

## Commission on Judicial Performance

## The Honorable D. Wayne Patton

2011 Interim Judicial Performance Survey 5th Judicial District





May 6, 2011



The Honorable D. Wayne Patton Lake County Courthouse P.O. Box 55 Leadville, CO 80461

#### Dear Judge Patton:

I am pleased to make available to you the attached copy of your 2011 Interim Judicial Performance Survey Report. The report is based on two surveys relating to how you are seen carrying out the performance of your office: One of attorneys who have had cases in your court or who are knowledgeable about your judicial performance, second a survey of non-attorneys who have observed your performance in court or who have otherwise been affected by your performance as a judge. In addition to this introduction, the report is divided into five main sections:

- 1. A brief summary of the results of the two surveys.
- 2. The numerical results of the survey of attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments attorneys made about your judicial performance. In some instances the comments have been redacted to eliminate respondent identifying information. A copy of the attorney questionnaire is included in the final section of this report.
- 3. The numerical results of the survey of non-attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments these respondent made on the subject of your judicial performance. In few instances the comments have been redacted to eliminate respondent identifying information. A copy of the non-attorney questionnaire is included in the final section of this report.
- 4. The fourth section of the Report discusses the methodology of the surveys.
- 5. The final section provides copies of the questions or questionnaires that were used for each survey.

Hon. D. Wayne Patton May 6, 2011 Page 2



If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 303-443-5300 ext 1 or by email at *talmey@talmeyresearch.com* (please put the words "Judicial Performance" in the subject line), and for any other questions you might have about the survey please call the Executive Director of the Office of Judicial Performance Evaluation, Jane Howell, at 303-866-6465.

Best regards,

Paul A. Talmey President

enc:

# Summary of Results by Year and Juror/Non-Juror

On average, county judges received an overall combined average grade in the 2011 Interim Judicial Performance Survey of 3.45--the overall average grade received from attorney respondents, 3.33, to the survey plus the overall average grade received from non-attorney respondents, 3.56, divided by two.

Based on an average attorney grade of 3.63, and an average non-attorney grade of 3.32, Judge D. Wayne Patton's combined grade for the 2011 Interim Judicial Performance Survey of 3.48.

Jud	ge Patton Av	erage Grade	
	Combined	Attorney	Non-attorney
Overall Grade	3.48	3.63	3.32
Sample Size	-	66	100

Table 1

The results presented in this report are based on data from cases heard and closed by Judge Patton from 2007 through 2010. (See Methodology section for description of sampling process.) Table 2 shows Judge Patton's overall average grades for each of these years.

	Judg	e Patton Ave	rage Grades	by Year			
	Combined	Atto	rney	Non-Attorney			
	Average	Average	Sample	Average	Sample		
Year	Score	Score	Size	Score	Size		
2007	3.49	3.58	11	3.40	35		
2008	3.32	3.07	8	3.56	14		
2009	3.41	3.79	19	3.03	26		
2010	3.54	3.69	28	3.38	25		
Overall	3.48	3.63	66	3.32	100		

Table 2

Due to sending questionnaires to all jurors—not just a sample—and their much higher response rate than other non-attorneys surveyed, the percentage of jurors in the county judge sample of the non-attorney survey is 54%. Moreover, jurors tend to grade judges much higher than non-jurors. The juror overall average grade for county judges was 3.83, while the overall average grade awarded by non-jurors was 3.26. The effect of this is that judges with a higher percentage of jurors in their sample tend to have higher average grades in the non-attorney survey than those judges with a small percentage of

jurors. The number of jurors in a judge's sample is, of course, closely related to the number of jury trials the judge presides over.

The table below shows Judge Patton's non-attorney results broken out by jurors and non-jurors for each year from 2007 to 2010. It also shows the overall average juror and non-juror grades for all county judges.

		Judg	e Patt	on Average	Grade	s by Ye	ar		
	Ju	ırors		Non	-Jurors		All County Judges		
	Average	San	nple	Average	Sar	nple	Juror	Non-Juror	
Year	Score	Size	%	Score	Size	%	Average	Average	
2007	3.24	1	3%	3.41	34	97%	3.82	3.30	
						100			
2008			%	3.56	14	%	3.82	3.26	
2009	3.47	3	12%	2.97	23	88%	3.83	3.22	
2010	3.81	5	20%	3.26	20	80%	3.85	3.25	
All Yrs.	3.63	9	9%	3.29	91	91%	3.83	3.26	

Table 3

Table 3 allows one to compare Judge Patton's juror and non-juror grades with the all county judge averages to better ascertain if the judge is seen as performing relatively well or relatively poorly among these two subgroups.

# Survey of Attorneys Regarding Judge D. Wayne Patton

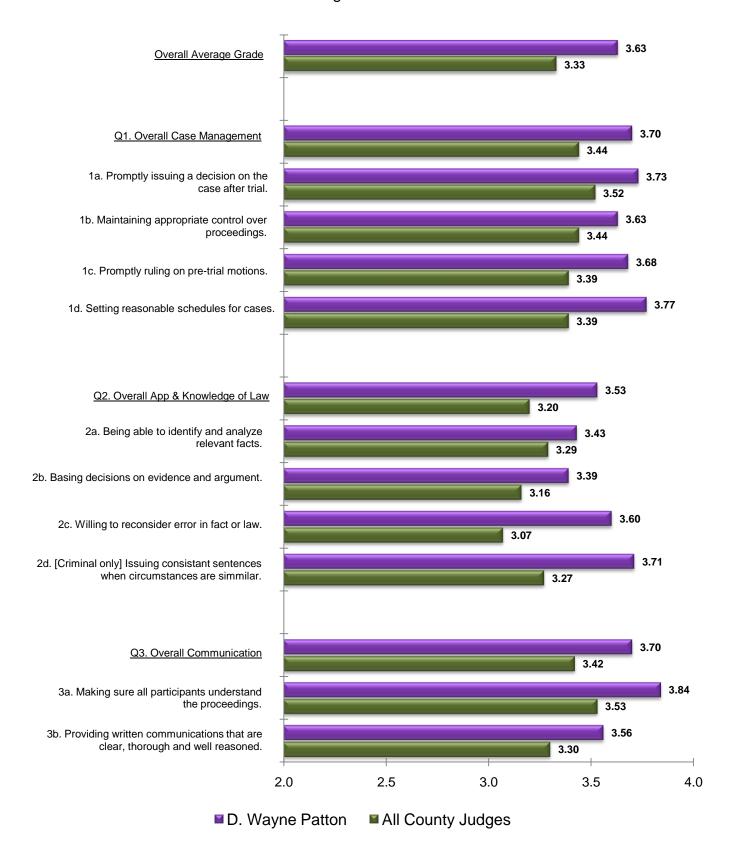
(Sample Size 66)

