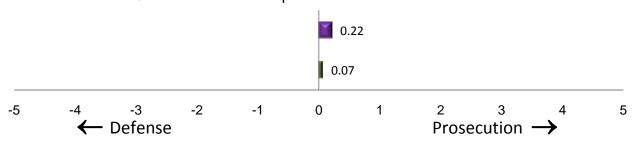


## **Survey of Non-Attorneys Regarding Trial Judges**

**Average Grades** 



## Q6 Biased in favor of prosecution/defense.

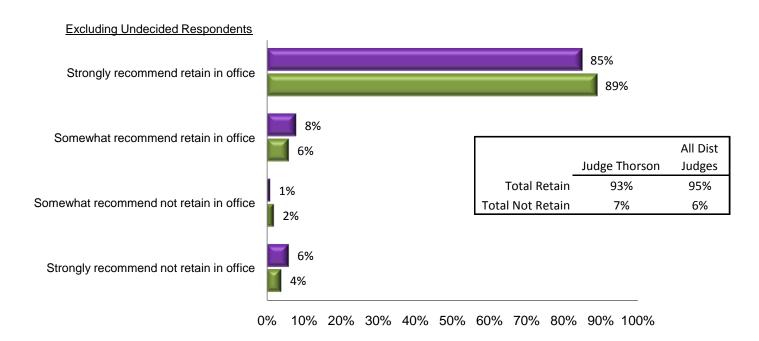


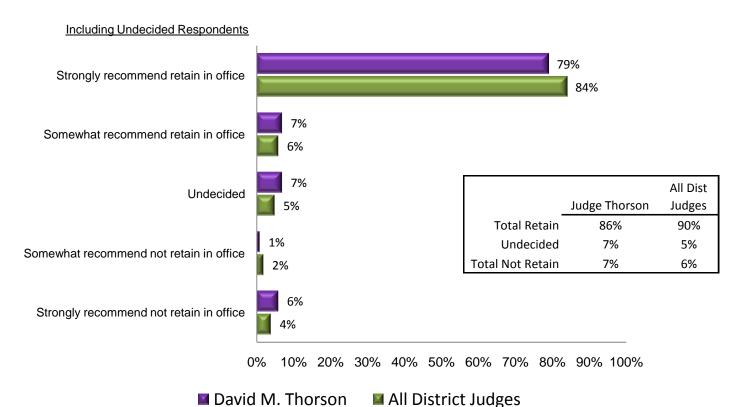
#### Q7 Lenience or Harshness in Sentencing.

