



Commission on
Judicial Performance

The Honorable Katharine T. Sullivan
2015 Judicial Performance Interim Report
5th Judicial District





May 29, 2015

The Honorable Katharine T. Sullivan
Eagle County Justice Center
P.O. Box 597
Eagle, CO 81631

Dear Judge Sullivan:

I am pleased to make available to you the attached copy of your 2015 Judicial Performance Interim Survey Report. This report includes the survey results from two important stakeholder groups: 1) attorneys who have had cases in your court or who are knowledgeable about your judicial performance; and 2) non-attorneys who have observed your performance in court or who have knowledge of your performance as a judge. In addition to this introduction, the report is divided into five main sections:

1. A brief summary of the results of the attorney and non-attorney surveys.
2. The numerical results of the survey of attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments attorneys made about your judicial performance. In some instances the comments have been redacted to eliminate respondent identifying information.
3. The numerical results of the survey of non-attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments these respondents made on the subject of your judicial performance. In few instances the comments have been redacted to eliminate respondent identifying information.
4. The fourth section of the report discusses the methodology of the surveys.
5. The final section provides copies of the questions or questionnaires that were used for each survey.

If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 505-821-5454 or by email at sanderoff@rpinc.com (please put the words "Judicial Performance" in the subject line), and for any other questions you might have about the survey please call the Executive Director of the Office of Judicial Performance Evaluation, Kent Wagner, at 303-928-7779.

Best regards,

A handwritten signature in black ink that reads "Brian Sanderoff".

Brian Sanderoff
President

Summary of Results

Respondents rated judges on various questions using an A to F scale, in which the grades were then converted to numerical scores: A= 4, B=3, C=2, D=1 and F=0. An average score of 4.0 is the highest possible score and a 0.0 is the lowest possible score. On average, Judge Katharine T. Sullivan received an overall combined average grade of 3.16 in the 2015 Judicial Performance Interim Survey Report. This is calculated by adding the overall average grade received from attorney respondents, 2.87, to the overall average grade received from non-attorney respondents, 3.44, divided by two.

The average combined grade for all county judges that are receiving an interim evaluation in 2015 is 3.42 [not shown below].

Judge Sullivan Average Grades (All Years)			
	Combined	Attorney	Non-attorney
Overall Grade	3.16	2.87	3.44
Sample Size	--	98	184

Table 1

The results presented in this report are based on data collected in 2011, 2012, 2013, and 2014. (See Methodology section for description of sampling process.) Table 2 shows Judge Sullivan’s overall average grades for up to four years (for each year in which survey results are available.) Provisional judges will not have samples for the years prior to their appointment.

Judge Sullivan Average Grades by Year					
Year	Combined Average Score	Attorney		Non-attorney	
		Average Score	Sample Size	Average Score	Sample Size
2011	3.32	3.30	42	3.33	113
2012	NA	NA	NA	NA	NA
2013	NA	NA	NA	NA	NA
2014	3.07	2.54	56	3.60	71
Overall	3.16	2.87	98	3.44	184

Table 2

Comparison of Jurors vs. Non-Jurors Among Non-Attorney Population

Among the non-attorney population, jurors tend to grade judges much higher than non-jurors. The juror overall average grade for all county judges that are receiving an interim evaluation in 2015 is 3.81, while the overall average grade awarded by non-jurors is 3.27. Judges with a higher percentage of jurors in their sample tend to have higher average grades in the non-attorney survey than those judges with a small percentage of jurors. The number of jurors in a judge's sample is, of course, closely related to the number of jury trials the judge presides over.

The table below shows Judge Sullivan's non-attorney results broken out by jurors and non-jurors. It also shows the overall average juror and non-juror grades for all county judges that are receiving an interim evaluation in 2015 (see two columns on far right).

Average Grades for Jurors vs. Non-Jurors (All Years)							
Judge Sullivan					All County Judges*		
Jurors			Non-Jurors			Juror Average	Non-Juror Average
Average Score	Sample		Average Score	Sample			
	Size	%		Size	%		
3.86	52	28%	3.27	132	72%	3.81	3.27

Table 3

* Includes only the County judges evaluated during this interim cycle.

Survey of Attorneys Regarding Judge Katharine T. Sullivan

(Sample Size 98)

Survey of Attorneys Regarding County Judges

Judge Katharine T. Sullivan Sample Size = 98							Average (0.0 to 4.0 scale)	
	A	B	C	D	Fail	DK/NA	Katharine T. Sullivan	All County Judges*

1. Case Management:

1a. Promptly issuing a decision on the case after trial.	46%	18%	6%	1%	2%	26%	3.43	3.54
1b. Maintaining appropriate control over proceedings.	46%	28%	17%	4%	3%	1%	3.12	3.47
1c. Promptly ruling on pre-trial motions.	38%	31%	9%	1%	4%	17%	3.16	3.43
1d. Setting reasonable schedules for cases.	40%	29%	16%	4%	5%	5%	3.00	3.37
Overall Case Management							3.18	3.45

2. Application and Knowledge of Law:

2a. Being able to identify and analyze relevant facts.	39%	26%	14%	11%	5%	5%	2.88	3.30
2b. Basing decisions on evidence and arguments.	37%	22%	21%	7%	6%	5%	2.81	3.18
2c. Willing to reconsider error in fact or law.	29%	15%	16%	9%	12%	20%	2.51	3.00
2d. Issuing consistent sentences when the circumstances are similar.	30%	28%	22%	8%	5%	7%	2.75	3.31
Overall Application and Knowledge of Law							2.74	3.20

3. Communications:

3a. Making sure all participants understand the proceedings.	57%	26%	7%	2%	5%	2%	3.30	3.52
3b. Providing written communications that are clear, thorough and well reasoned.	34%	21%	17%	4%	6%	17%	2.87	3.34
Overall Communications							3.09	3.43

4. Demeanor:

4a. Giving proceedings a sense of dignity.	36%	24%	16%	15%	6%	2%	2.71	3.42
4b. Treating participants with respect.	41%	23%	12%	10%	13%	1%	2.72	3.37
4c. Conducting the courtroom in a neutral manner.	37%	21%	17%	13%	11%	1%	2.62	3.18
4d. Consistently applying laws and rules.	35%	21%	17%	10%	12%	5%	2.62	3.23
Overall Demeanor							2.67	3.30

5. Diligence:

5a. Using good judgment in application of relevant law and rules.	37%	22%	19%	10%	7%	4%	2.76	3.18
5b. Doing the necessary "homework" and being prepared for cases.	34%	21%	20%	10%	7%	7%	2.70	3.30
5c. Being willing to handle cases on the docket even when they are complicated and time consuming.	36%	19%	18%	5%	5%	16%	2.90	3.38
Overall Diligence							2.79	3.29

Overall Average Grade: 2.87 3.32

* Includes only the County judges evaluated during this interim cycle.

Note: Respondents rated judges on various questions using an A to F scale, in which the grades were then converted to numerical scores: A=4, B=3, C=2, D=1 and F=0. An average score of 4.0 is the highest possible score and a 0.0 is the lowest possible score. 'DK/NA' = Don't Know / Not Applicable.