Survey of Attorneys			9					
Judge D. Wayne Patton							Aver	age
Sample Size = 66	А	В	С	D	Fail [	OK/NA	D. Wayne Patton	All Count Judges
		·	•					•
. Case Management:								
1a. Promptly issuing a decision on the case after trial.	61%	23%	0%	0%	0%	17%	3.73	3.52
1b. Maintaining appropriate control over proceedings.	68%	24%	2%	3%	0%	3%	3.63	3.44
1c. Promptly ruling on pre-trial motions.	53%	15%	2%	2%	0%	29%	3.68	3.39
1d. Setting reasonable schedules for cases.	70%	14%	3%	0%	0%	14%	3.77	3.39
			Overa	all Cas	e Mana	gement	3.70	3.44
2. Application and Knowledge of Law:								
2a. Being able to identify and analyze relevant facts.	57%	29%	6%	5%	0%	3%	3.43	3.29
2b. Basing decisions on evidence and arguments.	51%	23%	8%	5%	0%	14%	3.39	3.16
2c. Willing to reconsider error in fact or law.	59%	16%	2%	5%	0%	19%	3.60	3.07
2d. Issuing consistent sentences when the circumstances are similar.	54%	17%	2%	0%	0%	26%	3.71	3.27
	Overall	Applica	tion an	nd Kno	wledge	of Law	3.53	3.20
3. Communications:								
	000/	400/	00/	00/	00/	00/	0.04	0.50
3a. Making sure all participants understand the proceedings.	83%	12%	2%	0%	0%	3%	3.84	3.53
3b. Providing written communications that are clear, thorough and well reasoned.	55%	13%	8%	2%	0%	23%	3.56	3.30
			Ove	rall Co	mmuni	cations	3.70	3.42
. Demeanor:								
4a. Giving proceedings a sense of dignity.	66%	26%	3%	3%	0%	2%	3.58	3.43
4b. Treating parties with respect.	85%	14%	0%	0%	0%	2%	3.86	3.43
4c. Conducting his/her courtroom in a neutral manner.	78%	17%	2%	2%	0%	2%	3.75	3.26
4d. Consistently applying laws and rules.	68%	15%	6%	2%	0%	9%	3.64	3.27
tal consisting applying tallocated tallocated	0070	.070	0,0			meanor	3.71	3.35
. Diligence:								
5a. Using good judgment in application of relevant law and	58%	22%	9%	3%	2%	6%	3.41	3.20
rules.	JU /0	ZZ /0	J /0	J /0	∠ /0	J /0	J. <del>4</del> I	3.20
5b. Doing the necessary homework and being prepared for his/her cases.	51%	29%	6%	2%	0%	12%	3.47	3.28
5c. Being willing to handle cases on the docket even when they are complicated and time consuming.	62%	11%	3%	2%	0%	23%	3.72	3.39
, ,				Ov	erall D	iligence	3.53	3.29
			0	- II A	erage (		3.63	3.33

Survey of Attorneys Regarding Trial Judges		
	Perce	ntage
Judge D. Wayne Patton  Sample Size = 66	mmend retain end not retain end not retain end not retain end not Retain tal Not Retain or Don't Know end not retain or Don't Know end not retain end end not retain end end end end end end end end end en	All County Judges
Would you say the judge is:		
Very biased in favor of the prosecutio Somewhat biased in favor of the prosecutio Completely neutra Somewhat biased in favor of the defens Very biased in favor of the defens Don't know or not sur	on 11% al 69% se 8% se 3%	9% 28% 50% 6% 2% 5%
8. How strongly do you recommend that the Judge be retained in office, or not be retained in office?  [Percentages excluding undecided responses.]  Strongly recommend retained in office, or not be retained in office, or not be retained in office?  [Percentages excluding undecided responses.]  Strongly recommend retained in office, or not be retained in office, or	in 11%	71% 17% 6%
Strongly recommend not retain	in 0% in 98%	7% 88% 13%
[Percentages including undecided responses.]  Strongly recommend retai  Somewhat recommend retai  Undecided or Don't Know  Somewhat recommend not retai  Strongly recommend not retai	in 11% w 5% in 2%	68% 16% 5% 6% 6%
Total Retai Undecided/Don't Knov Total Not Retai	w 5%	84% 5% 12%

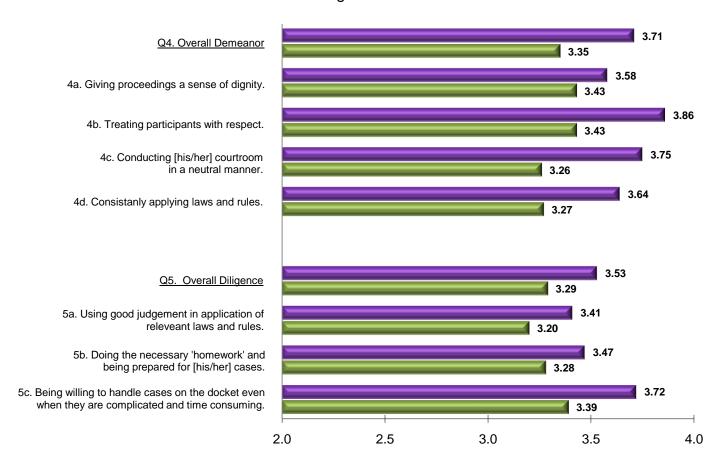
## Survey of Attorneys Regarding Trial Judges

**Average Grades** 

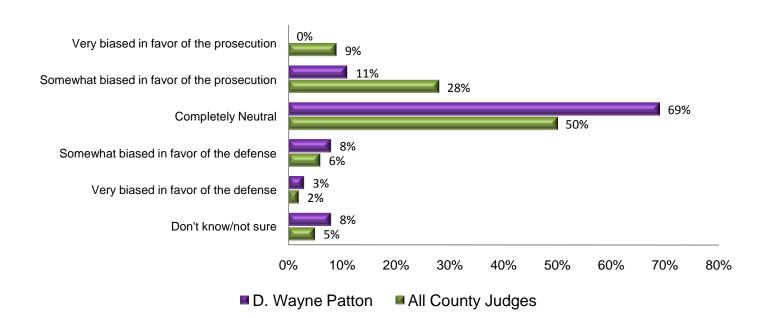


## **Survey of Attorneys Regarding Trial Judges**

**Average Grades** 

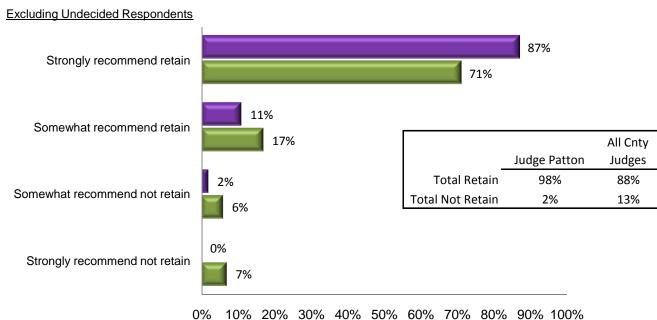


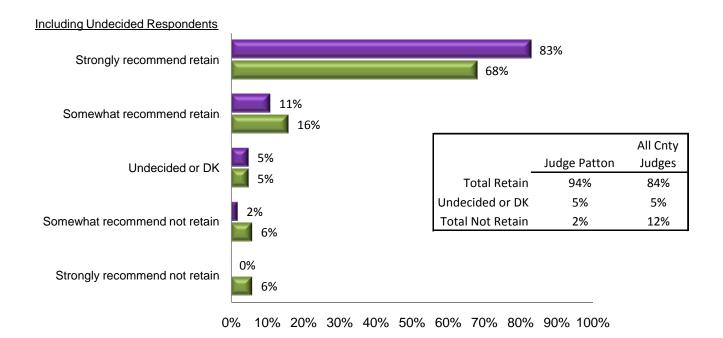
## Biased in favor of prosecution/defense.