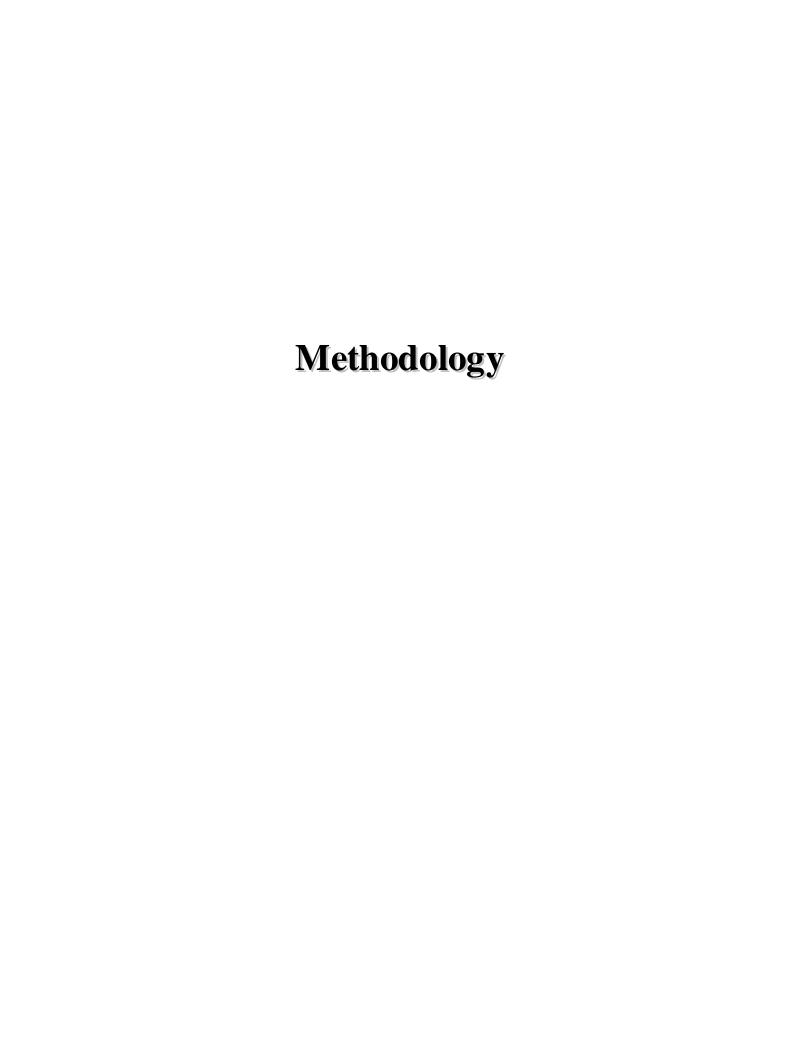


## **Survey of Non-Attorneys Regarding Trial Judges**

## Q10. How strongly do you recommend that Judge Thorson be retained or not retained in office?







## <u>Methodology</u>

The results shown in the 2011 Interim Judicial Performance Survey Report are based on two surveys: The Survey of Attorneys Regarding Trial Judges, and the Survey of Non-Attorneys Regarding Trial Judges. Below is a description of the methodology used in the two surveys.

### I Attorneys Regarding Trial Judges

#### a. Sample:

Talmey-Drake Research & Strategy received case data with the names of people who had likely been in each judge's courtroom from five primary sources:

- Colorado Judicial Department
- Colorado District Attorneys' Council
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)
- District Attorney's Office, Ninth Judicial District

Additional information was provided by the State Public Defender's Office and the courts in the 1<sup>st</sup> and 18<sup>th</sup> Judicial Districts.

The data from these different data sources are combined, duplicates removed and addresses corrected.

- i. **Prior to 2009**, the survey of Attorneys Regarding Trial Judges was conducted using paper questionnaires mailed to the attorneys' offices. A sample of attorneys drawn from the case data were assigned to evaluate judges subject to the following rules applied in the order shown.
  - 1. No attorney would be asked to evaluate the same judge in a 24-month period.
  - 2. If an attorney was eligible to evaluate both a trial judge and an appellate judge, the attorney was assigned to evaluate the appellate judge.
  - 3. If there were several judges the attorney could potentially evaluate, the attorney was assigned the judge with whom he or she had had the most cases during the sampling time frame, or the judge with the smallest sample in order to even out sample sizes among judges.

Attorneys were mailed a questionnaire, and if they did not respond they were sent a reminder postcard followed by a second questionnaire and in some cases a second reminder postcard. Questionnaires were barcoded, and if an attorney mailed back two questionnaires, the second one was deleted from the data file. Attorneys who did not complete the survey after the second request were then telephoned and asked to complete the survey by phone.

- **ii.** In 2009 the Attorneys Regarding Trial Judges Survey moved from being a paper survey mailed to potential respondents to an online survey. Moving the survey to online permitted asking individual attorneys to evaluate up to five trial judges, and with the exception of the effects of the modified assignment rules 1 through 3 below, it became a survey of all attorneys who had cases before trial judges. Allowing an attorney to evaluate up to five judges, entailed slightly modifying the assignment rules:
  - 1. No attorney would be asked to evaluate the same justice or judge in a 24-month period.
  - 2. If an attorney was eligible to evaluate both a trial judge and an appellate judge, the attorney was assigned to evaluate the appellate judge.
  - 3. If there were more than five judges who could be assigned to the attorney, the attorney was assigned the judges with whom he or she had had the most cases during the sampling time frame, or the judges with the smallest samples in order to even out sample sizes among judges.

Attorneys were first mailed a letter about the online survey to let them know that they would soon receive an email with a link to the survey. The Web address of the survey and a password were included in the letter if the attorney wanted to complete the survey immediately. A week after the first email was sent, a follow-up email was sent. Potential respondents who did not complete the survey after the second email were then telephoned and asked to either complete the survey then by phone, or to please complete it online.

**iii.** In 2010, and going forward, rule #2 above was changed so that an attorney could be asked to evaluate a combination of up to seven trial judges or Court of Appeals judges, if the attorney had had a case before the COA. Attorneys who had cases before the both the Supreme Court and trial judges during the sample time frame were asked to evaluate all seven Supreme Court justices, and not asked to evaluate the trial judges.

The results shown in the 2011 Interim Judicial Performance Survey Report for the Attorneys Regarding Trial Judges survey are based on the combined survey results collected from January 2005 through March 30, 2011¹ for those questions that have been consistently asked during that time period.

Since 2010, the Judicial Performance Survey reports have been based on a moving average, or rolling sample, of survey results collected over a period of time equal to the justice's or judge's term of office: ten years for a Supreme Court justice, eight years for a COA judge, six years for a district judge and four years for a county judge. To use a district judge as an example: as survey data is collected it is pooled together for six years. After six years, as new data is added to the judge's survey results in the first quarter of the seventh year, the oldest quarter of data in the pool is deleted.

The current data for all judges only goes back as far as 2005—or the year the judge took the bench—therefore the rolling of the survey results only affects the county judge sample in the 2011 Interim reports.