Survey of Attorneys Regarding County Judges

Judge Katharine T. Sullivan

Percentage

Katharine T.
Sullivan

All County
Judges*

Would you say the judge is:

Very biased in favor of the prosecution	17%	11%
Somewhat biased in favor of the prosecution	27%	30%
Completely neutral	43%	50%
Somewhat biased in favor of the defense	5%	4%
Very biased in favor of the defense	0%	1%
Don't know or not sure	8%	4%

How strongly do you recommend that the Judge be retained in office, or not be retained in office?

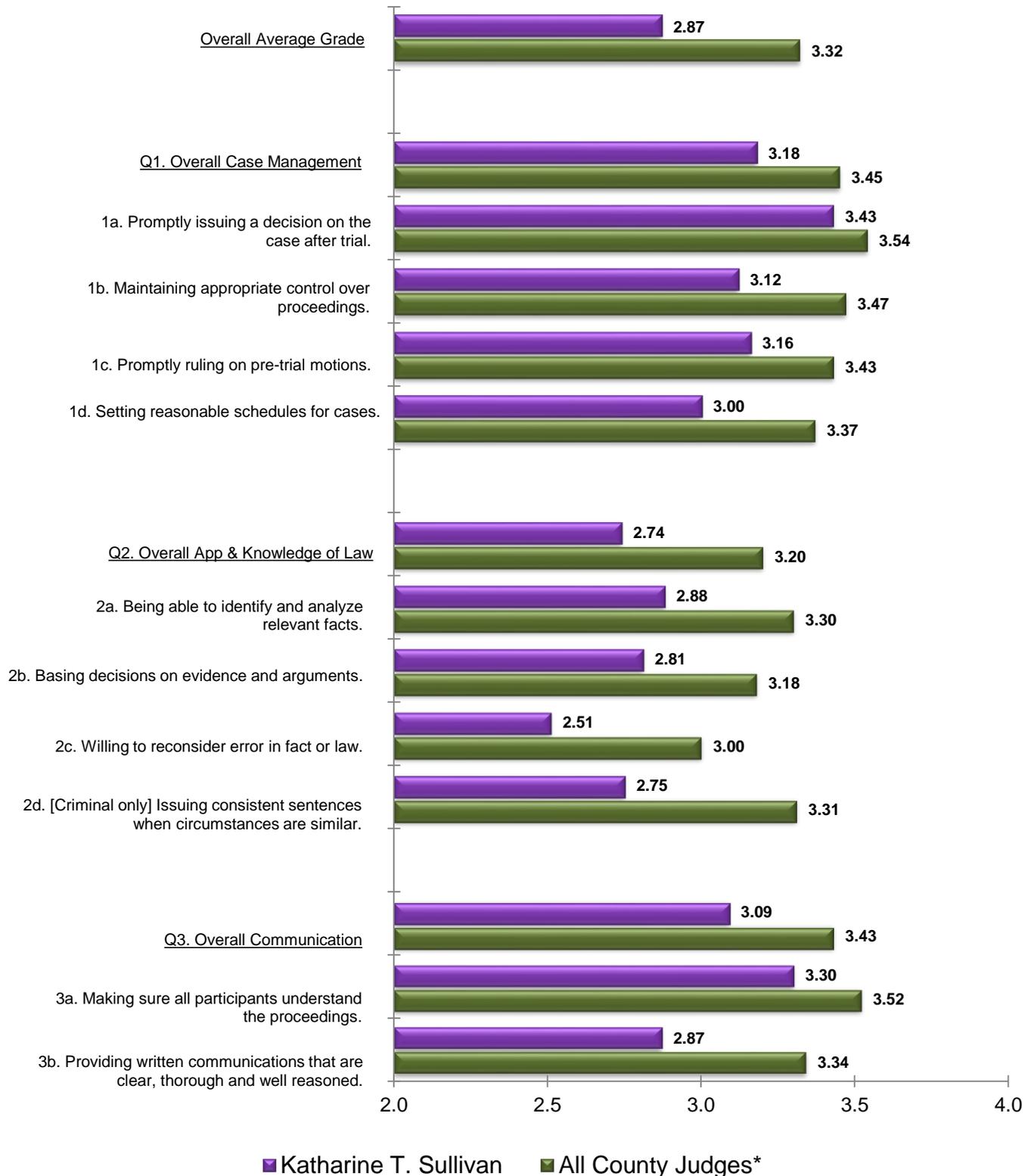
Strongly recommend retain	25%	53%
Recommend retain	23%	24%
Neither recommend nor not recommend retain	9%	9%
Recommend not retain	21%	7%
Strongly recommend not retain	23%	7%
Total Retain	48%	77%
Neither	9%	9%
Total Not Retain	44%	14%

* Includes only the County judges evaluated during this interim cycle.