## Judge D. Wayne Patton **Survey of Attorneys Regarding Trial Judges**

### Q8. How strongly do you recommend that Judge Patton be retained or not retained in office?





■ D. Wayne Patton ■ All County Judges

# Survey of Non-Attorneys Regarding Judge D. Wayne Patton

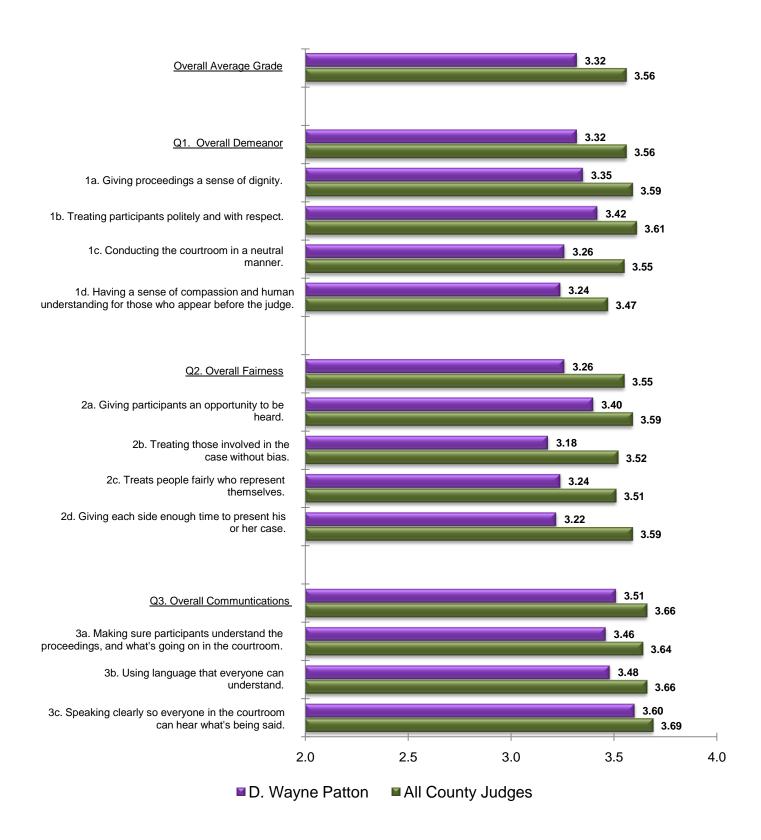
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Survey of Non-Attorne	ys Re	egard	ding	Tria	I Jud	dges		
Judgo D. Wayno Batton							Aver	age
Judge D. Wayne Patton  Sample Size = 100	А	В	С	D	Fail	DK/NA	D. Wayne Patton	All County Judges
Damasanan			•					
Demeanor:     1a. Giving court proceedings a sense of dignity.	57%	28%	8%	4%	2%	1%	3.35	3.59
<ul><li>1b. Treating participants in the case politely and with respect.</li></ul>	61%	27%	7%	3%	2 % 2 %		3.42	3.61
1c. Conducting court in a neutral manner.	57%	26%	9%	3%	5%		3.26	3.55
1d. Having a sense of compassion and human understanding for those who appear before the court.	57%	22%	10%	4%	5%		3.24	3.47
				Ove	erall De	emeanor	3.32	3.56
2. Fairness:								
2a. Giving participants an opportunity to be heard.	60%	24%	7%	4%	2%	3%	3.40	3.59
2b. Treating those involved in the case without bias.	54%	23%	5%	5%	7%	5%	3.18	3.52
2c. Treating fairly people who represent themselves.	43%	18%	6%	2%	5%	26%	3.24	3.51
2d. Giving each side enough time to present his or her case.	51%	21%	9%	6%	3%	10%	3.22	3.59
				0	verall I	Fairness	3.26	3.55
B. Communications:								
3a. Making sure participants understand the proceedings, and what is going on in the courtroom.	68%	20%	6%	2%	4%	0%	3.46	3.64
3b. Using language that everyone can understand.	65%	23%	8%	3%	1%	0%	3.48	3.66
3c. Speaking clearly so everyone in the courtroom can hear what is being said.	72%	21%	2%	2%	2%	1%	3.60	3.69
			Ove	rall Co	mmun	ications	3.51	3.66
1. Diligence:								
4a. Beginning court on time	56%	24%	12%	5%	2%		3.28	3.45
4b. Maintaining appropriate control over proceedings.	67%	16%	10%	4%	2%		3.43	3.67
4c. Setting reasonable schedules for cases.	53%	21%	5%	6%	1%		3.38	3.56
4d. Being prepared for cases.	58%	17%	8%	3%	4%		3.35	3.61
4e. Managing court proceedings so that there is little wasted time.	54%	22%	10%	5%	4%	5%	3.22	3.50
				Ov	erall D	iligence	3.33	3.56
. Application of Law:								
5a. Giving reasons for rulings.	57%	21%	9%	5%	3%	5%	3.31	3.50
5b. Willing to make decisions without regard to possible outside pressure.	45%	19%	7%	3%	9%		3.06	3.52
5c. Being able to identify and analyze relevant facts.	54%	18%	11%	3%	6%		3.20	3.50
			Overa	ıll App	licatio	n of Law	3.19	3.51
			Overa	all Ave	erage	Grade:	3.32	3.56

	<b>Regarding Trial Judges</b>		
Carrey or Horr Atterneye	Trogaranig Trial Gaagee	Percer	ntage
Judge D. Wayne Patte	on	D. Wayne Patton	All County Judges
6. How biased do you think the Judge is toward the defense or prosec	ution?		
[Please see the questionnaire at the end of report for question wording.]	Biased in favor of the prosecution total  Competely neutral  Biased in favor of the defense total	72%	12% 81% 7%
[A positive average indicates bias toward prosecution, and a negative average indicates a bias toward the defense.]	Average		0.12
7. How lenient or harsh do you think the sentences generally handed of	down by Judge		
are? [Please see the questionnaire at the end of report for question wording.]	Harsh sentencing total Competely neutral Lenient sentencing total	73%	12% 77% 11%
[A positive average indicates sentences are harsh, and a negative average indicates sentences are lenient.]	Average	0.00	0.06
10. How strongly do you recommend that the Judge be retained or not office?  [Percentages excluding undecided responses.]	retained in		
[1 Groomaged excluding underlace responded.]	Strongly recommend retain	74%	84%
	Somewhat recommend retain	15%	8%
	Somewhat recommend not retain	2%	2%
	Strongly recommend not retain	9%	00/
			6%
	Total Retain		92%
	Total Retain Total Not Retain		
[Percentages including undecided responses.]			92%
[Percentages including undecided responses.]			92%
[Percentages including undecided responses.]	Total Not Retain	11%	92% 8%
[Percentages including undecided responses.]	Total Not Retain  Strongly recommend retain  Somewhat recommend retain  Undecided or Don't Know	11% 68% 14% 8%	92% 8% 77% 8% 8%
[Percentages including undecided responses.]	Total Not Retain  Strongly recommend retain  Somewhat recommend retain  Undecided or Don't Know  Somewhat recommend not retain	68% 14% 8% 2%	92% 8% 77% 8% 8% 2%
[Percentages including undecided responses.]	Total Not Retain  Strongly recommend retain  Somewhat recommend retain  Undecided or Don't Know	68% 14% 8% 2%	92% 8% 77% 8% 8%
[Percentages including undecided responses.]	Total Not Retain  Strongly recommend retain  Somewhat recommend retain  Undecided or Don't Know  Somewhat recommend not retain	68% 14% 8% 2% 8%	92% 8% 77% 8% 8% 2% 5%
[Percentages including undecided responses.]	Total Not Retain  Strongly recommend retain  Somewhat recommend retain  Undecided or Don't Know  Somewhat recommend not retain  Strongly recommend not retain	68% 14% 8% 2% 8%	92% 8% 77% 8% 8% 2% 5%

