

Commission on Judicial Performance

The Honorable Herbert L. Stern, III

2010 Judicial Performance Survey Report 2nd Judicial District





March 30, 2010



The Honorable Herbert L. Stern, III City & County Bldg. 1437 Bannock St., Room 256 Denver, CO 80202

Dear Judge Stern:

I am pleased to make available to you the attached copy of your 2010 Judicial Performance Survey Report. The report is based on three surveys relating to how you are seen carrying out the performance of your office: One of attorneys who have had cases in your court or who are knowledgeable about your judicial performance, second a survey of appellate judges and third a survey of non-attorneys who have observed your performance in court or who have otherwise been affected by your performance of a judge.

The methodology underlying these surveys has changed somewhat since the last reports were issued in 2009. A few minutes perusing the methodology section toward the end of this report should inform you of the relevant changes, and provide you with a methodological context to better interpret your survey results.

In addition to this introduction, the report is divided into six main sections:

- A brief summary of the results of the two surveys.
- The numerical results of the survey of attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments attorneys made about your judicial performance. In some instances the comments have been redacted to eliminate respondent identifying information. A copy of the attorney questionnaire is at the back of this report.
- The numerical results of the survey of appellate judges in tabular form, and any comments the justices and judges might have made about your judicial performance.
- The numerical results of the survey of non-attorneys in both tabular and graphical form. In addition to the numerical results, this section also contains comments these respondent made on the subject of your judicial performance. In few instances the comments have been redacted to eliminate respondent identifying information. A copy of the non-attorney questionnaire is at the back of this report.

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- The fifth section of the Report discusses the methodology of the surveys.
- The final section provides copies of the questions or questionnaires that were used for each survey.

If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 303-443-5300 ext 1 or by email at *talmey@talmeyresearch.com* (please put the words "Judicial Performance" in the subject line), and for any other questions you might have about the survey please call the Executive Director of the Office of Judicial Performance Evaluation, Jane Howell, at 303-866-6465.

Best regards,

Paul A. Talmey President

enc:

Summary of Results

Attorneys assigned Judge Herbert L. Stern, III an overall average grade¹ of 3.09, and non-attorneys assigned Judge Stern an overall average grade of 3.65 resulting in a combined grade of 3.37. The average combined grade for all district judges, including those not eligible to stand for retention in 2010, was 3.48. The combined average grade is computed as the total of the overall average from the attorney survey plus the overall average from the non-attorney survey, divided by two.

| Judge Stern Average Grades | | | | | | | | |
|----------------------------|----------|----------|--------------|--|--|--|--|--|
| | Combined | Attorney | Non-attorney | | | | | |
| Overall Grade | 3.37 | 3.09 | 3.65 | | | | | |
| Sample Size | - | 47 | 187 | | | | | |

Table 1

The results presented in this report are based on data collected in 2005, 2007, 2008 and 2009. (See Methodology section for description of sampling process.) Table 2 shows Judge Stern's overall average grades for each of these years.

| | | Judge Stern Average Grades by Year | | | | | | | | | | |
|---------------|------|------------------------------------|------|------|-----------------------------------|------|------|------------------------------------|------|------|------|------|
| | | ombine Average | | _ | Attorney Overall Average Grade | | | Non-Attny Overall Average Grade | | | | |
| | 2005 | 2007 | 2008 | 2009 | 2005 | 2007 | 2008 | 2009 | 2005 | 2007 | 2008 | 2009 |
| Overall Grade | | 3.51 | 3.60 | 3.41 | 2.25 | 3.66 | 3.52 | 3.16 | 3.74 | 3.35 | 3.68 | 3.66 |
| Sample Size | - | - | - | - | 11 | 12 | 6 | 18 | 104 | 34 | 23 | 26 |

Table 2

¹ The overall average grade for the attorney and non-attorney surveys are computed by summing the average grade for each A through F question and dividing by the number of questions. See the tables in each of the survey sections

² District judges who were appointed between 2005 and 2009 will not have sample for the years prior to their appointment. In the tables for those years with no sample, the sample size will be shown as 0, and the overall average cells will be blank. This will also be true for a few judges who had no attorney sample even though they were on the bench that year.