Judge Katharine T. Sullivan

Survey of Attorneys Regarding County Judges

Average Grades

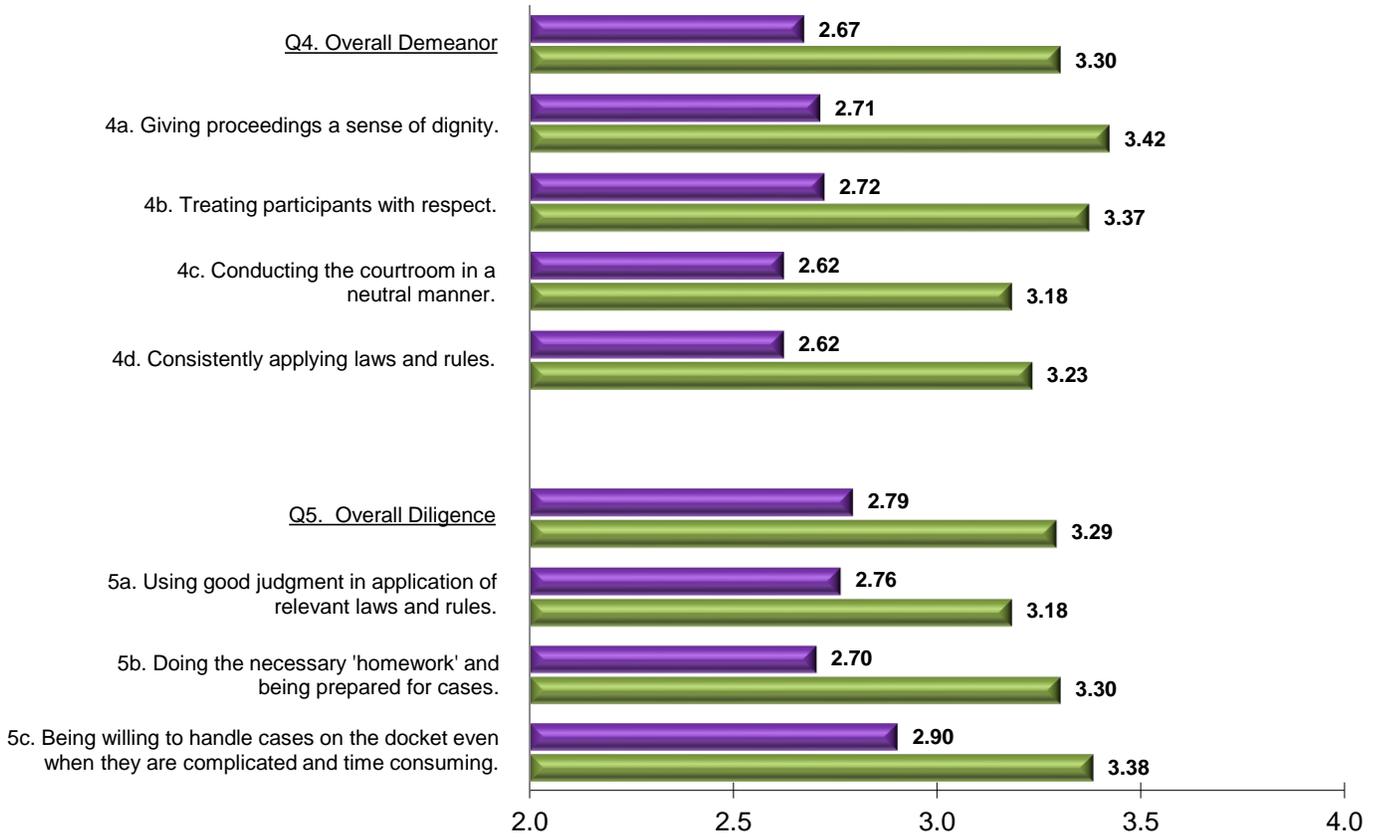


* Includes only the County judges evaluated during this interim cycle.

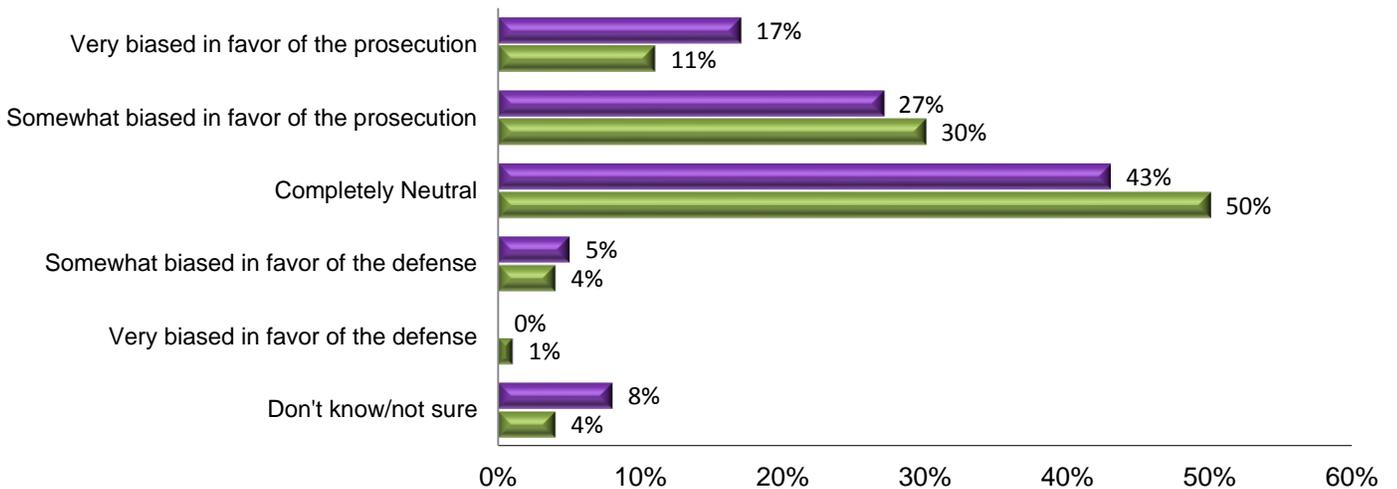
Judge Katharine T. Sullivan

Survey of Attorneys Regarding County Judges

Average Grades



Biased in favor of prosecution/defense.



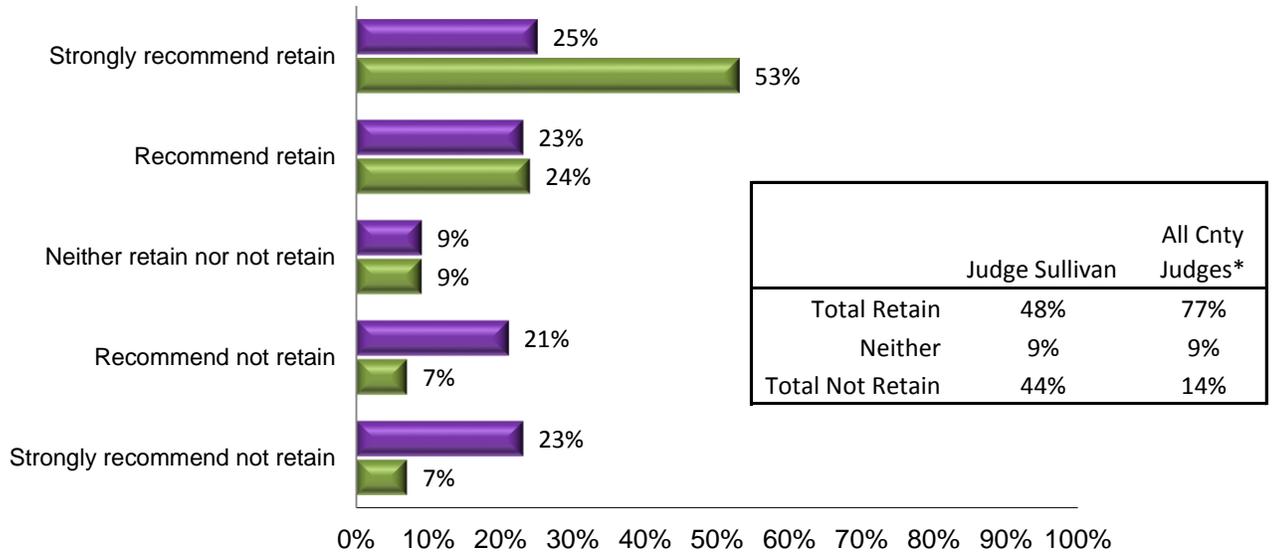
■ Katharine T. Sullivan ■ All County Judges*

* Includes only the County judges evaluated during this interim cycle.

Judge Katharine T. Sullivan

Survey of Attorneys Regarding County Judges

How strongly do you recommend that Judge Sullivan be retained or not retained in office?



■ Katharine T. Sullivan ■ All County Judges*

* Includes only the County judges evaluated during this interim cycle.