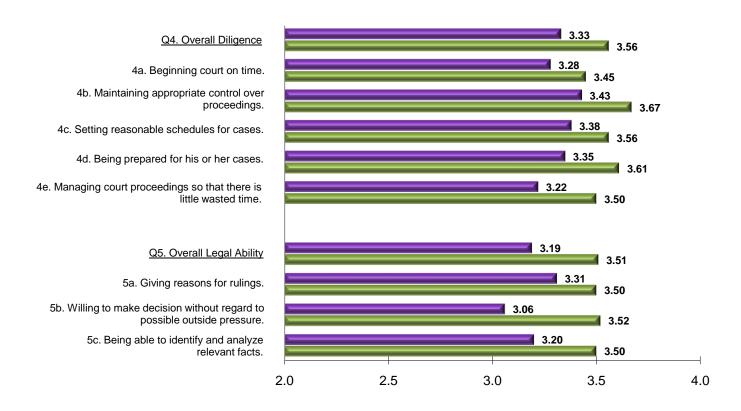
## **Survey of Non-Attorneys Regarding Trial Judges**

**Average Grades** 

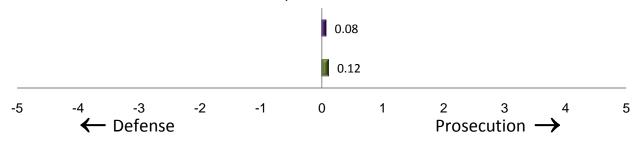


## **Survey of Non-Attorneys Regarding Trial Judges**

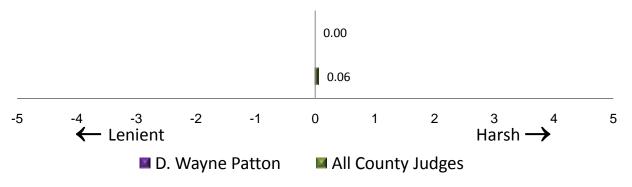
**Average Grades** 



## Q6 Biased in favor of prosecution/defense.

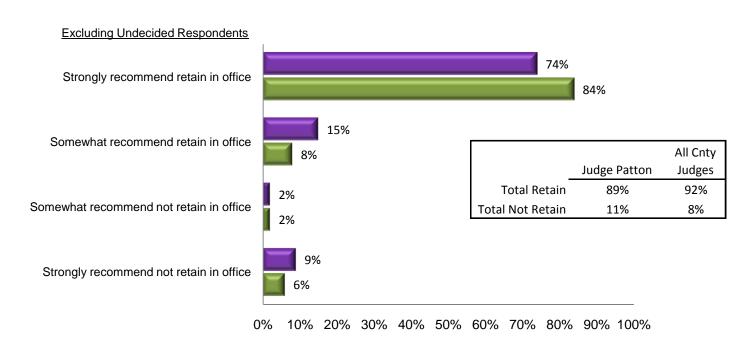


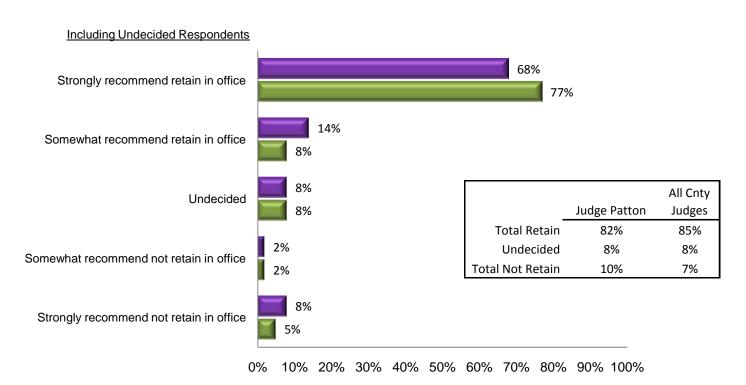
#### Q7 Lenience or Harshness in Sentencing.



## **Survey of Non-Attorneys Regarding Trial Judges**

Q10. How strongly do you recommend that Judge Patton be retained or not retained in office?





■ All County Judges

■ D. Wayne Patton



## <u>Methodology</u>

The results shown in the 2011 Interim Judicial Performance Survey Report are based on two surveys: The Survey of Attorneys Regarding Trial Judges, and the Survey of Non-Attorneys Regarding Trial Judges. Below is a description of the methodology used in the two surveys.

## I Attorneys Regarding Trial Judges

#### a. Sample:

Talmey-Drake Research & Strategy received case data with the names of people who had likely been in each judge's courtroom from five primary sources:

- Colorado Judicial Department
- Colorado District Attorneys' Council
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)
- District Attorney's Office, Ninth Judicial District

Additional information was provided by the State Public Defender's Office and the courts in the 1<sup>st</sup> and 18<sup>th</sup> Judicial Districts.

The data from these different data sources are combined, duplicates removed and addresses corrected.

- i. **Prior to 2009**, the survey of Attorneys Regarding Trial Judges was conducted using paper questionnaires mailed to the attorneys' offices. A sample of attorneys drawn from the case data were assigned to evaluate judges subject to the following rules applied in the order shown.
  - 1. No attorney would be asked to evaluate the same judge in a 24-month period.
  - 2. If an attorney was eligible to evaluate both a trial judge and an appellate judge, the attorney was assigned to evaluate the appellate judge.
  - 3. If there were several judges the attorney could potentially evaluate, the attorney was assigned the judge with whom he or she had had the most cases during the sampling time frame, or the judge with the smallest sample in order to even out sample sizes among judges.

Attorneys were mailed a questionnaire, and if they did not respond they were sent a reminder postcard followed by a second questionnaire and in some cases a second reminder postcard. Questionnaires were barcoded, and if an attorney mailed back two questionnaires, the second one was deleted from the data file. Attorneys who did not complete the survey after the second request were then telephoned and asked to complete the survey by phone.