Due to sending questionnaires to all jurors—not just a sample—and their much higher response rate than other non-attorneys surveyed, the percentage of jurors in the district judge sample of the non-attorney survey is 61%. Moreover, jurors tend to grade judges much higher than non-jurors. The average juror overall average grade for district judges was 3.86, while the overall average grade awarded by non-jurors was 3.28. The effect of this is that judges with a higher percentage of jurors in their sample tend to have higher average grades in the non-attorney survey than those judges with a small percentage of jurors. The number of jurors in a judge's sample is, of course, closely related to the number of jury trials the judge presides over.

The table below shows Judge Stern's non-attorney results broken out by jurors and non-jurors. It also shows the overall average juror and non-juror grades for all district

| Judge Stern Average Grade by Juror/Non-juror | | | | | | | |
|--|-------------------|------|--|--|--|--|--|
| | Jurors Non-Jurors | | | | | | |
| Overall Grade | 3.76 | 3.07 | | | | | |
| Percent of Sample | 84% | 16% | | | | | |
| Sample Size | 158 | 29 | | | | | |
| District Judge Average | 3.86 | 3.28 | | | | | |

Table 3

Judges. Table 3 allows one to compare Judge Stern's juror and non-juror grades with the all district judge averages to better ascertain if the judge is seen as performing relatively well or relatively poorly among these two subgroups.

Survey of Attorneys Regarding Judge Herbert L. Stern, III

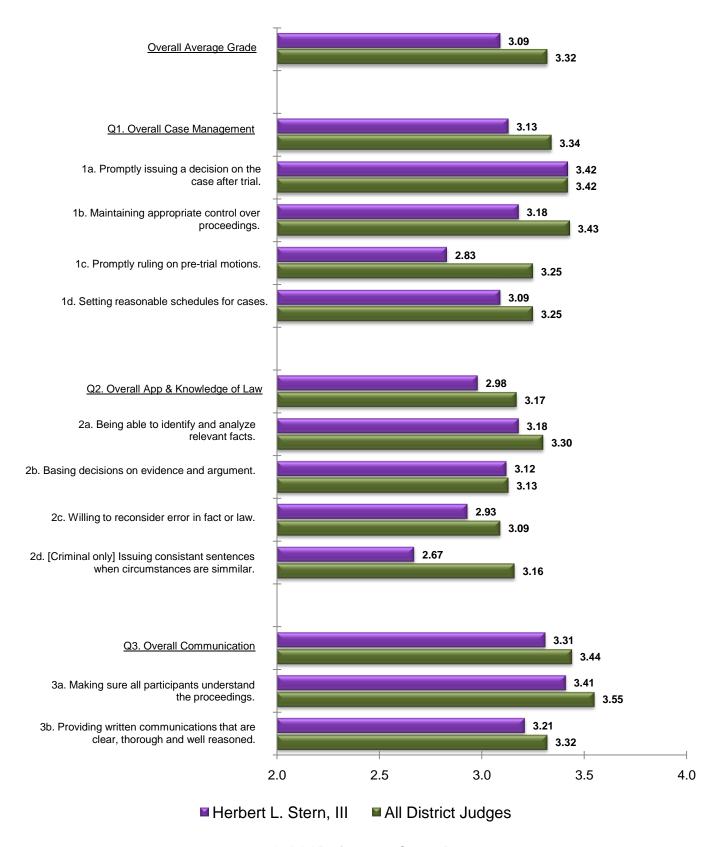
(Sample Size 47)