Survey of Non-Attorneys Regarding Judge Katharine T. Sullivan

(Sample Size 184)

Survey of Non-Attorneys Regarding County Judges

Judge Katharine T. Sullivan Sample Size = 184							Average (0.0 to 4.0 scale)	
	A	B	C	D	Fail	DK/NA	Katharine T. Sullivan	All County Judges*

1. Demeanor:

1a. Giving court proceedings a sense of dignity.	65%	17%	9%	3%	5%	1%	3.35	3.54
1b. Treating participants in the case politely and with respect.	69%	12%	8%	5%	6%	1%	3.35	3.54
1c. Conducting the courtroom in a neutral manner.	65%	17%	5%	4%	8%	2%	3.30	3.48
1d. Having a sense of compassion and human understanding for those who appear before the judge.	65%	17%	4%	6%	7%	1%	3.29	3.42

Overall Demeanor	3.32	3.50
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2. Fairness:

2a. Giving participants an opportunity to be heard.	72%	10%	8%	5%	5%	0%	3.39	3.53
2b. Treating those involved in the case without bias.	68%	12%	6%	7%	7%	1%	3.30	3.45
2c. Treating fairly people who represent themselves.	52%	9%	4%	2%	4%	28%	3.43	3.46
2d. Giving each side enough time to present their case.	63%	18%	3%	3%	6%	7%	3.41	3.54

Overall Fairness	3.38	3.50
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3. Communications:

3a. Making sure participants understand the proceedings, and what's going on in the courtroom.	75%	14%	4%	4%	2%	1%	3.56	3.58
3b. Using language that everyone can understand.	77%	16%	3%	3%	1%	0%	3.64	3.63
3c. Speaking clearly so everyone in the courtroom can hear what's being said.	84%	9%	4%	2%	1%	0%	3.75	3.68

Overall Communications	3.65	3.63
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4. Diligence:

4a. Beginning court on time.	69%	14%	6%	6%	6%	1%	3.35	3.48
4b. Maintaining appropriate control over proceedings.	75%	16%	4%	2%	3%	1%	3.59	3.64
4c. Setting reasonable schedules for cases.	63%	15%	4%	3%	2%	12%	3.51	3.54
4d. Being prepared for cases.	67%	13%	7%	4%	2%	7%	3.49	3.58
4e. Managing court proceedings so that there is little wasted time.	69%	17%	7%	1%	4%	1%	3.47	3.48

Overall Diligence	3.48	3.54
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5. Application of Law:

5a. Giving reasons for rulings.	66%	12%	7%	3%	6%	6%	3.36	3.44
5b. Willing to make decision without regard to possible outside pressure.	60%	13%	5%	2%	5%	15%	3.43	3.45
5c. Being able to identify and analyze relevant facts.	65%	15%	7%	5%	5%	3%	3.34	3.45

Overall Application of Law	3.38	3.45
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Overall Average Grade:	3.44	3.52
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* Includes only the County judges evaluated during this interim cycle.

Note: Respondents rated judges on various questions using an A to F scale, in which the grades were then converted to numerical scores: A=4, B=3, C=2, D=1 and F=0. An average score of 4.0 is the highest possible score and a 0.0 is the lowest possible score. 'DK/NA' = Don't Know / Not Applicable.

Survey of Non-Attorneys Regarding County Judges

Judge Katharine T. Sullivan

Percentage

	Katharine T. Sullivan	All County Judges*
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6. Average Bias

[Please see the questionnaire at the end of report for question wording.]

Biased in favor of the prosecution total	18%	13%
Competely neutral	72%	79%
Biased in favor of the defense total	11%	7%

[A positive average indicates bias toward prosecution, and a negative average indicates a bias toward the defense.]

Average	0.14	0.13
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7. Average Sentencing

[Please see the questionnaire at the end of report for question wording.]

Harsh sentencing total	18%	13%
Competely neutral	65%	74%
Lenient sentencing total	18%	13%

[A positive average indicates sentences are harsh, and a negative average indicates sentences are lenient.]

Average	0.19	0.10
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How strongly do you recommend that the Judge be retained, or not be retained in office?

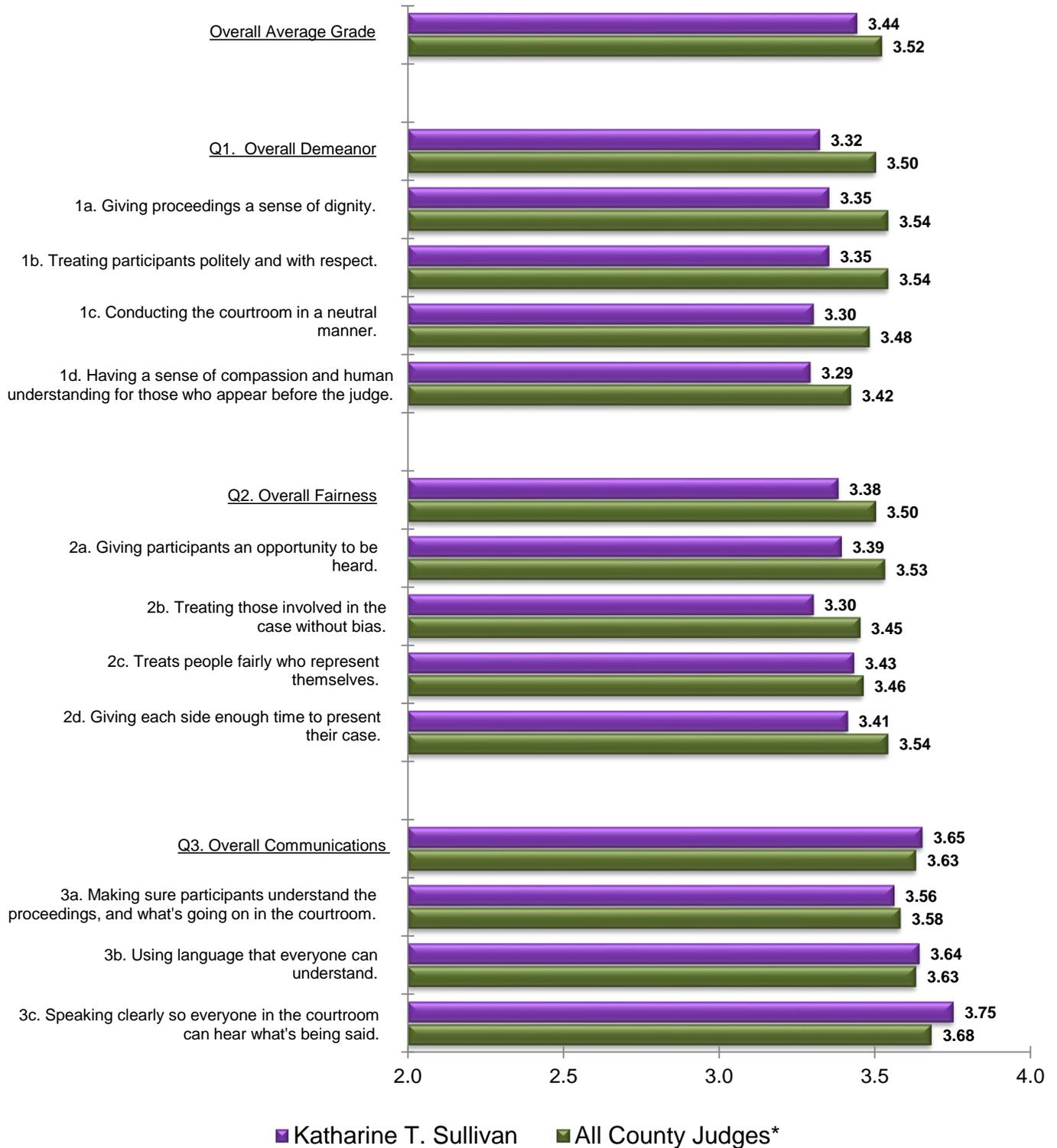
Strongly recommend retain	75%	70%
Recommend retain	12%	14%
Neither recommend nor not recommend retain	4%	7%
Recommend not retain	3%	3%
Strongly recommend not retain	6%	7%
Total Retain	87%	84%
Neither	4%	7%
Total Not Retain	9%	10%