- **ii.** In 2009 the Attorneys Regarding Trial Judges Survey moved from being a paper survey mailed to potential respondents to an online survey. Moving the survey to online permitted asking individual attorneys to evaluate up to five trial judges, and with the exception of the effects of the modified assignment rules 1 through 3 below, it became a survey of all attorneys who had cases before trial judges. Allowing an attorney to evaluate up to five judges, entailed slightly modifying the assignment rules:
  - 1. No attorney would be asked to evaluate the same justice or judge in a 24-month period.
  - 2. If an attorney was eligible to evaluate both a trial judge and an appellate judge, the attorney was assigned to evaluate the appellate judge.
  - 3. If there were more than five judges who could be assigned to the attorney, the attorney was assigned the judges with whom he or she had had the most cases during the sampling time frame, or the judges with the smallest samples in order to even out sample sizes among judges.

Attorneys were first mailed a letter about the online survey to let them know that they would soon receive an email with a link to the survey. The Web address of the survey and a password were included in the letter if the attorney wanted to complete the survey immediately. A week after the first email was sent, a follow-up email was sent. Potential respondents who did not complete the survey after the second email were then telephoned and asked to either complete the survey then by phone, or to please complete it online.

**iii.** In 2010, and going forward, rule #2 above was changed so that an attorney could be asked to evaluate a combination of up to seven trial judges or Court of Appeals judges, if the attorney had had a case before the COA. Attorneys who had cases before the both the Supreme Court and trial judges during the sample time frame were asked to evaluate all seven Supreme Court justices, and not asked to evaluate the trial judges.

The results shown in the 2011 Interim Judicial Performance Survey Report for the Attorneys Regarding Trial Judges survey are based on the combined survey results collected from January 2005 through March 30, 2011¹ for those questions that have been consistently asked during that time period.

Since 2010, the Judicial Performance Survey reports have been based on a moving average, or rolling sample, of survey results collected over a period of time equal to the justice's or judge's term of office: ten years for a Supreme Court justice, eight years for a COA judge, six years for a district judge and four years for a county judge. To use a district judge as an example: as survey data is collected it is pooled together for six years. After six years, as new data is added to the judge's survey results in the first quarter of the seventh year, the oldest quarter of data in the pool is deleted.

The current data for all judges only goes back as far as 2005—or the year the judge took the bench—therefore the rolling of the survey results only affects the county judge sample in the 2011 Interim reports.

<sup>&</sup>lt;sup>1</sup> The State Commission on Judicial Performance authorized continuous surveying in 2007. Prior to 2007 data was only collected in the odd numbered years before the retention reports were issued. Therefore, while data was gathered in 2005 and 2007 through the 1<sup>st</sup> quarter of 2011, and used in this report, there was no data collected in 2006.

#### b. Questions:

The survey questions asked respondents to use a grade of A, B, C, D, or F to assess the justice or judge's performance on twelve aspects of judicial performance. (See Questionnaire section.) These grades were then converted to a numerical scores where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the justice or judge be retained or not retained in office.

#### c. Comments:

In addition to the A through F questions, respondents were also asked what they considered to be the judge's strengths and what they considered to be the judge's weaknesses. By statute these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the Commission, an attempt is made to redact all respondent identifying information from the comments.

Since 2005 there have been changes to the number of comment questions asked, though the strengths and weaknesses questions have been posed in every survey.

The number to the left of each comment refers to the same attorney respondent in both the strengths section and the weaknesses section.

Most spelling and typographical errors have been fixed, but where the respondent entered a comment in all upper or all lower case, or without punctuation, the comment was not corrected.

#### d. Analysis:

The Attorneys Regarding Trial Judges section first shows a table of the percentage distribution for each of the A through F questions, including "don't know" responses. The next column to the right shows the judge's average grade for each question. For comparison purposes, averages were also computed for all district judges and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all district judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the question about recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all district judges. The percentages are shown both including and excluding "don't know/undecided" responses.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution to the retention question is then presented in the graph on the next page.

The third part of the Attorneys Regarding Trial Judges section of the report lists the comments the attorney made about the judge's strengths and weaknesses.

#### e. Cooperation Rate:

The overall response rate for the Attorneys Regarding Trial Judges Survey is calculated as the number of completed survey-evaluations (the number of judges an attorney chose to evaluate) divided by the number of possible evaluations (the number of judges the attorney could have evaluated) resulting in an overall response rate of 43.3% for district judges and 33.4% for county judges. An equivalent response rate for an individual judge is computed as the number of completed survey-evaluations for that judge divided by the number of possible evaluations that could have been completed for the judge.

Since 2009 attorneys have generally been asked to evaluate multiple judges per survey cycle, which affects the way cooperation rates are calculated and results in what appear to be lower overall cooperation rates. However, comparing the percentage of unique attorneys who responded to the mail surveys conducted from 2005 to 2008 to the percentage of unique attorneys who responded to the online surveys conducted from 2009 to the first quarter of 2011 yields very similar percentages. From 2005 to 2008 6,347 unique attorneys were asked to participate in the Judicial Performance mail surveys. Four thousand three (3,984), or 62.8%, responded with a completed questionnaire. Similarly, from 2009 through the first quarter 2011 Talmey-Drake asked 7,589 unique attorneys to participate in the Judicial Performance online survey, of which an almost identical percentage, 62.9% (4,777 attorneys) responded with one or more completed survey-evaluations of a judge. On average each attorney responding to the online survey request evaluated 3.7 judges. <sup>2</sup>

<sup>&</sup>lt;sup>2</sup> Because Talmey-Drake deletes survey results associated with judges who are no longer on the bench, the counts used in this paragraph refer only to data collected regarding trial judges who are active at this writing.

## **II Non-Attorneys Regarding Trial Judges**

#### a. Sample:

Talmey-Drake Research & Strategy received case data with the names of non-attorneys who had likely been in each judge's courtroom from five primary sources:

- Colorado Judicial Department
- Colorado District Attorneys' Council
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)
- District Attorney's Office, Ninth Judicial District

The data from these different data sources are combined, duplicates removed and addresses corrected.

Depending on the number of names available to be sampled for each judge, a random sample was drawn if the quantity of potential respondents was large. On the other hand, if the count of possible respondents was small, all potential respondents were included in the sample. Where a person had been in more than one judge's courtroom, the selection criteria for which judge he or she would be sent a questionnaire was generally for the judge in whose courtroom the potential respondent had been in most often.

Each person whose name was sampled for the Non-Attorney Survey was mailed an initial postcard informing the recipient that he or she would be receiving a questionnaire. Two to three weeks after the post card was mailed, the potential respondent was sent a personalized introductory letter and a questionnaire with a postage-paid return envelope. If the person did not respond, a second questionnaire and letter were sent approximately four weeks later. Questionnaires are barcoded, and if a respondent mailed back two questionnaires, the second one was deleted from the data file.

Since in 2010, non-attorney section of the Judicial Performance Survey reports have been based on a moving average, or rolling sample, of survey results collected over a period of time equal to the judge's term of office: six years for a district judge and four years for a county judge. To use a district judge as an example: as survey data is collected it is pooled together for six years. After six years, as new data is added to the judge's survey results in the first quarter of the seventh year, the oldest quarter of data in the pool is deleted.