<sup>&</sup>lt;sup>1</sup> The State Commission on Judicial Performance authorized continuous surveying in 2007. Prior to 2007 data was only collected in the odd numbered years before the retention reports were issued. Therefore, while data was gathered in 2005 and 2007 through the 1<sup>st</sup> quarter of 2011, and used in this report, there was no data collected in 2006.

#### b. Questions:

The survey questions asked respondents to use a grade of A, B, C, D, or F to assess the justice or judge's performance on twelve aspects of judicial performance. (See Questionnaire section.) These grades were then converted to a numerical scores where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the justice or judge be retained or not retained in office.

#### c. Comments:

In addition to the A through F questions, respondents were also asked what they considered to be the judge's strengths and what they considered to be the judge's weaknesses. By statute these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the Commission, an attempt is made to redact all respondent identifying information from the comments.

Since 2005 there have been changes to the number of comment questions asked, though the strengths and weaknesses questions have been posed in every survey.

The number to the left of each comment refers to the same attorney respondent in both the strengths section and the weaknesses section.

Most spelling and typographical errors have been fixed, but where the respondent entered a comment in all upper or all lower case, or without punctuation, the comment was not corrected.

#### d. Analysis:

The Attorneys Regarding Trial Judges section first shows a table of the percentage distribution for each of the A through F questions, including "don't know" responses. The next column to the right shows the judge's average grade for each question. For comparison purposes, averages were also computed for all district judges and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all district judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the question about recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all district judges. The percentages are shown both including and excluding "don't know/undecided" responses.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution to the retention question is then presented in the graph on the next page.

The third part of the Attorneys Regarding Trial Judges section of the report lists the comments the attorney made about the judge's strengths and weaknesses.

#### e. Cooperation Rate:

The overall response rate for the Attorneys Regarding Trial Judges Survey is calculated as the number of completed survey-evaluations (the number of judges an attorney chose to evaluate) divided by the number of possible evaluations (the number of judges the attorney could have evaluated) resulting in an overall response rate of 43.3% for district judges and 33.4% for county judges. An equivalent response rate for an individual judge is computed as the number of completed survey-evaluations for that judge divided by the number of possible evaluations that could have been completed for the judge.

Since 2009 attorneys have generally been asked to evaluate multiple judges per survey cycle, which affects the way cooperation rates are calculated and results in what appear to be lower overall cooperation rates. However, comparing the percentage of unique attorneys who responded to the mail surveys conducted from 2005 to 2008 to the percentage of unique attorneys who responded to the online surveys conducted from 2009 to the first quarter of 2011 yields very similar percentages. From 2005 to 2008 6,347 unique attorneys were asked to participate in the Judicial Performance mail surveys. Four thousand three (3,984), or 62.8%, responded with a completed questionnaire. Similarly, from 2009 through the first quarter 2011 Talmey-Drake asked 7,589 unique attorneys to participate in the Judicial Performance online survey, of which an almost identical percentage, 62.9% (4,777 attorneys) responded with one or more completed survey-evaluations of a judge. On average each attorney responding to the online survey request evaluated 3.7 judges. <sup>2</sup>

<sup>&</sup>lt;sup>2</sup> Because Talmey-Drake deletes survey results associated with judges who are no longer on the bench, the counts used in this paragraph refer only to data collected regarding trial judges who are active at this writing.

### **II Non-Attorneys Regarding Trial Judges**

#### a. Sample:

Talmey-Drake Research & Strategy received case data with the names of non-attorneys who had likely been in each judge's courtroom from five primary sources:

- Colorado Judicial Department
- Colorado District Attorneys' Council
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)
- District Attorney's Office, Ninth Judicial District

The data from these different data sources are combined, duplicates removed and addresses corrected.

Depending on the number of names available to be sampled for each judge, a random sample was drawn if the quantity of potential respondents was large. On the other hand, if the count of possible respondents was small, all potential respondents were included in the sample. Where a person had been in more than one judge's courtroom, the selection criteria for which judge he or she would be sent a questionnaire was generally for the judge in whose courtroom the potential respondent had been in most often.

Each person whose name was sampled for the Non-Attorney Survey was mailed an initial postcard informing the recipient that he or she would be receiving a questionnaire. Two to three weeks after the post card was mailed, the potential respondent was sent a personalized introductory letter and a questionnaire with a postage-paid return envelope. If the person did not respond, a second questionnaire and letter were sent approximately four weeks later. Questionnaires are barcoded, and if a respondent mailed back two questionnaires, the second one was deleted from the data file.

Since in 2010, non-attorney section of the Judicial Performance Survey reports have been based on a moving average, or rolling sample, of survey results collected over a period of time equal to the judge's term of office: six years for a district judge and four years for a county judge. To use a district judge as an example: as survey data is collected it is pooled together for six years. After six years, as new data is added to the judge's survey results in the first quarter of the seventh year, the oldest quarter of data in the pool is deleted.