| Survey of Attorneys | Reg | arum | gir | iai J | uug | es | | |
|---|---------|---------|-----------|----------|---------|--------------|--------------------------|-------------|
| ludgo Horbort I. Storn III | | | | | | | Aver | age |
| Judge Herbert L. Stern, III Sample Size = 47 | А | В | С | D | Fail | DK/NA | Herbert L. Stern, III | All Distric |
| . Case Management: | | | | | | | | |
| 1a. Promptly issuing a decision on the case after trial. | 46% | 13% | 11% | 2% | 0% | 6 28% | 3.42 | 3.42 |
| The input issuing a decision on the case after that. Maintaining appropriate control over proceedings. | 51% | 23% | 11% | 2% 9% | 2% | | 3.42 3.18 | 3.42 |
| 1c. Promptly ruling on pre-trial motions. | 30% | 26% | 24% | 4% | 4% | | 2.83 | 3.45 |
| 1d. Setting reasonable schedules for cases. | 38% | 30% | 19% | 2% | 2% | | 3.09 | 3.25 |
| ra. County reaconable confedence for eaces. | 0070 | 0070 | | | | agement | 3.13 | 3.34 |
| . Application and Knowledge of Law: | | | | | | gee | | |
| 2a. Being able to identify and analyze relevant facts. | 47% | 28% | 9% | 11% | 0% | 6% | 3.18 | 3.30 |
| 2b. Basing decisions on evidence and arguments. | 45% | 23% | 9% 15% | 6% | 2% | | 3.10 | 3.30 |
| 2c. Willing to reconsider error in fact or law. | 28% | 9% | 20% | 7% | 0% | | 2.93 | 3.13 |
| 2d. Issuing consistent sentences when the circumstances are | 22% | 13% | 17% | 13% | | | 2.67 | 3.16 |
| similar. | 2270 | 1070 | 11 70 | 1070 | 0 / | 0 0070 | 2.01 | 0.10 |
| | Overall | Applica | tion ar | d Kno | wledg | e of Law | 2.98 | 3.17 |
| . Communications: | | | | | | | | |
| 3a. Making sure all participants understand the proceedings. | 52% | 30% | 13% | 0% | 0% | 6 4% | 3.41 | 3.55 |
| 3b. Providing written communications that are clear, thorough and well reasoned. | 54% | 19% | 8% | 8% | 4% | 8% | 3.21 | 3.32 |
| | | | Ove | rall Co | mmuı | nications | 3.31 | 3.44 |
| . Demeanor: | | | | | | | | |
| 4a. Giving proceedings a sense of dignity. | 48% | 28% | 9% | 2% | 7% | 6 7% | 3.16 | 3.51 |
| 4b. Treating parties with respect. | 46% | 20% | 11% | 11% | | | 2.93 | 3.42 |
| 4c. Conducting his/her courtroom in a neutral manner. | 48% | 20% | 11% | 7% | 7% | 6 9% | 3.05 | 3.32 |
| 4d. Consistently applying laws and rules. | 48% | 22% | 17% | 2% | 4% | 6 7 % | 3.14 | 3.28 |
| | | | | Ove | erall D | emeanor | 3.07 | 3.38 |
| . Diligence: | | | | | | | | |
| 5a. Using good judgment in application of relevant law and rules. | 48% | 20% | 13% | 11% | 2% | % 7% | 3.07 | 3.20 |
| 5b. Doing the necessary homework and being prepared for his/her cases. | 46% | 20% | 9% | 13% | 9% | 4% | 2.84 | 3.34 |
| 5c. Being willing to handle cases on the docket even when they are complicated and time consuming. | 44% | 27% | 4% | 7% | 2% | 6 16% | 3.24 | 3.42 |
| | | | | Ov | erall [| Diligence | 3.05 | 3.32 |
| | | | Over | all Asz | | Grade: | 3.09 | 3.32 |

| Survey of Attorneys Regarding Trial Judges | | |
|--|--------------------------|-------------------------------------|
| | Perce | ntage |
| Judge Herbert L. Stern, III Sample Size = 47 | Herbert L. Stern, III | All District Judges |
| Would you say the judge is: | | |
| Very biased in favor of the prosecution Somewhat biased in favor of the prosecution Completely neutral Somewhat biased in favor of the defense Very biased in favor of the defense Don't know or not sure. 8. How strongly do you recommend that the Judge be retained in office, or not be | n 0% al 50% e 50% e 0% | 11% 27% 47% 9% 2% 4% |
| retained in office? [Percentages excluding undecided responses.] Strongly recommend retain | n 77% | 74% |
| Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain | n 5% n 8% | 15% 5% 6% |
| Total Retain Total Not Retain | | 89% 11% |
| [Percentages including undecided responses.] Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain | n 5% w 11% n 7% | 70% 14% 4% 5% 6% |
| Total Retai Undecided/Don't Knov Total Not Retai | w 11% | 84% 4% 11% |

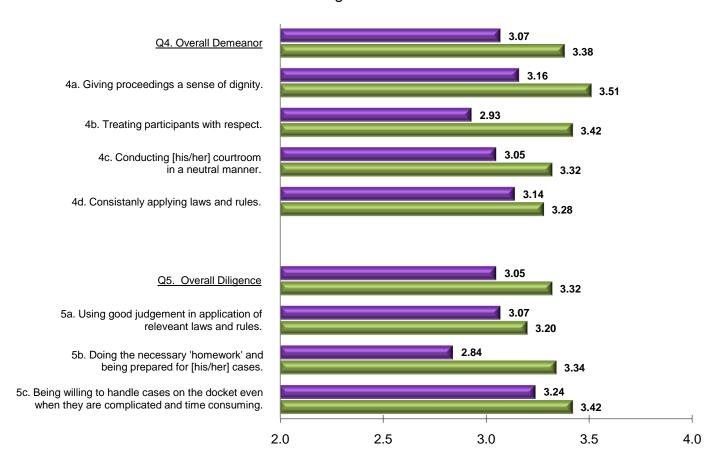
Survey of Attorneys Regarding Trial Judges