* Includes only the County judges evaluated during this interim cycle.

Judge Katharine T. Sullivan

Survey of Non-Attorneys Regarding County Judges

Average Grades

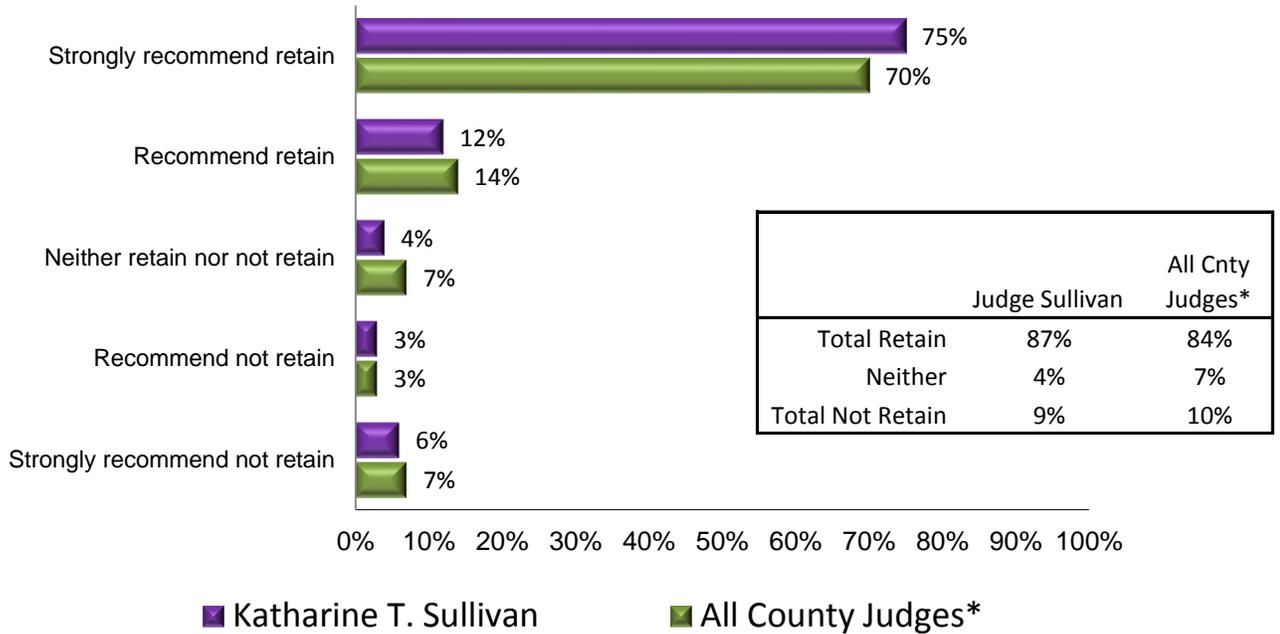


* Includes only the County judges evaluated during this interim cycle.

Judge Katharine T. Sullivan

Survey of Non-Attorneys Regarding County Judges

How strongly do you recommend that Judge Sullivan be retained or not retained in office?



* Includes only the County judges evaluated during this interim cycle.

Methodology

Methodolgy

The results shown in the 2015 Judicial Performance Interim Survey Report are based on two surveys: The Survey of Attorneys Regarding Trial Judges, and the Survey of Non-Attorneys Regarding Trial Judges. Below is a description of the methodology used in the two surveys.

I Attorneys Regarding County Judges

a. Sample:

Research & Polling, Inc. received case data with the names of attorneys who had likely been in each judge's courtroom from the following primary sources:

- Colorado Judicial Department
- Colorado District Attorneys' Council
- Colorado Public Defender's Office
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)

The data from these different sources are combined, duplicates removed, and addresses corrected.

Only judges that are due to receive an interim evaluation in 2015 were evaluated during this reporting cycle. The intent was to increase the number of completed attorney evaluations for each judge by excluding those not due to receive an interim evaluation in 2015. The number of possible judges that attorney respondents could evaluate was 10.

Attorneys are first mailed a letter inviting them to complete the survey online. The letter provides the link to the online survey, as well as a unique password to access the survey. Approximately one week later, attorneys are sent an email invitation to complete the online survey, which also provides the Web address and their unique password. About a week after the first email is sent, a reminder email is sent, providing the same information. Potential respondents who do not complete the survey after the second email are then telephoned and asked to either complete the survey by phone, or to complete it online.

Since 2010, the Judicial Performance Survey reports are based on a moving average, or rolling sample, of data collected over a period of time equal to the justice's or judge's term of office: ten years for a Supreme Court justice, eight years for a COA judge, six years for a district judge, and four years for a county judge. To use a county judge as an example: as survey data is collected it is pooled together for four years. After four years, as new data is added to the judge's survey results, the oldest data in the pool is deleted.

b. Questions:

Respondents evaluated judges on 17 aspects of judicial performance using a grade scale of A, B, C, D, or F. (See Questionnaire section.) These grades were then converted to a numerical score where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the judge be retained or not retained in office.

c. Analysis:

The Attorneys Regarding County Judges section first shows a table of the percentage distribution for each of the A through F questions, including “don’t know/not applicable” responses. The next column to the right shows the judge’s average grade for each question. For comparison purposes, averages were also computed for all county judges receiving an interim evaluation in 2015 and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all county judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the question about recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all county judges.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution to the retention question is then presented in the graph on the next page.

The third part of the Attorneys Regarding County Judges section of the report lists the comments the attorneys made about the judge’s strengths and weaknesses.

d. Comments:

Respondents were also asked what they considered to be the judge’s strengths and weaknesses. By statute, these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the Commission, an attempt is made to redact all respondent identifying information from the comments.

The number to the left of each comment refers to the same attorney respondent in both the strengths section and the weaknesses section.

Most spelling and typographical errors have been corrected.

e. Cooperation Rate:

The overall cooperation rate for the Attorneys Regarding Trial Judges Survey is calculated as the number of completed survey evaluations divided by the number of possible evaluations resulting in an overall response rate of 50.0% for district judges and 51.0% for county judges. An equivalent cooperation rate for an individual judge is computed in the same manner. Undeliverable surveys have been excluded from the cooperation rates.