The current data for all judges only goes back as far as 2005 – or the year the judge took the bench – therefore the rolling of the survey results only affects the county judge sample in the 2011 Interim reports. <sup>3</sup>

<sup>&</sup>lt;sup>3</sup> The State Commission on Judicial Performance authorized continuous surveying in 2007. Prior to 2007 data was only collected in the odd numbered years before the retention reports were issued. Therefore, while data was gathered in 2005 and 2007 through the 1<sup>st</sup> quarter of 2011, and used in this report, there was no data collected in 2006.

#### b. Questions:

The survey questions asked respondents to use a grade of A, B, C, D, or F to assess the justice or judge's performance on twelve aspects of judicial performance (See Questionnaire section.) These grades were then converted to a numerical scores where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the justice or judge be retained or not retained in office.

A copy of the questionnaire is included in the last section of this report.

#### c. <u>Analysis:</u>

The Non-Attorneys Regarding Trial Judges section first shows a table of the percentage distribution for each of the A through F questions, including "don't know" responses. The next column to the right shows the judge's average grade for each question. For comparison purposes, averages were also computed for all district judges and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all district judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the questions about prosecution or defense bias and recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all district judges. The percentages for the retention question are shown including and excluding "don't know/undecided" responses.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution of the prosecution-defense bias and retention questions are then presented in the graph on the next page.

The third part of the Non-Attorneys Regarding Trial Judges section of the report lists the comments the attorney made about the judge's strengths and weaknesses.

#### d. Comments:

In addition to the A through F questions, non-attorney respondents were asked what they considered to be the judge's strengths and what they considered to be the judge's weaknesses. By statute these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the

Commission, an attempt is made to redact all respondent identifying information from the comments.

Since 2005 there have been changes to the number of comment questions asked, though the strengths and weaknesses questions have been posed in every survey.

The number to the left of each comment refers to the same attorney respondent in both the strengths section and the weaknesses section.

#### e. Cooperation Rate:

The estimated cooperation rate for the Non-attorney Survey is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses and refusals, undeliverables and other responses. The table presents the estimated overall cooperation rate as well as the cooperation rate by the different types of respondents. The true cooperation rates are likely higher than shown because of the percentage of people who were mailed questionnaires about judges who they had not observed. This is due, in part, to many cases being disposed of without the parties having appeared in court, as well as in the case of law enforcement, the data includes all those who were subpoenaed for a case, not just those who appeared.

A table of the response counts by respondent type for Judge Thorson is shown below, and on the next page is a table of the overall cooperation rates for both the attorney and non-attorney regarding trial judges surveys for all district judges.

# Judge David M. Thorson Judge Response Counts by Type of Respondent

Role Type	Total Sent	No Response	Undeliverable/ Not Applicable		Completes	Coop Rate
Attorneys						
Criminal						
District Attorneys	30	20	2	0	8	28.6%
Defense Attorneys	42	23	0	0	19	45.2%
Civil						
Attorneys for Litigants	28	7	3	0	18	72.0%
Other Attorneys Civil	24	15	0	0	9	37.5%
Attorneys, Unknown Role Type	2	1	0	0	1	50.0%
Total Attorneys	126	66	5	0	55	45.5%
Non-attorneys						
<u>Criminal</u>						
Witness	218	108	53	12	45	27.3%
Law Enforcement	49	23	6	0	20	46.5%
Defendant	523	254	205	5	59	18.6%
<u>Civil</u>						
Litigant	41	17	12	0	12	41.4%
Witness	8	4	1	0	3	42.9%
Other	6	5	1	0	0	0.0%
Non-Attorneys, Unknown Role Type	9	4	5	0	0	0.0%
Jurors	223	89	5	1	128	58.7%
Total Non-attorneys	1077	504	288	18	267	33.8%
Grand Total:	1203	570	293	18	322	35.4%