Average Grades

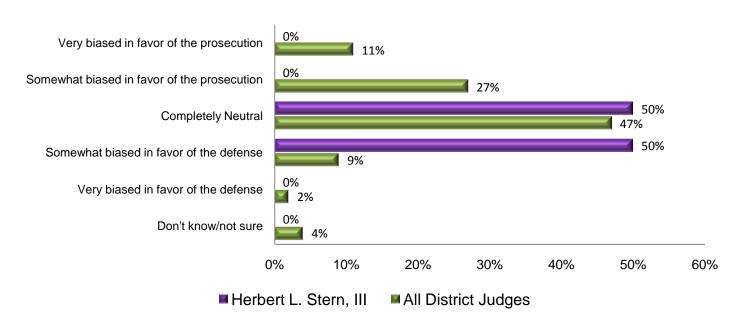


Survey of Attorneys Regarding Trial Judges

Average Grades

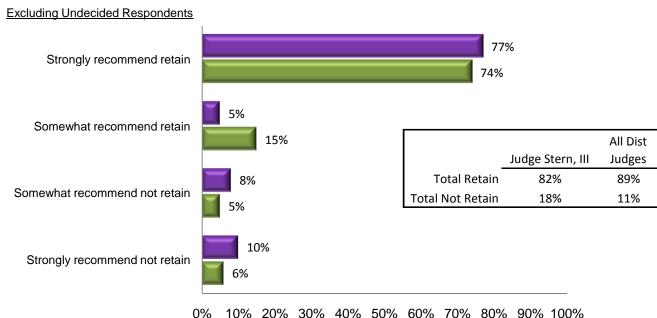


Biased in favor of prosecution/defense.

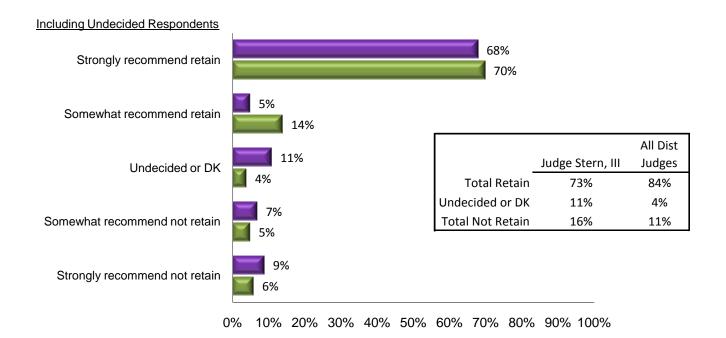


Judge Herbert L. Stern, III Survey of Attorneys Regarding Trial Judges

Q8. How strongly do you recommend that Judge Stern, III be retained or not retained in office?



10% 20% 30% 40% 50% 60% 70% 80% 90% 100%



■ Herbert L. Stern, III ■ All District Judges

Survey of Appellate Judges Regarding Judge Herbert L. Stern, III

(Sample Size 27)

| Survey of Appellate Judges Regarding District Judges | | | | | | | | |
|--|---|---|---|---|------|-------|------------|-----------|
| Judge Herbert I Stern III | | | | | | | Avera | |
| Judge Herbert L. Stern, III | | | | | | | | All |
| Sample Size = 27 | | | | | | | | Retention |
| Evaluations of Judge Stern, III = 22 | | | | | | No | Herbert L. | District |
| | Α | В | С | D | Fail | Grade | Stern, III | Judges |

Judge Stern, III in terms of overall performance as a judge.

46% 31% 8% 0% 0% 15%

3.45

3.53

The Appellate Judges Regarding District Judges questionnaire asks only one question about each of the district judges eligible to stand for retention in 2010. While 27 out of 29 appellate judges completed the questionnaire, as expected most appellate judges only graded some of the listed district judges, marking the rest as "No Grade." The number of evaluations shown below the sample size at the top of the page is the number of questionnaires returned with a letter grade for the judge. Please see the copy of the questionnaire in the Questionnaires section.