The current data for all judges only goes back as far as 2005 – or the year the judge took the bench – therefore the rolling of the survey results only affects the county judge sample in the 2011 Interim reports. <sup>3</sup>

<sup>&</sup>lt;sup>3</sup> The State Commission on Judicial Performance authorized continuous surveying in 2007. Prior to 2007 data was only collected in the odd numbered years before the retention reports were issued. Therefore, while data was gathered in 2005 and 2007 through the 1<sup>st</sup> quarter of 2011, and used in this report, there was no data collected in 2006.

#### b. Questions:

The survey questions asked respondents to use a grade of A, B, C, D, or F to assess the justice or judge's performance on twelve aspects of judicial performance (See Questionnaire section.) These grades were then converted to a numerical scores where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the justice or judge be retained or not retained in office.

A copy of the questionnaire is included in the last section of this report.

#### c. <u>Analysis:</u>

The Non-Attorneys Regarding Trial Judges section first shows a table of the percentage distribution for each of the A through F questions, including "don't know" responses. The next column to the right shows the judge's average grade for each question. For comparison purposes, averages were also computed for all district judges and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all district judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the questions about prosecution or defense bias and recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all district judges. The percentages for the retention question are shown including and excluding "don't know/undecided" responses.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution of the prosecution-defense bias and retention questions are then presented in the graph on the next page.

The third part of the Non-Attorneys Regarding Trial Judges section of the report lists the comments the attorney made about the judge's strengths and weaknesses.

#### d. Comments:

In addition to the A through F questions, non-attorney respondents were asked what they considered to be the judge's strengths and what they considered to be the judge's weaknesses. By statute these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the

Commission, an attempt is made to redact all respondent identifying information from the comments.

Since 2005 there have been changes to the number of comment questions asked, though the strengths and weaknesses questions have been posed in every survey.

The number to the left of each comment refers to the same attorney respondent in both the strengths section and the weaknesses section.

#### e. Cooperation Rate:

The estimated cooperation rate for the Non-attorney Survey is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses and refusals, undeliverables and other responses. The table presents the estimated overall cooperation rate as well as the cooperation rate by the different types of respondents. The true cooperation rates are likely higher than shown because of the percentage of people who were mailed questionnaires about judges who they had not observed. This is due, in part, to many cases being disposed of without the parties having appeared in court, as well as in the case of law enforcement, the data includes all those who were subpoenaed for a case, not just those who appeared.

A table of the response counts by respondent type for Judge Patton is shown below, and on the next page is a table of the overall cooperation rates for both the attorney and non-attorney regarding trial judges surveys for all district judges.

# Judge D. Wayne Patton Judge Response Counts by Type of Respondent

Role Type	Total Sent	No Response	Undeliverable/ Not Applicable		Completes	Coop Rate
Attorneys						
<u>Criminal</u>						
District Attorneys	18	12	0	0	6	33.3%
Defense Attorneys	98	64	1	0	33	34.0%
Other Attorneys Criminal	2	1	0	0	1	50.0%
<u>Civil</u>						
Attorneys for Litigants	21	10	1	0	10	50.0%
Other Attorneys Civil	36	18	2	0	16	47.1%
Total Attorneys	175	105	4	0	66	38.6%
<u>Criminal</u> Witness	41	21	14	1	5	18.5%
				•		
Other  Law Enforcement	4 27	4 8	0 14	0 0	0 5	0.0% 38.5%
Defendant	735	o 357	322	6	5 50	12.1%
	733	331	322	O	50	12.1/0
<u>Civil</u> Litigant	244	101	115	2	26	20.2%
Witness	29	7	16	1	20 5	38.5%
Jurors	24	, 12	10	2	9	39.1%
Employees	5	0	5	0	0	0.0%
Total Non-attorneys	1109	510	487	12	100	16.1%
Grand Total:	1284	615	491	12	166	20.9%

	1					
	Total Sent	No Response	Undeliverable/ Not Applicable	Other Non- Responses	Completes	Cooperation Rate
ttorneys				_		
Criminal						
District Attorneys	4156	2811	74	4	1267	31.0%
Defense Attorneys	17291	11594	196	12	5489	32.1%
Other Attorneys Criminal	160	82	25	0	53	39.3%
Civil						
Attorneys for Litigants	2387	1332	95	5	955	41.7%
Other Attorneys Civil	2728	1654	69	1	1004	37.8%
GAL	2	0	0	0	2	100.0%
Attorneys, Unknown Role Type	50	17	13	1	19	51.4%
Total Attorneys	26774	17490	472	23	8789	33.4%
on-attorneys <u>Criminal</u>						
Victim	687	473	180	14	20	3.9%
Witness	5666	3174	1559	223	709	17.3%
Other	3497	2198	941	46	312	12.2%
Law Enforcement	10881	6925	1772	150	2030	22.3%
Defendant	45129	24420	16000	487	4214	14.5%
Civil						
Litigant	12946	6956	3594	202	2193	23.4%
Witness	1892	1039	433	35	383	26.3%
Other	110	64	27	2	17	20.5%
	25631	11551	1871	542	11609	48.9%
Jurors		400	59	43	169	49.1%
Jurors Employees	403	132	39	70		
	403 106842	56932	26436	1744	21656	26.9%

## **Projectability**

Most surveys seen by the public are surveys that are intended to be projectable, that is the results from the sample of people surveyed can be used to estimate a percentage or value of the population sampled with a known probability of error. For example, a preelection poll of 500 likely Colorado voters is used to estimate the percentage of voters who will vote for Candidate A versus Candidate B on election day, plus or minus some number of percentage points. The plus or minus amount is usually what is known as the 95%-confidence interval (the known probability of error), or what the media often refers to as the margin-of-error.

Neither of the two surveys that make up this report, Attorneys Regarding Trial Judges and the Non-Attorneys Regarding Trial Judges, are projectable with a known probability of error because the results are calculated from a self-selecting sample that is self-selecting based on the content and subject matter of the survey. In other words, the potential respondent knows the purpose and content of the survey, and based on that, decides whether to respond to the survey.

While projectability within a known probability of error is a highly desirable attribute of a survey, it is often not feasible to achieve. Commercial market research often uses nonprojectable (and small) samples-the most well known of which are for focus groups. Moreover, the federal courts have long accepted, and do not expect, projectable samples for market confusion surveys used in trademark litigation. In other words, one can still use the results of the Judicial Performance Evaluation Survey to estimate how everyone who has observed a justice or judge in the courtroom would grade him or her, just not with a known probability of error.

The Judicial Performance Evaluation Survey is a valuable means, perhaps the only practical means, for the Judicial Performance Commissions to have a summary of structured interviews with a number of people who have courtroom familiarity with the judge being evaluated, and who most often—albeit not always—are responding out of a desire to improve the performance of our state's judicial system.