Total Response Counts	by Typ	e of Res	pondent for .	All Distri	ct Judge	es
	Total Sent	No Response	Undeliverable/ Not Applicable	Other Non- Responses	Completes	Cooperation Rate
Attorneys						
<u>Criminal</u>						
District Attorneys	5963	3735	108	3	2117	36.2%
Defense Attorneys	9005	5407	125	0	3473	39.1%
Other Attorneys Criminal	310	260	2	1	47	15.3%
<u>Civil</u>						
Attorneys for Litigants	9795	4329	410	17	5039	53.7%
Other Attorneys Civil	6107	3564	63	4	2476	41.0%
GAL	101	43	5	0	53	55.2%
Attorneys, Unknown Role Type	193	60	22	0	111	64.9%
Total Attorneys	31474	17398	735	25	13316	43.3%
Non-attorneys <u>Criminal</u>						
Victim	149	85	40	3	21	19.3%
Witness	13212	6730	4190	587	1705	18.9%
Other	5595	3441	1430	55	667	16.0%
Law Enforcement	13854	7651	3233	295	2674	25.2%
Defendant	40047	19046	17527	241	3226	14.3%
<u>Civil</u>						
Litigant	23266	13519	5107	291	4337	23.9%
Witness	618	306	139	15	158	33.0%
Other	679	317	150	18	194	36.7%
Non-Attorneys, Unknown Role Type	2763	1447	1003	4	309	17.6%
Jurors	48708	18523	2771	544	26770	58.3%
Employees	905	283	121	66	435	55.5%
Total Non-attorneys	149796	71348	35711	2119	40496	35.5%
Grand Total:	181270	88746	36446	2144	53812	37.2%

### **Projectability**

Most surveys seen by the public are surveys that are intended to be projectable, that is the results from the sample of people surveyed can be used to estimate a percentage or value of the population sampled with a known probability of error. For example, a preelection poll of 500 likely Colorado voters is used to estimate the percentage of voters who will vote for Candidate A versus Candidate B on election day, plus or minus some number of percentage points. The plus or minus amount is usually what is known as the 95%-confidence interval (the known probability of error), or what the media often refers to as the margin-of-error.

Neither of the two surveys that make up this report, Attorneys Regarding Trial Judges and the Non-Attorneys Regarding Trial Judges, are projectable with a known probability of error because the results are calculated from a self-selecting sample that is self-selecting based on the content and subject matter of the survey. In other words, the potential respondent knows the purpose and content of the survey, and based on that, decides whether to respond to the survey.

While projectability within a known probability of error is a highly desirable attribute of a survey, it is often not feasible to achieve. Commercial market research often uses nonprojectable (and small) samples-the most well known of which are for focus groups. Moreover, the federal courts have long accepted, and do not expect, projectable samples for market confusion surveys used in trademark litigation. In other words, one can still use the results of the Judicial Performance Evaluation Survey to estimate how everyone who has observed a justice or judge in the courtroom would grade him or her, just not with a known probability of error.

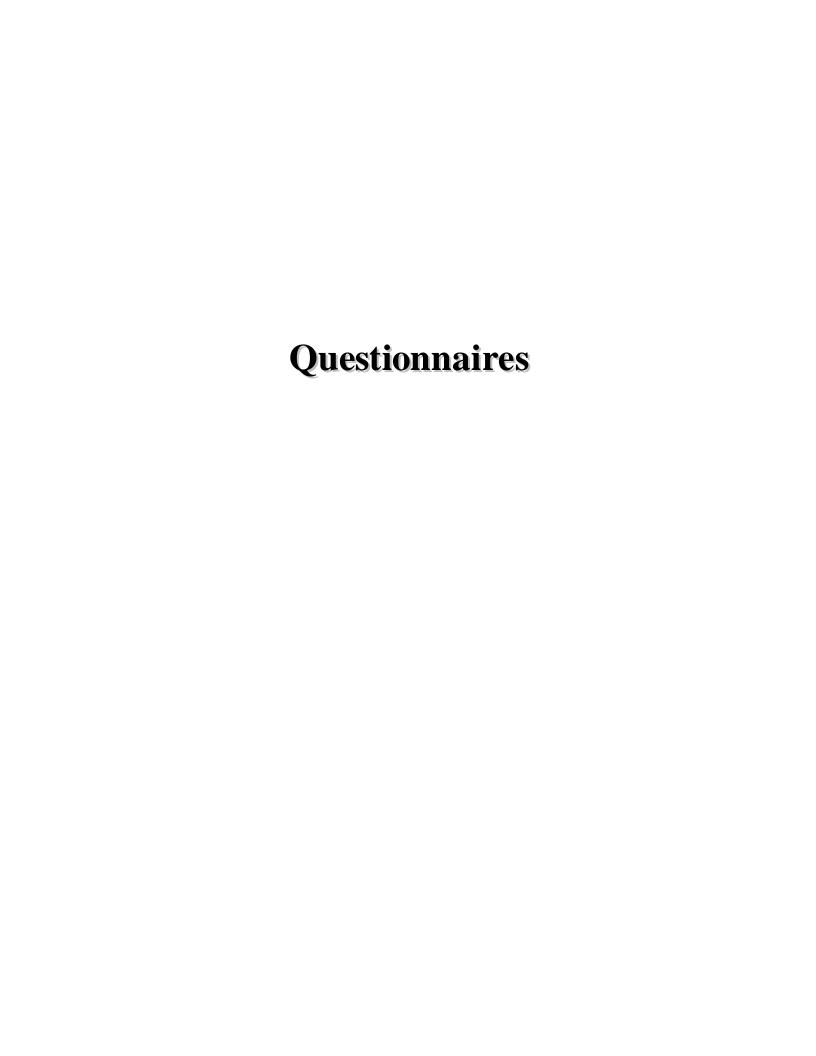
The Judicial Performance Evaluation Survey is a valuable means, perhaps the only practical means, for the Judicial Performance Commissions to have a summary of structured interviews with a number of people who have courtroom familiarity with the judge being evaluated, and who most often—albeit not always—are responding out of a desire to improve the performance of our state's judicial system.