II Non-Attorneys Regarding County Judges

[Please Note: Due to insufficient survey responses, the non-attorney results for Judge Ruth M. Acheson are not included in this report.]

a. Sample:

Research Polling, Inc. received case data with the names of non-attorneys who had likely been in each judge's courtroom from the following primary sources:

- Colorado Judicial Department
- Colorado District Attorneys' Council
- Colorado Public Defender's Office
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)

The data from these different sources are combined, duplicates removed, and addresses corrected.

Only the judges due to receive an interim evaluation in 2015 were evaluated during this reporting cycle. The intent was to increase the number of completed non-attorney evaluations for each judge by excluding those not due to receive an interim evaluation in 2015.

Non-attorneys were surveyed via standard mail. First, they were mailed an initial postcard informing the recipient that he or she would be receiving a questionnaire. Two to three weeks after the postcard was mailed, the potential respondent was sent a personalized introductory letter and a questionnaire with a postage-paid return envelope. If the person did not respond, a second questionnaire and letter were sent approximately four weeks later. Questionnaires are barcoded, and if a respondent mailed back two questionnaires, the second one was deleted from the data file.

Since 2010, the non-attorney section of the Judicial Performance Survey reports have been based on a moving average, or rolling sample, of survey results collected over a period of time equal to the judge's term of office: six years for a district judge and four years for a county judge. To use a county judge as an example: as survey data is collected, it is pooled together for four years. After four years, as new data is added to the judge's survey results, the oldest data in the pool is deleted.

b. Questions:

Respondents evaluated judges on 19 aspects of judicial performance using a grade scale of A, B, C, D, or F. (See Questionnaire section.) These grades were then converted to a numerical score where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the judge be retained or not retained in office.

A copy of the questionnaire is included in the last section of this report.

c. Analysis:

The Non-Attorneys Regarding County Judges section first shows a table of the percentage distribution for each of the A through F questions, including “don’t know/not applicable” responses. The next column to the right shows the judge’s average grade for each question. For comparison purposes, averages were also computed for all county judges receiving an interim evaluation in 2015 and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all county judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the questions about prosecution or defense bias and recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all county judges.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution of the prosecution-defense bias and retention questions are then presented in the graph on the next page.

The last part of the Non-Attorneys Regarding County Judges section of the report lists the comments the non-attorneys made about the judge’s strengths and weaknesses.

d. Comments:

In addition to the A through F questions, non-attorney respondents were asked what they considered to be the judge’s strengths and weaknesses. By statute, these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the Commission, an attempt is made to redact all respondent identifying information from the comments.

The number to the left of each comment refers to the same non-attorney respondent in both the strengths section and the weaknesses section.

Most spelling and typographical errors have been corrected.

e. Cooperation Rate:

The overall cooperation rate for the non-attorney survey is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses and refusals, undeliverables, and other responses.

The table presents the estimated overall cooperation rate as well as the cooperation rate by the different types of respondents. The true cooperation rates are likely higher than shown because of the percentage of people who were mailed questionnaires about judges with whom they may not have had sufficient experience. This is due, in part, to many cases being disposed of without the parties having appeared in court, as well as in the case of law enforcement, the data includes all those who were subpoenaed for a case, not just those who appeared.

A table of the response counts by respondent type for Judge Sullivan is shown on the following page, and on the next page is a table of the overall cooperation rates for both the Attorney and Non-Attorney Regarding County Judges surveys for all county judges.

Judge Katharine T. Sullivan

Judge Response Counts by Type of Respondent

<i>Role Type</i>	<i>Total Sent</i>	<i>No Response</i>	<i>Undeliverable/ Not Applicable</i>	<i>Other Non-Responses</i>	<i>Completes</i>	<i>Coop Rate</i>
Attorneys						
<u>Criminal</u>						
District Attorneys	43	16	9	0	18	52.9%
Defense Attorneys	190	79	41	0	70	47.0%
<u>Civil</u>						
Other Attorneys Civil	29	6	13	0	10	62.5%
Total Attorneys	262	101	63	0	98	49.2%
Non-attorneys						
<u>Criminal</u>						
Witness	155	73	38	3	41	35.0%
Law Enforcement	4	0	3	0	1	100.0%
Defendant	756	235	468	6	47	16.3%
<u>Civil</u>						
Litigant	144	39	81	4	20	31.7%
Witness	30	7	12	0	11	61.1%
<u>Jurors</u>	110	55	3	0	52	48.6%
<u>Employees, including Interpreters</u>	5	2	0	0	3	60.0%
<u>Probation Officers</u>	10	1	0	0	9	90.0%
Total Non-attorneys	1214	412	605	13	184	30.2%
Grand Total:	1476	513	668	13	282	34.9%

Note: "Undeliverable/Not Applicable" surveys are removed from the "Total Sent" prior to calculating the cooperation rate.

Total Response Counts by Type of Respondent for All County Judges*

	Total Sent	No Response	Undeliverable/ Not Applicable	Other Non-Responses	Completes	Cooperation Rate
Attorneys						
<u>Criminal</u>						
District Attorneys	1359	477	428	0	454	48.8%
Defense Attorneys	5458	1988	1427	0	2043	50.7%
Other Attorneys Criminal	17	5	4	0	8	61.5%
<u>Civil</u>						
Attorneys for Litigants	199	66	48	0	85	56.3%
Other Attorneys Civil	1109	325	393	0	391	54.6%
Total Attorneys	8142	2861	2300	0	2981	51.0%
Non-attorneys						
<u>Criminal</u>						
Victim	180	101	60	12	7	5.8%
Witness	3505	2059	941	134	371	14.5%
Other	336	221	82	9	24	9.4%
Law Enforcement	3358	2551	368	73	366	12.2%
Defendant	15702	7544	6825	179	1154	13.0%
<u>Civil</u>						
Litigant	4907	2614	1583	103	607	18.3%
Witness	629	340	133	17	139	28.0%
Other	9	8	0	0	1	11.1%
<u>Jurors</u>	4706	2082	550	95	1979	47.6%
<u>Employees, including Interpreters</u>	400	106	49	0	245	69.8%
<u>Probation Officers</u>	332	92	82	0	158	63.2%
Total Non-attorneys	34064	17718	10673	622	5051	21.6%
Grand Total:	42206	20579	12973	622	8032	27.5%

* Includes only the County judges evaluated during this interim cycle.

Note: "Undeliverable/Not Applicable" surveys are removed from the "Total Sent" prior to calculating the cooperation rate.