Survey of Non-Attorneys Regarding Judge Herbert L. Stern, III

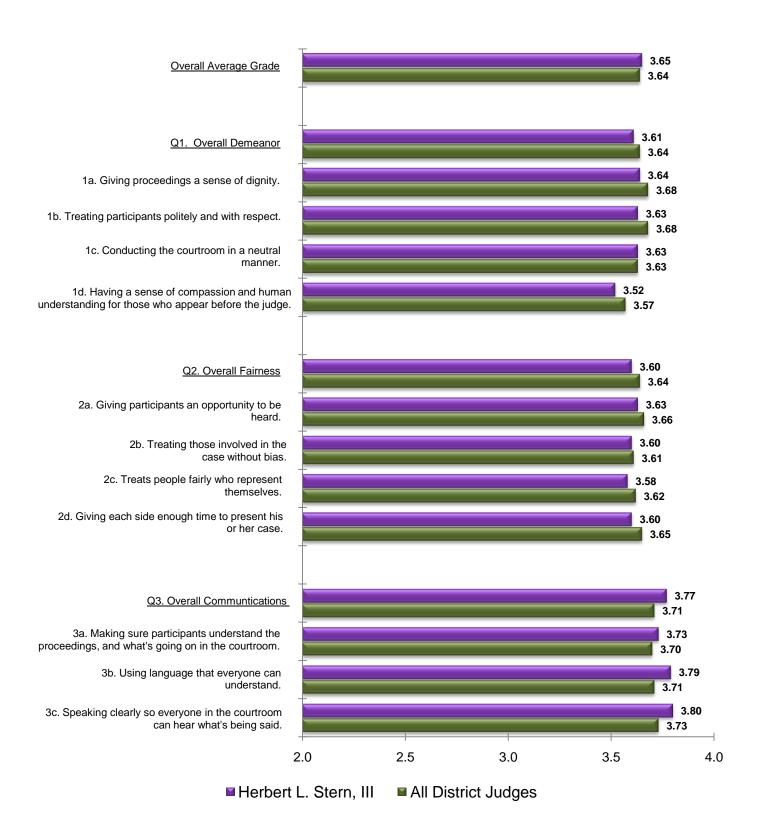
(Sample Size 187)

| Survey of Non-Attorne | ys R | egar | ding | Tria | al Ju | dges | | |
|--|------|------|------|----------|----------|-----------|--------------------------|------------------------|
| · | | | | | | | Aver | age |
| Judge Herbert L. Stern, III Sample Size = 187 | А | В | O | D | Fail | DK/NA | Herbert L. Stern, III | All District Judges |
| | | | | | | | | |
| 1. Demeanor: | | | | | | | | |
| Giving court proceedings a sense of dignity. | 73% | 21% | 5% | 1% | | | 3.64 | 3.68 |
| 1b. Treating participants in the case politely and with respect. | 72% | 22% | 3% | 1% | | | 3.63 | 3.68 |
| 1c. Conducting court in a neutral manner. | 77% | 15% | 5% | 1% | | | 3.63 | 3.63 |
| 1d. Having a sense of compassion and human understanding for those who appear before the court. | 66% | 24% | 5% | 1% | 3% | 6 1% | 3.52 | 3.57 |
| | | | | Ov | erall D | emeanor | 3.61 | 3.64 |
| 2. Fairness: | | | | | | | | |
| 2a. Giving participants an opportunity to be heard. | 75% | 17% | 4% | 2% | 2% | 6 0% | 3.63 | 3.66 |
| 2b. Treating those involved in the case without bias. | 75% | 16% | 3% | 1% | 3% | 6 1% | 3.60 | 3.61 |
| 2c. Treating fairly people who represent themselves. | 41% | 8% | 2% | 2% | 2% | 6 46% | 3.58 | 3.62 |
| 2d. Giving each side enough time to present his or her case. | 72% | 21% | 3% | 2% | 2% | 6 1% | 3.60 | 3.65 |
| <u> </u> | | | | О | verall | Fairness | 3.60 | 3.64 |
| 3. Communications: | | | | | | | | |
| 3a. Making sure participants understand the proceedings, and what is going on in the courtroom. | 81% | 14% | 3% | 1% | 1% | 6 0% | 3.73 | 3.70 |
| 3b. Using language that everyone can understand. | 85% | 11% | 4% | 0% | 1% | 6 0% | 3.79 | 3.71 |
| 3c. Speaking clearly so everyone in the courtroom can hear what is being said. | 86% | 10% | 3% | 1% | 1% | 6 0% | 3.80 | 3.73 |
| | | | Ove | erall Co | ommui | nications | 3.77 | 3.71 |
| 4. Diligence: | | | | | | | | |
| 4a. Beginning court on time | 65% | 24% | 8% | 2% | 1% | 6 1% | 3.52 | 3.50 |
| 4b. Maintaining appropriate control over proceedings. | 82% | 14% | 3% | 0% | | | 3.77 | 3.73 |
| 4c. Setting reasonable schedules for cases. | 73% | 14% | 6% | 1% | 1% | 6 5% | 3.68 | 3.61 |
| 4d. Being prepared for cases. | 77% | 14% | 3% | 1% | 1% | 6 5% | 3.72 | 3.68 |
| 4e. Managing court proceedings so that there is little wasted time. | 65% | 22% | 8% | 1% | 2% | 6 1% | 3.49 | 3.55 |
| unio. | | | | 0, | verall I | Diligence | 3.64 | 3.61 |
| 5. Application of Law: | | | | | | | | |
| 5a. Giving reasons for rulings. | 70% | 16% | 5% | 2% | 1% | 6 5% | 3.61 | 3.57 |
| 5b. Willing to make decisions without regard to possible outside pressure. | 64% | 11% | 3% | 1% | | | 3.71 | 3.60 |
| 5c. Being able to identify and analyze relevant facts. | 74% | 12% | 2% | 2% | 2% | 6 8% | 3.67 | 3.59 |
| | | | Over | all App | licatio | n of Law | 3.66 | 3.59 |
| | | | Over | all Av | erage | Grade: | 3.65 | 3.64 |