Survey of Attorneys	Rega	ardin	g Tri	al Ju	ıdge	s	
All District Judges Sample Size = 13316	A	В	С	D	Fail	DK/NA	Average Grade
. Case Management:	500/	000/	70/	00/	00/	400/	0.40
1a. Promptly issuing a decision on the case after trial.	50%	20%	7%	3%	2%	19%	3.40
1b. Maintaining appropriate control over proceedings.	61%	24%	9%	3%	2%	2%	3.41
<ul><li>1c. Promptly ruling on pre-trial motions.</li><li>1d. Setting reasonable schedules for cases.</li></ul>	46%	25%	10%	4%	2%	12%	3.24
rd. Setting reasonable schedules for cases.	51%	27%	10% Overa	4% II Case	3% Mana	4% gement	3.26
			Overa	ii Case	wana	gement	3.33
2. Application and Knowledge of Law:							
2a. Being able to identify and analyze relevant facts.	54%	26%	10%	5%	2%	2%	3.29
2b. Basing decisions on evidence and arguments.	47%	24%	11%	6%	4%	8%	3.14
2c. Willing to reconsider error in fact or law.	38%	20%	10%	6%	4%	21%	3.02
2d. Issuing consistent sentences when the circumstances are similar.	35%	23%	9%	4%	3%	26%	3.14
Similar.	Overall A	Applica	tion and	d Knov	vledge	of Law	3.15
O							
3. Communications:  3a. Making sure all participants understand the proceedings.	64%	24%	7%	2%	1%	2%	3.52
3b. Providing written communications that are clear, thorough	49%	24% 24%	7% 9%	2% 4%	1% 2%	2% 12%	3.30
and well reasoned.	49 /0	24 /0	9 /0	4 /0	2 /0	12 /0	3.30
			Over	all Cor	nmuni	cations	3.41
l. Demeanor:							
4a. Giving proceedings a sense of dignity.	67%	21%	7%	2%	2%	1%	3.49
4b. Treating parties with respect.	66%	19%	7%	4%	3%	1%	3.41
4c. Conducting his/her courtroom in a neutral manner.	60%	20%	9%	5%	4%	2%	3.29
4d. Consistently applying laws and rules.	54%	23%	10%	5%	3%	5%	3.24
				Over	all De	meanor	3.36
5. Diligence:							
5a. Using good judgment in application of relevant law and rules.	52%	25%	11%	6%	4%	2%	3.18
<ol><li>Doing the necessary homework and being prepared for his/her cases.</li></ol>	56%	24%	9%	4%	2%	5%	3.33
<ol><li>Being willing to handle cases on the docket even when they are complicated and time consuming.</li></ol>	55%	19%	7%	3%	2%	14%	3.41
				Ove	erall Di	ligence	3.31
			Overa	II Ave	rage G	Grade:	3.30

Survey of Attorneys Regarding Trial Judges	
All District Judges Sample Size = 13316	Average Grade
Would you say the judge is:	
Very biased in favor of the prosecution Somewhat biased in favor of the prosecution Completely neutral Somewhat biased in favor of the defense Very biased in favor of the defense Don't know or not sure	11% 26% 47% 8% 2% 4%
8. How strongly do you recommend that the Judge be retained in office, or not be retained in office?  [Percentages excluding undecided responses.]  Strongly recommend retain Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain	72% 16% 6% 6% 88%
[Percentages including undecided responses.]  Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain	12% 69% 15% 4% 6% 6%
Total Retain Undecided/Don't Know Total Not Retain	84% 4% 12%