Survey of Attorneys Regarding County Judges

All County Judges* Sample Size = 2995							Average Grade (0.0 to 4.0 scale)
	A	B	C	D	Fail	DK/NA	
1. Case Management:							
1a. Promptly issuing a decision on the case after trial.	52%	15%	5%	2%	1%	25%	3.54
1b. Maintaining appropriate control over proceedings.	65%	21%	8%	3%	2%	2%	3.47
1c. Promptly ruling on pre-trial motions.	51%	19%	7%	2%	2%	19%	3.43
1d. Setting reasonable schedules for cases.	57%	23%	9%	4%	2%	6%	3.37
Overall Case Management							3.45
2. Application and Knowledge of Law:							
2a. Being able to identify and analyze relevant facts.	57%	23%	10%	4%	3%	3%	3.30
2b. Basing decisions on evidence and arguments.	53%	23%	11%	6%	4%	3%	3.18
2c. Willing to reconsider error in fact or law.	39%	18%	10%	6%	6%	22%	3.00
2d. Issuing consistent sentences when the circumstances are similar.	52%	23%	10%	3%	3%	10%	3.31
Overall Application and Knowledge of Law							3.20
3. Communications:							
3a. Making sure all participants understand the proceedings.	66%	22%	7%	2%	1%	2%	3.52
3b. Providing written communications that are clear, thorough and well reasoned.	44%	17%	7%	3%	2%	27%	3.34
Overall Communications							3.43
4. Demeanor:							
4a. Giving proceedings a sense of dignity.	64%	20%	8%	4%	3%	1%	3.42
4b. Treating participants with respect.	65%	17%	8%	4%	4%	1%	3.37
4c. Conducting the courtroom in a neutral manner.	58%	18%	11%	6%	6%	1%	3.18
4d. Consistently applying laws and rules.	55%	21%	10%	4%	4%	5%	3.23
Overall Demeanor							3.30
5. Diligence:							
5a. Using good judgment in application of relevant law and rules.	53%	24%	11%	5%	5%	3%	3.18
5b. Doing the necessary "homework" and being prepared for cases.	53%	22%	9%	3%	4%	9%	3.30
5c. Being willing to handle cases on the docket even when they are complicated and time consuming.	53%	18%	7%	3%	3%	16%	3.38
Overall Diligence							3.29
Overall Average Grade:							3.32

* Includes only the County judges evaluated during this interim cycle.

Note: Respondents rated judges on various questions using an A to F scale, in which the grades were then converted to numerical scores: A= 4, B=3, C=2, D=1 and F=0. An average score of 4.0 is the highest possible score and a 0.0 is the lowest possible score. 'DK/NA' = Don't Know / Not Applicable.

Survey of Attorneys Regarding County Judges

All County Judges*

Average Grade
(0.0 to 4.0 scale)

Would you say the judge is:

Very biased in favor of the prosecution	11%
Somewhat biased in favor of the prosecution	30%
Completely neutral	50%
Somewhat biased in favor of the defense	4%
Very biased in favor of the defense	1%
Don't know or not sure	4%

How strongly do you recommend that the Judge be retained in office, or not be retained in office?

Strongly recommend retain	53%
Recommend retain	24%
Neither recommend nor not recommend retain	9%
Recommend not retain	7%
Strongly recommend not retain	7%
Total Retain	77%
Neither	9%
Total Not Retain	14%

* Includes only the County judges evaluated during this interim cycle.

Survey of Non-Attorneys Regarding County Judges

All County Judges* Sample Size = 5051							Average Grade (0.0 to 4.0 scale)
	A	B	C	D	Fail	DK/NA	

1. Demeanor:

1a. Giving court proceedings a sense of dignity.	71%	17%	6%	2%	2%	2%	3.54
1b. Treating participants in the case politely and with respect.	73%	15%	5%	3%	3%	1%	3.54
1c. Conducting the courtroom in a neutral manner.	71%	15%	5%	3%	4%	1%	3.48
1d. Having a sense of compassion and human understanding for those who appear before the judge.	68%	16%	6%	3%	5%	2%	3.42
Overall Demeanor							3.50

2. Fairness:

2a. Giving participants an opportunity to be heard.	73%	14%	5%	3%	3%	2%	3.53
2b. Treating those involved in the case without bias.	70%	14%	5%	3%	5%	3%	3.45
2c. Treating fairly people who represent themselves.	53%	11%	3%	2%	4%	26%	3.46
2d. Giving each side enough time to present their case.	70%	14%	4%	2%	3%	6%	3.54
Overall Fairness							3.50

3. Communications:

3a. Making sure participants understand the proceedings, and what's going on in the courtroom.	74%	14%	5%	3%	2%	2%	3.58
3b. Using language that everyone can understand.	74%	16%	5%	2%	2%	1%	3.63
3c. Speaking clearly so everyone in the courtroom can hear what's being said.	78%	14%	4%	1%	1%	1%	3.68
Overall Communications							3.63

4. Diligence:

4a. Beginning court on time.	66%	18%	7%	3%	2%	4%	3.48
4b. Maintaining appropriate control over proceedings.	75%	15%	4%	2%	2%	2%	3.64
4c. Setting reasonable schedules for cases.	63%	16%	5%	2%	2%	12%	3.54
4d. Being prepared for cases.	70%	14%	5%	2%	2%	7%	3.58
4e. Managing court proceedings so that there is little wasted time.	66%	19%	6%	3%	3%	3%	3.48
Overall Diligence							3.54

5. Application of Law:

5a. Giving reasons for rulings.	64%	15%	5%	3%	4%	8%	3.44
5b. Willing to make decision without regard to possible outside pressure.	59%	12%	5%	2%	4%	18%	3.45
5c. Being able to identify and analyze relevant facts.	65%	14%	5%	3%	5%	8%	3.45
Overall Application of Law							3.45

Overall Average Grade: 3.52

* Includes only the County judges evaluated during this interim cycle.

Note: Respondents rated judges on various questions using an A to F scale, in which the grades were then converted to numerical scores: A= 4, B=3, C=2, D=1 and F=0. An average score of 4.0 is the highest possible score and a 0.0 is the lowest possible score. 'DK/NA' = Don't Know / Not Applicable.

Survey of Non-Attorneys Regarding County Judges

All County Judges*

Average Grade
(0.0 to 4.0 scale)

6. How biased do you think the Judge is toward the defense or prosecution?

[Please see the questionnaire at the end of report for question wording.]

Biased in favor of the prosecution total	13%
Competely neutral	79%
Biased in favor of the defense total	7%
Average	0.13

7. How lenient or harsh do you think the sentences generally handed down by Judge are?

[Please see the questionnaire at the end of report for question wording.]

Harsh sentencing total	13%
Competely neutral	74%
Lenient sentencing total	13%
Average	0.1

How strongly do you recommend that the Judge be retained, or not be retained in office?

Strongly recommend retain	70%
Recommend retain	14%
Neither recommend nor not recommend retain	7%
Recommend not retain	3%
Strongly recommend not retain	7%
Total Retain	84%
Neither	7%
Total Not Retain	10%

* Includes only the County judges evaluated during this interim cycle.

Questionnaires

Colorado Judicial Performance

Attorneys Regarding Trial Judges Survey Questions

– Which of the following types of cases have you observed Judge (Last Name)’s performance? Please circle all that apply. (Only respondents who indicate they have observed the judge in “criminal other than traffic” cases will be asked question 2d and the “bias” question between 5 and 6.)