| | Regarding Trial Judges | | |
|--|--|--|--|
| | | Perce | ntage |
| Judge Herbert L. Ster Sample Size = 187 | n, III | Herbert L. Stern, III | All District Judges |
| 6. How biased do you think the Judge is toward the defense or prose | cution? | | |
| [Please see the questionnaire at the end of report for question wording.] | Biased in favor of the prosecution total Competely neutral Biased in favor of the defense total | 22% 72% 7% | 10% 84% 7% |
| [A positive average indicates bias toward prosecution, and a negative average indicates a bias toward the defense.] | Average | 0.30 | 0.08 |
| 7. How lenient or harsh do you think the sentences generally handed | down by Judge | | |
| are? [Please see the questionnaire at the end of report for question wording.] | Harsh sentencing total Competely neutral Lenient sentencing total | 5% 90% 3% | 10% 80% 11% |
| [A positive average indicates sentences are harsh, and a negative average indicates sentences are lenient.] | Average | 0.17 | 0.07 |
| 10. How strongly do you recommend that the Judge be retained or no office? [Percentages excluding undecided responses.] | ot retained in | | |
| | | | |
| | Strongly recommend retain | 87% | 87% |
| | Strongly recommend retain Somewhat recommend retain | 8% | 6% |
| | Somewhat recommend retain Somewhat recommend not retain | 8% 2% | 6% 2% |
| | Somewhat recommend retain | 8% | 6% |
| | Somewhat recommend retain Somewhat recommend not retain | 8% 2% | 6% 2% |
| | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain | 8% 2% 3% | 6% 2% 4% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain | 8% 2% 3% 95% | 6% 2% 4% 93% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain | 8% 2% 3% 95% | 6% 2% 4% 93% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain | 8% 2% 3% 95% 5% | 6% 2% 4% 93% 6% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain Strongly recommend retain | 8% 2% 3% 95% 5% 83% 8% 5% | 6% 2% 4% 93% 6% 82% 6% 6% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain | 8% 2% 3% 95% 5% 83% 8% 5% 2% | 6% 2% 4% 93% 6% 82% 6% 6% 2% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain Strongly recommend retain Somewhat recommend retain Undecided or Don't Know | 8% 2% 3% 95% 5% 83% 8% 5% | 6% 2% 4% 93% 6% 82% 6% 6% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain | 8% 2% 3% 95% 5% 83% 8% 5% 2% | 6% 2% 4% 93% 6% 82% 6% 6% 2% |
| [Percentages including undecided responses.] | Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain | 8% 2% 3% 95% 5% 83% 8% 5% 2% 3% | 6% 2% 4% 93% 6% 82% 6% 6% 2% 4% |