Survey of Non-Attorne	ys Re	egard	ling	Trial	Jud	lges	
All District Judges							
Sample Size = 40496	А	В	С	D	Fail	DK/NA	Average Grade
1. Demeanor:							
1a. Giving court proceedings a sense of dignity.	80%	14%	3%	1%	1%	1%	3.70
1b. Treating participants in the case politely and with respect.	82%	11%	3%	1%	2%	1%	3.72
1c. Conducting court in a neutral manner.	80%	12%	3%	2%	2%	1%	3.67
1d. Having a sense of compassion and human understanding for those who appear before the court.	75%	14%	3%	2%	3%	2%	3.61
				Ove	rall De	meanor	3.68
2. Fairness:							
2a. Giving participants an opportunity to be heard.	80%	12%	3%	2%	2%	1%	3.69
2b. Treating those involved in the case without bias.	79%	12%	3%	2%	3%	2%	3.65
2c. Treating fairly people who represent themselves.	51%	7%	2%	1%	2%	38%	3.66
2d. Giving each side enough time to present his or her case.	78%	12%	3%	1%	2%	3%	3.69
<del>-</del>				Ov	erall F	airness	3.67
3. Communications:							
3a. Making sure participants understand the proceedings, and what is going on in the courtroom.	81%	12%	3%	1%	1%	1%	3.72
3b. Using language that everyone can understand.	81%	14%	3%	1%	1%	1%	3.74
3c. Speaking clearly so everyone in the courtroom can hear	82%	12%	3%	1%	1%	1%	3.75
what is being said.	02 /0	12 /0	370	1 70	1 70	1 70	5.75
			Ove	all Cor	nmuni	cations	3.74
1. Diligence:							
4a. Beginning court on time	67%	21%	6%	2%	2%	2%	3.54
4b. Maintaining appropriate control over proceedings.	82%	12%	3%	1%	1%	1%	3.75
4c. Setting reasonable schedules for cases.	69%	14%	4%	1%	2%	10%	3.64
4d. Being prepared for cases.	78%	12%	3%	1%	2%	5%	3.71
4e. Managing court proceedings so that there is little wasted time.	71%	19%	5%	2%	2%	2%	3.58
				Ove	erall Di	ligence	3.64
5. Application of Law:							
5a. Giving reasons for rulings.	71%	14%	4%	2%	3%	7%	3.60
5b. Willing to make decisions without regard to possible outside pressure.	66%	10%	3%	1%	3%	18%	3.64
5c. Being able to identify and analyze relevant facts.	73%	12%	3%	2%	3%	8%	3.63
			Overa	II Appli	ication	of Law	3.62

Survey of Non-Attorneys Regarding Trial Judges					
All District Judg Sample Size = 40496	Average Grade				
6. How biased do you think the Judge is toward the defense or pros	secution?				
[Please see the questionnaire at the end of report for question wording.]	Biased in favor of the prosecution total Competely neutral Biased in favor of the defense total	8% 86% 6%			
	Average	0.07			
7. How lenient or harsh do you think the sentences generally hande are?  [Please see the questionnaire at the end of report for question wording.]	ed down by Judge  Harsh sentencing total  Competely neutral  Lenient sentencing total  Average	8% 82% 10% 0.04			



## Colorado Judicial Performance <a href="#">Attorneys Regarding Trial Judges Survey Questions</a>

_	Which of the following types of cases have you observed all that apply. (Only respondents who indicate they have observed asked question 2c and question 6.)				-		
	Civil			1			
	Criminal other than traffic						
	Traffic			3			
	Domestic						
	Juvenile						
	Probate Other						
1. Ca	se Management:						
a.	Promptly issuing a decision on the case after trial.	Α	В	С	D	F	DK/NS
b.	Maintaining appropriate control over proceedings.	Α	В	С	D	F	DK/NS
c.	Promptly ruling on pre-trial motions.	Α	В	С	D	F	DK/NS
d.	Setting reasonable schedules for cases.	A	В	С	D	F	DK/NS
2. A <sub>1</sub>	oplication and Knowledge of Law:						
a.	Being able to identify and analyze relevant facts.	Α	В	С	D	F	DK/NS
b.	Basing decisions on evidence and arguments.	Α	В	С	D	F	DK/NS
c.	Willing to reconsider error in fact or law.	Α	В	С	D	F	DK/NS
d.	[Criminal only] Issuing consistent sentences when the circumstances are similar.	A	В	С	D	F	DK/NS
3. C	ommunications:						
a.	Makings sure all participants understand						
	the proceedings.	Α	В	С	D	F	DK/NS
b.	Providing written communications that are						
	clear, thorough and well reasoned.	A	В	С	D	F	DK/NS
4. D	emeanor:						
a.	Giving proceedings a sense of dignity.	Α	В	С	D	F	DK/NS
b.	Treating participants with respect.	A	В	С	D	F	DK/NS
c.	Conducting his/her courtroom in a neutral manner.	A	В	С	D	F	DK/NS
d.	Consistently applying laws and rules.	A	В	С	D	F	DK/NS
5. D	iligence:						
a.	Using good judgment in application of relevant law and rules.	A	В	С	D	F	DK/NS
b.	Doing the necessary "homework" and being						
c.	prepared for his/her cases.  Being willing to handle cases on the docket even	Α	В	С	D	F	DK/NS
٠.	when they are complicated and time consuming.	Α	В	С	D	F	DK/NS