- Civil..... 1
- Criminal other than traffic 2
- Traffic..... 3
- Domestic..... 4
- Juvenile..... 5
- Probate 6
- Other 9

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade Judge [**Last Name**] on the following. If, for a specific question you feel that you do not have enough information to grade the judge, please check DK/NA for Don't Know/Not Applicable.

1. Case Management:

- a. Promptly issuing a decision on the case after trial. A B C D F DK/NA
- b. Maintaining appropriate control over proceedings. A B C D F DK/NA
- c. Promptly ruling on pre-trial motions. A B C D F DK/NA
- d. Setting reasonable schedules for cases. A B C D F DK/NA

2. Application and Knowledge of Law:

- a. Being able to identify and analyze relevant facts. A B C D F DK/NA
- b. Basing decisions on evidence and arguments. A B C D F DK/NA
- c. Willing to reconsider error in fact or law. A B C D F DK/NA
- d. [*Criminal only*] Issuing consistent sentences when
the circumstances are similar. A B C D F DK/NA

3. Communications:

- a. Making sure all participants understand
the proceedings. A B C D F DK/NA
- b. Providing written communications that are
clear, thorough and well reasoned. A B C D F DK/NA

4. Demeanor:

- a. Giving proceedings a sense of dignity. A B C D F DK/NA
- b. Treating participants with respect. A B C D F DK/NA
- c. Conducting the courtroom in a neutral manner. A B C D F DK/NA
- d. Consistently applying laws and rules. A B C D F DK/NA

5. Diligence:

- | | | | | | | | |
|----|--|---|---|---|---|---|-------|
| a. | Using good judgment in application of relevant law and rules. | A | B | C | D | F | DK/NA |
| b. | Doing the necessary “homework” and being prepared for cases. | A | B | C | D | F | DK/NA |
| c. | Being willing to handle cases on the docket even when they are complicated and time consuming. | A | B | C | D | F | DK/NA |

Having observed Judge (Last Name) in a criminal case, would you say the judge is: *(This question is asked only if respondent indicated at the beginning of the survey he/she observed the judge in a criminal case.)*

- Very biased in favor of the prosecution 1
- Somewhat biased in favor of the prosecution 2
- Completely Neutral 3
- Somewhat biased in favor of the defense..... 4
- Very biased in favor of the defense..... 5
- Don't Know/Not Sure..... 9

6. What would you say are Judge (Last Name)'s strengths?

7. What would you say are Judge (Last Name)'s weaknesses?

8. Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge (Last Name) be retained in office, or not be retained in office?

- Strongly recommend retain in office..... 5
- Recommend retain in office 4
- Neither recommend nor not recommend retain in office..... 3
- Recommend not retain in office 2
- Strongly recommend not retain in office 1



Commission on Judicial Performance

9. And what would you say are Judge *[Last Name]*'s weaknesses?

10. Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge *[Last Name]* be retained in office, or not retained in office?

- | | |
|---|---|
| Strongly recommend retain in office | 5 |
| Recommend retain in office..... | 4 |
| Neither recommend nor not recommend retain in office..... | 3 |
| Recommend not retain in office..... | 2 |
| Strongly recommend not retain in office | 1 |

Thank you for taking the time to complete this questionnaire. Please place it in the self-addressed, postage-paid envelope provided and place it in the mail. Your participation in this survey is very much appreciated.

Evaluation of JUDGE [FULL NAME]
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If we have made a mistake and you either were not in Judge *[Last Name]*'s courtroom or you feel that you do not have sufficient experience with Judge *[Last Name]* to have an opinion on the judge's judicial performance, please just return this questionnaire, unanswered, in the enclosed postage-paid envelope, to stop any further requests to evaluate Judge *[Last Name]*.

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

	A	B	C	D	F	DK N/A
1. Demeanor:						
a. Giving court proceedings a sense of dignity.	4	3	2	1	0	9
b. Treating participants in the case politely and with respect.	4	3	2	1	0	9
c. Conducting the courtroom in a neutral manner.	4	3	2	1	0	9
d. Having a sense of compassion and human understanding for those who appear before the judge.	4	3	2	1	0	9

Barcode

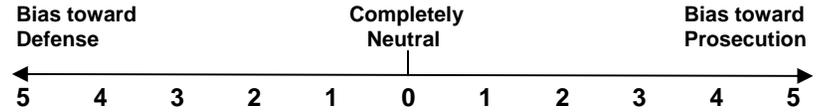
	A	B	C	D	F	DK N/A
2. Fairness:						
a. Giving participants an opportunity to be heard.	4	3	2	1	0	9
b. Treating those involved in the case without bias.	4	3	2	1	0	9
c. Treating fairly people who represent themselves.	4	3	2	1	0	9
d. Giving each side enough time to present their case.	4	3	2	1	0	9

	A	B	C	D	F	DK N/A
3. Communications:						
a. Making sure participants understand the proceedings, and what's going on in the courtroom.	4	3	2	1	0	9
b. Using language that everyone can understand.	4	3	2	1	0	9
c. Speaking clearly so everyone in the courtroom can hear what's being said.	4	3	2	1	0	9

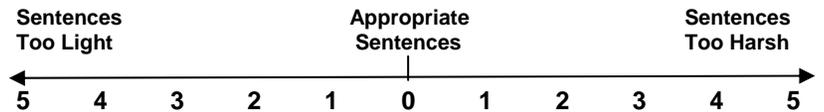
	A	B	C	D	F	DK N/A
4. Diligence:						
a. Beginning court on time.	4	3	2	1	0	9
b. Maintaining appropriate control over proceedings.	4	3	2	1	0	9
c. Setting reasonable schedules for cases.	4	3	2	1	0	9
d. Being prepared for cases.	4	3	2	1	0	9
e. Managing court proceedings so that there is little wasted time.	4	3	2	1	0	9

	A	B	C	D	F	DK N/A
5. Application of Law:						
a. Giving reasons for rulings.	4	3	2	1	0	9
b. Willing to make decision without regard to possible outside pressure.	4	3	2	1	0	9
c. Being able to identify and analyze relevant facts.	4	3	2	1	0	9

6. *[If you were in [Last Name]'s courtroom during a criminal case or cases please answer this question, otherwise skip to the next question.]* On the scale below, please indicate by circling the appropriate number how biased you think Judge [Last Name] is toward the defense or the prosecution. If you feel Judge [Last Name] is completely unbiased, circle "0."



7. *[If you were in [Last Name]'s courtroom during a criminal case or cases please answer this question, otherwise skip to the next question.]* On the scale below, please indicate by circling the appropriate number how lenient or how harsh you think the sentences generally handed down by [Last Name] are. If you feel Judge [Last Name] generally hands down appropriate sentences, circle "0."



Though your name will never be associated with your answers, because the judge will see a typed transcript of the comments that you and others write, it is important that you do not include information in the comments below that would unintentionally identify you as the author.

8. What would you say are Judge [Last Name]'s strengths?

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