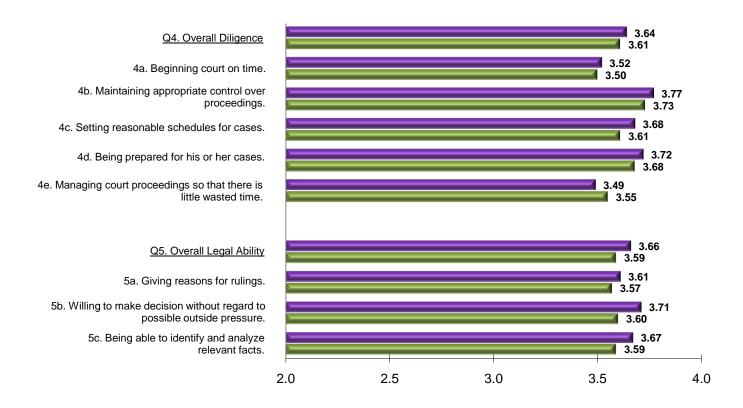
Survey of Non-Attorneys Regarding Trial Judges

Average Grades

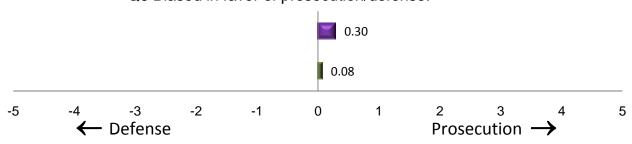


Survey of Non-Attorneys Regarding Trial Judges

Average Grades



Q6 Biased in favor of prosecution/defense.



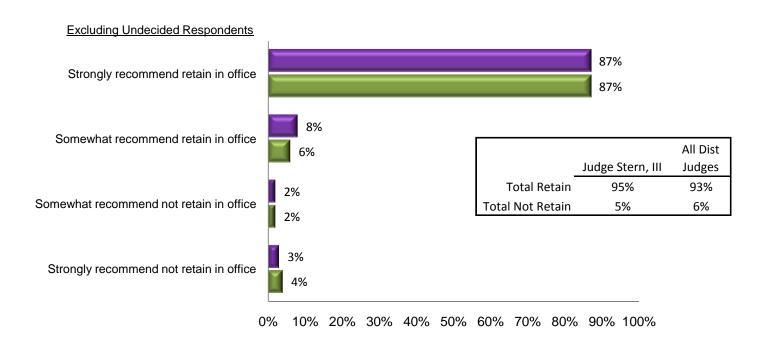
Q7 Lenience or Harshness in Sentencing.

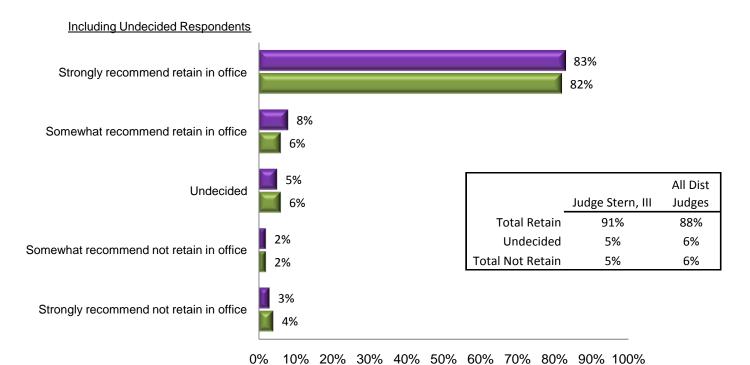


2010 Judicial Performance Survey Report

Survey of Non-Attorneys Regarding Trial Judges

Q10. How strongly do you recommend that Judge Stern, III be retained or not retained in office?





2010 Judicial Performance Survey Report

All District Judges

■ Herbert L. Stern, III



Methodology

The results shown in the 2010 Judicial Performance Survey Report are based on three surveys: The Survey of Attorneys Regarding Trial Judges, the Survey of Appellate Judges Regarding District Judges, and the Survey of Non-Attorneys Regarding Trial Judges. Below is a description of the methodology of the three surveys.

I Attorneys Regarding Trial Judges

a. Sample:

Talmey-Drake Research & Strategy received case data with the names of people who had likely been in each judge's courtroom from five primary sources:

- Colorado Judicial Department,
- Colorado District Attorneys' Council
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)
- District Attorney's Office, Ninth Judicial District

Additional information was provided by the State Public Defender's Office and the District Attorney's Office, 15th Judicial District.

The data from these different data sources are combined, duplicates removed and addresses corrected.