Survey of Attorneys Regarding Trial Judges							
All County Judges Sample Size = 8789	A	В	С	D	Fail	DK/NA	Average Grade
Coop Management	•					•	
I. Case Management:	F00/	100/	60/	1%	10/	24%	2.52
<ul><li>1a. Promptly issuing a decision on the case after trial.</li><li>1b. Maintaining appropriate control over proceedings.</li></ul>	50% 62%	18% 24%	6% 9%	3%	1% 1%	2 <del>4</del> % 1%	3.52 3.44
1c. Promptly ruling on pre-trial motions.	47%	21%	3 % 7%	3 % 2%	1%	21%	3.39
1d. Setting reasonable schedules for cases.	57%	24%	7 % 9%	3%	2%	6%	3.39
rd. Setting reasonable scriedules for cases.	31 %	2470				gement	3.44
<ul><li>2. Application and Knowledge of Law:</li><li>2a. Being able to identify and analyze relevant facts.</li></ul>	5.40/	25%	10%	4%	3%	3%	3.29
•	54% 49%	25% 23%	10%	4% 6%	3% 4%	3% 7%	3.29 3.16
<ul><li>2b. Basing decisions on evidence and arguments.</li><li>2c. Willing to reconsider error in fact or law.</li></ul>	49% 40%	23% 18%	10%	6% 6%	4% 4%	7% 22%	3.16
2d. Issuing consistent sentences when the circumstances are	40% 48%	23%	9%	6% 4%	4% 3%	22% 14%	3.07 3.27
zd. issuing consistent sentences when the circumstances are similar.	40%	23%	970	470	3%	1470	3.21
	Overall	Applica	tion an	d Knov	vledge	of Law	3.20
3. Communications:							
3a. Making sure all participants understand the proceedings.	66%	22%	7%	2%	1%	2%	3.53
3b. Providing written communications that are clear, thorough and well reasoned.	41%	18%	8%	3%	2%	28%	3.30
and well reasoned.			Over	all Cor	nmuni	cations	3.42
1. Demeanor:							
4a. Giving proceedings a sense of dignity.	64%	21%	8%	3%	2%	1%	3.43
4b. Treating parties with respect.	67%	18%	8%	4%	3%	1%	3.43
4c. Conducting his/her courtroom in a neutral manner.	59%	20%	10%	6%	4%	1%	3.26
4d. Consistently applying laws and rules.	55%	22%	9%	5%	3%	5%	3.27
				Over	rall Dei	neanor	3.35
5. Diligence:							
5a. Using good judgment in application of relevant law and rules.	52%	24%	11%	5%	4%	3%	3.20
<ol> <li>Doing the necessary homework and being prepared for his/her cases.</li> </ol>	51%	23%	9%	4%	3%	10%	3.28
<ul><li>5c. Being willing to handle cases on the docket even when they are complicated and time consuming.</li></ul>	52%	18%	7%	3%	2%	18%	3.39
				Ove	erall Di	ligence	3.29
			Overa	II Ave	rage G	irade:	3.33

Survey of Attorneys Regarding Trial Judges					
All County Judges Sample Size = 8789	Average Grade				
Would you say the judge is:					
Very biased in favor of the prosecution	9%				
Somewhat biased in favor of the prosecution	28%				
Completely neutral	50%				
Somewhat biased in favor of the defense	6%				
Very biased in favor of the defense	2%				
Don't know or not sure	5%				
8. How strongly do you recommend that the Judge be retained in office, or not be retained in office?  [Percentages excluding undecided responses.]					
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain  Total Retain Total Not Retain	71% 17% 6% 7% 88% 13%				
Strongly recommend retain Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain	17% 6% 7% 88%				
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain  Total Retain Total Not Retain	17% 6% 7% 88%				
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain  [Percentages including undecided responses.]	17% 6% 7% 88% 13%				
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain  [Percentages including undecided responses.]  Strongly recommend retain	17% 6% 7% 88% 13%				
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain  [Percentages including undecided responses.]  Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain	17% 6% 7% 88% 13%				
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain  [Percentages including undecided responses.]  Strongly recommend retain Somewhat recommend retain Undecided or Don't Know	17% 6% 7% 88% 13% 68% 16% 5%				
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain  [Percentages including undecided responses.]  Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain	17% 6% 7% 88% 13% 68% 16% 5% 6%				
Strongly recommend retain Somewhat recommend not retain Somewhat recommend not retain Strongly recommend not retain  Total Retain Total Not Retain  Total Not Retain  Strongly recommend retain Somewhat recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain	17% 6% 7% 88% 13% 68% 16% 5% 6% 6%				

Survey of Non-Attorne	yo ive	garc	iiig	IIIai	Juu	iges	
All County Judges							
Sample Size = 21656	А	В	С	D	Fail	DK/NA	Average Grade
<u>Demeanor:</u>							
1a. Giving court proceedings a sense of dignity.	72%	18%	5%	2%	2%	1%	3.59
1b. Treating participants in the case politely and with respect.	76%	15%	4%	2%	3%	1%	3.61
1c. Conducting court in a neutral manner.	74%	15%	5%	2%	3%	1%	3.55
1d. Having a sense of compassion and human understanding for those who appear before the court.	68%	18%	5%	3%	4%	2%	3.47
				Ove	rall De	meanor	3.56
Fairness:							
2a. Giving participants an opportunity to be heard.	75%	15%	4%	2%	3%	1%	3.59
2b. Treating those involved in the case without bias.	73%	14%	4%	3%	4%	2%	3.52
2c. Treating fairly people who represent themselves.	52%	10%	3%	2%	3%	29%	3.51
2d. Giving each side enough time to present his or her case.	72%	14%	4%	2%	3%	5%	3.59
<u> </u>				Ov	erall F	airness	3.55
Communications:							
Ba. Making sure participants understand the proceedings, and what is going on in the courtroom.	76%	14%	5%	2%	2%	1%	3.64
Bb. Using language that everyone can understand.	76%	16%	4%	1%	1%	1%	3.66
3c. Speaking clearly so everyone in the courtroom can hear what is being said.	79%	14%	4%	1%	1%	1%	3.69
			Ove	all Cor	nmuni	cations	3.66
<u>Diligence:</u>							
4a. Beginning court on time	64%	21%	7%	3%	3%	3%	3.45
4b. Maintaining appropriate control over proceedings.	77%	15%	4%	1%	1%	1%	3.67
4c. Setting reasonable schedules for cases.	63%	16%	5%	2%	2%	13%	3.56
4d. Being prepared for cases.	71%	15%	4%	2%	2%	7%	3.61
4e. Managing court proceedings so that there is little wasted time.	67%	20%	6%	2%	2%	2%	3.50
une.				Ove	erall Di	ligence	3.56
Application of Law:						-	
5a. Giving reasons for rulings.	65%	16%	5%	2%	3%	8%	3.50
5b. Willing to make decisions without regard to possible outside pressure.	60%	12%	4%	2%	3%	19%	3.52
5c. Being able to identify and analyze relevant facts.	67%	14%	4%	2%	4%	8%	3.50
			Overa	II Appli	cation	of Law	3.51

Survey of Non-Attorneys Regarding Trial Judges							
All County Judg Sample Size = 21656	Average Grade						
6. How biased do you think the Judge is toward the defense or prosecution?							
[Please see the questionnaire at the end of report for question wording.]	Biased in favor of the prosecution total  Competely neutral  Biased in favor of the defense total	12% 81% 7%					
	Average	0.12					
7. How lenient or harsh do you think the sentences generally hande are?  [Please see the questionnaire at the end of report for question wording.]	ed down by Judge  Harsh sentencing total  Competely neutral  Lenient sentencing total	12% 77% 11%					
	Average	0.06					