	Having observed Judge (Last Name) in a criminal case, would you say the judge is: (This question is asked only if respondent indicated at the beginning of the survey he/she observed the judge in a criminal case.)
	Very biased in favor of the prosecution1Somewhat biased in favor of the prosecution2Completely Neutral3Somewhat biased in favor of the defense4Very biased in favor of the defense5Don't Know/Not Sure9
6.	What would you say are Judge (Last Name)'s strengths?
7.	What would you say are Judge (Last Name)'s weaknesses?
8.	Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge (Last Name) be retained in office, or not retained in office?
	Strongly recommend he be retained in office

stro	ping in mind your responses to engly do you recommend that Judee, or not retained in office?	* *
Son	ngly recommend he/she be retain newhat recommend he/she be re ecided or don't know enough to	rained in office
	newhat recommend he/she not be	e retained in office

Thank you for taking the time to complete this questionnaire. Please place it in the self-addressed, postage-paid envelope provided and place it in the mail. Your participation in this survey is very much appreciated.



## **Commission on Judicial Performance**

## Evaluation of JUDGE [FULL NAME]

If we have made a mistake and you either were not in Judge [Last Name]'s courtroom in the past 18 months, or you feel that you do not have sufficient experience with Judge [Last Name] to have an opinion the judge's judicial performance, please just return this questionnaire, unanswered, in the enclosed postage-paid envelope, to stop any further requests to evaluate Judge [Last Name].

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

1 1	Demeanor:	Δ	В	C	D	F	DK N/A
1.	Demeanor.					•	14//
a.	Giving court proceedings a sense of dignity.	4	3	2	1	0	9
b.	Treating participants in the case politely						
	and with respect.	4	3	2	1	0	9
c.	Conducting his/her courtroom in a neutral manner.	4	3	2	1	0	9
d.	Having a sense of compassion and human						
	understanding for those who appear						
	before him/her.	4	3	2	1	0	9

<ul><li>2. Fairness:</li><li>a. Giving participants an opportunity to be heard.</li><li>b. Treating those involved in the case without bias.</li><li>c. Treating fairly people who represent themselves.</li><li>d. Giving each side enough time to present his</li></ul>		3 3 3	2 2 2	1 1 1	0 0 0	<b>DK N/A</b> 9  9  9	6. [If you were in [Last Name]'s courtroom during a criminal case of cases please answer this question, otherwise skip to the next question.] On the scale below, please indicate by circling the appropriate number how biased you think Judge [Last Name] is toward the defense of the prosecution. If you feel Judge [Last Name] is completely unbiased, circle "0."	
	or her case.	4	3	2	1	0	9	Bias toward Completely Bias toward Defense Neutral Prosecution
3. (	Communications:	Α	В	С	D	F	DK N/A	5 4 3 2 1 0 1 2 3 4 5
a.	Makings sure participants understand the proceedings, and what's going on in the							7. [If you were in [Last Name]'s courtroom during a criminal case of
	courtroom.	4	3	2	1	0	9	cases please answer this question, otherwise skip to the next
b. с.	Using language that everyone can understand. Speaking clearly so everyone in the courtroom	4	3	2	1	0	9	question.] On the scale below, please indicate by circling the appropriate number how lenient or how harsh you think the sentences generally hande
	can hear what's being said.	4	3	2	1	0	9	down by <b>[Last Name]</b> are. If you feel Judge <b>[Last Name]</b> generally handown appropriate sentences, circle "0."
	0		_	_	_	DI		Sentences Appropriate Sentences
	Diligence:	<u>A</u>	<u> </u>	<u> </u>	<u>D</u>	<u>F</u>	<u>N/A</u>	Too Light Sentences Too Harsh
a.	Beginning court on time.	4	3	2	I	0	9	5 4 3 2 1 0 1 2 3 4 5
b.	Maintaining appropriate control over			•			0	
	proceedings.	4	3	2	1	0	9	
c.	Setting reasonable schedules for cases.	4	3	2	1	0	9	
d.	Being prepared for his/her cases.	4	3	2	1	0	9	Though your name will never be associated with your answers, because the judge will
e.	Managing court proceedings so that there is little wasted time.	4	3	2	1	0	9	see a typed transcript of the comments that you and others write, it is important that you do not include information in the comments below that would unintentionally identify you as the author.
							DK	8. What would you say are Judge <i>[Last Name]</i> 's strengths?
5. 4	Application of Law:	Α	В	С	D	F	N/A	
a.	Giving reasons for rulings.	4	3	2	1	0	9	
b.	Willing to make decision without regard to	·	-	_	-	~	-	
υ.	possible outside pressure.	4	3	2.	1	0	9	
c.	Being able to identify and analyze relevant facts.	4	3	2	1	0	9	
c.	1	4 4	9	2	1	0	-	

## **Continued on Back Page**