## Colorado Judicial Performance <a href="#">Attorneys Regarding Trial Judges Survey Questions</a>

_	Which of the following types of cases have you observed all that apply. (Only respondents who indicate they have observed asked question 2c and question 6.)				-		
	Civil			1			
	Criminal other than traffic						
	Traffic			3			
	Domestic			4			
	Juvenile			5			
	Probate						
	Other	•••••		9			
<u>1. Ca</u>	ase Management:						
a.	Promptly issuing a decision on the case after trial.	Α	В	С	D	F	DK/NS
b.	Maintaining appropriate control over proceedings.	Α	В	С	D	F	DK/NS
c.	Promptly ruling on pre-trial motions.	Α	В	С	D	F	DK/NS
d.	Setting reasonable schedules for cases.	A	В	С	D	F	DK/NS
2. A <sub>1</sub>	oplication and Knowledge of Law:						
a.	Being able to identify and analyze relevant facts.	Α	В	С	D	F	DK/NS
b.	Basing decisions on evidence and arguments.	Α	В	С	D	F	DK/NS
c.	Willing to reconsider error in fact or law.	Α	В	С	D	F	DK/NS
d.	[Criminal only] Issuing consistent sentences when						,
	the circumstances are similar.	A	В	С	D	F	DK/NS
3. C	ommunications:						
a.	Makings sure all participants understand						
	the proceedings.	Α	В	C	D	F	DK/NS
b.	Providing written communications that are						
	clear, thorough and well reasoned.	A	В	С	D	F	DK/NS
4. D	emeanor:						
a.	Giving proceedings a sense of dignity.	Α	В	С	D	F	DK/NS
b.	Treating participants with respect.	Α	В	С	D	F	DK/NS
c.	Conducting his/her courtroom in a neutral manner.	A	В	С	D	F	DK/NS
d.	Consistently applying laws and rules.	A	В	С	D	F	DK/NS
5. D	iligence:						
a.	Using good judgment in application of relevant						
	law and rules.	A	В	С	D	F	DK/NS
b.	Doing the necessary "homework" and being						
	prepared for his/her cases.	A	В	С	D	F	DK/NS
c.	Being willing to handle cases on the docket even						
	when they are complicated and time consuming.	A	В	С	D	F	DK/NS

	Having observed Judge (Last Name) in a criminal case, would you say the judge is: (This question is asked only if respondent indicated at the beginning of the survey he/she observed the judge in a criminal case.)
	Very biased in favor of the prosecution1Somewhat biased in favor of the prosecution2Completely Neutral3Somewhat biased in favor of the defense4Very biased in favor of the defense5Don't Know/Not Sure9
6.	What would you say are Judge (Last Name)'s strengths?
7.	What would you say are Judge (Last Name)'s weaknesses?
8.	Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge (Last Name) be retained in office, or not retained in office?
	Strongly recommend he be retained in office

stro	ping in mind your responses to engly do you recommend that Judee, or not retained in office?	* *
Son	ngly recommend he/she be retain newhat recommend he/she be re ecided or don't know enough to	rained in office
	newhat recommend he/she not be	e retained in office

Thank you for taking the time to complete this questionnaire. Please place it in the self-addressed, postage-paid envelope provided and place it in the mail. Your participation in this survey is very much appreciated.



## **Commission on Judicial Performance**

## Evaluation of JUDGE [FULL NAME]

If we have made a mistake and you either were not in Judge [Last Name]'s courtroom in the past 18 months, or you feel that you do not have sufficient experience with Judge [Last Name] to have an opinion the judge's judicial performance, please just return this questionnaire, unanswered, in the enclosed postage-paid envelope, to stop any further requests to evaluate Judge [Last Name].

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

1	Demeanor:	۸	В	_	D	_	DK N/A
1.	Demeanor.	_	ם	<u> </u>	ע		11//
a.	Giving court proceedings a sense of dignity.	4	3	2	1	0	9
b.	Treating participants in the case politely						
	and with respect.	4	3	2	1	0	9
c.	Conducting his/her courtroom in a neutral manner.	4	3	2	1	0	9
d.	Having a sense of compassion and human						
	understanding for those who appear						
	before him/her.	4	3	2	1	0	9

<ul> <li>2. Fairness:</li> <li>a. Giving participants an opportunity to be heard.</li> <li>b. Treating those involved in the case without bias.</li> <li>c. Treating fairly people who represent themselves.</li> <li>d. Giving each side enough time to present his</li> </ul>	<b>A</b> 4 4 4	3 3 3	2 2 2	1 1 1	0 0 0	<b>DK N/A</b> 9 9 9	6. [If you were in [Last Name]'s courtroom during a criminal case or cases please answer this question, otherwise skip to the next question.] On the scale below, please indicate by circling the appropriate number how biased you think Judge [Last Name] is toward the defense or the prosecution. If you feel Judge [Last Name] is completely unbiased, circle "0."
or her case.	4	3	2	1	0	9	Bias toward Completely Bias toward Defense Neutral Prosecution
3. Communications:	Δ	В	C	D	F	DK N/A	5 4 3 2 1 0 1 2 3 4 5
Makings sure participants understand the proceedings, and what's going on in the	^				•	19/2	7. [If you were in [Last Name]'s courtroom during a criminal case or
courtroom.	4	3	2	1	0	9	cases please answer this question, otherwise skip to the next
b. Using language that everyone can understand.	4	3	2	1	0	9	<b>question.</b> On the scale below, please indicate by circling the appropriate
c. Speaking clearly so everyone in the courtroom							number how lenient or how harsh you think the sentences generally handed down by <b>[Last Name]</b> are. If you feel Judge <b>[Last Name]</b> generally hands
can hear what's being said.	4	3	2	1	0	9	down appropriate sentences, circle "0."
					DI	<b>(</b>	Sentences Appropriate Sentences
4. Diligence:	<u>A</u>	В	С	D	F	N/A	Too Light Sentences Too Harsh
a. Beginning court on time.	4	3	2	1	0	9	<b>←</b>
b. Maintaining appropriate control over							5 4 3 2 1 0 1 2 3 4 5
proceedings.	4	3	2	1	0	9	
c. Setting reasonable schedules for cases.	4	3	2	1	0	9	
d. Being prepared for his/her cases.	4	3	2	1	0	9	Though your name will never be associated with your answers, because the judge will
e. Managing court proceedings so that there is							see a typed transcript of the comments that you and others write, it is important that
little wasted time.		you do not include information in the comments below that would unintentionally					
						DK	8. What would you say are Judge <i>[Last Name]</i> 's strengths?
5. Application of Law:	Α	В	С	D	F	N/A	
a. Giving reasons for rulings.	4	3	2	1	0	9	
b. Willing to make decision without regard to	•	~	_	•	V		
possible outside pressure.	4	3	2.	1	0	9	
c. Being able to identify and analyze relevant facts.	4	3	2	1	0	9	
o. Zeng note to Identity and analyze referant facts.	•	~	_		Ü	,	

## **Continued on Back Page**