- i. **Prior to 2009**, the survey of Attorneys Regarding Trial Judges was conducted using paper questionnaires mailed to the attorneys' offices. A sample of attorneys drawn from the case data were assigned to evaluate judges subject to the following rules applied in the order shown.
 - 1. No attorney would be asked to evaluate the same judge in a 24 month period.
 - 2. If an attorney was eligible to evaluate both a trial judge and an appellate judge, the attorney was assigned to evaluate the appellate judge.
 - 3. If there were several judges the attorney could potentially evaluate, the attorney was assigned the judge with whom he or she had had the most cases during the sampling time frame, or the judge with the smallest sample in order to even out sample sizes among judges.

Attorneys were mailed a questionnaire, and if they did not respond they were sent a reminder postcard followed by a second questionnaire and in some cases a second reminder postcard. Questionnaires were barcoded, and if an attorney mailed back two questionnaires, the second one was deleted from the data file. Attorneys who did not complete the survey after the second request were then telephoned and asked to complete the survey by phone.

- **ii.** In 2009 the Attorneys Regarding Trial Judges Survey moved from being a paper survey mailed to potential respondents to an online survey. Moving the survey to online permitted asking individual attorneys to evaluate up to five trial judges, and with the exception of the effects of the modified assignment rules 1 through 3 below, it became a survey of all attorneys who had cases before trial judges. Allowing an attorney to evaluate up to five judges, entailed slightly modifying the assignment rules:
 - 1. No attorney would be asked to evaluate the same justice or judge in a 24-month period.
 - 2. If an attorney was eligible to evaluate both a trial judge and an appellate judge, the attorney was assigned to evaluate the appellate judge.
 - 3. If there were more than five judges who could be assigned to the attorney, the attorney was assigned the judges with whom he or she had had the most cases during the sampling time frame, or the judges with the smallest samples in order to even out sample sizes among judges.

Attorneys were first mailed a letter about the online survey to let them know that they would soon receive an email with a link to the survey. The Web address of the survey and a password were included in the letter if the attorney wanted to complete the survey immediately. A week after the first email was sent, a follow-up email was sent. Potential respondents who did not complete the survey after the second email were then telephoned and asked to either complete the survey then by phone, or to please complete it online.

iii. In 2010 rule #2 above was changed so that an attorney could be asked to evaluate a combination of up to seven trial judges or Court of Appeals judges, if the attorney had had a case before the COA. Attorneys who had cases before the both the Supreme Court and trial judges during the sample time frame were asked to evaluate all seven Supreme Court justices, and not asked to evaluate the trial judges.

The results shown in the 2010 Judicial Performance Survey Report for the Attorneys Regarding Trial Judges survey are based on the combined data collected from January 2005 through early February 2010 ¹ for those questions that have been consistently asked during that time period.

Starting in 2010, the Judicial Performance Survey reports are based on a moving average, or rolling sample, of data collected over a period of time equal to the justice's or judge's term of office: ten years for a Supreme Court justice, eight years for a COA judge, six years for a district judge and four years for a county judge. To use a district judge as an example: as survey data is collected it is pooled together for six years. After six years, as new data is added to the judge's survey results in the first quarter of the seventh year, the oldest quarter of data in the pool is deleted.

The current data for all judges only goes back as far as 2005—or the year the judge took the bench—therefore the rolling of the data only affects the county judge sample in the 2010 reports.

2010 Judicial Performance Survey Report

¹ The State Commission on Judicial Performance authorized continuous surveying in 2007. Prior to 2007 data was only collected in the odd numbered years before the retention reports were issued. Therefore, while data was gathered in 2005, 2007, 2008 and 2009, and used in this report, there was no data collected in 2006.

b. Questions:

The survey questions asked respondents to use a grade of A, B, C, D, or F to assess the justice or judge's performance on twelve aspects of judicial performance. (See Questionnaire section.) These grades were then converted to a numerical scores where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the justice or judge be retained or not retained in office.

c. Comments:

In addition to the A through F questions, respondents were also asked what they considered to be the judge's strengths and what they considered to be the judge's weaknesses. By statute these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the Commission, an attempt is made to redact all respondent identifying information from the comments.

Since 2005 there have been changes to the number of comment questions, though the strengths and weaknesses questions have been asked in every survey.

The number to the left of each comment refers to the same attorney respondent in both the strengths section and the weaknesses section.

Most spelling and typographical errors have been fixed, but where the respondent entered a comment in all upper or all lower case, or without punctuation, the comment was not corrected.

d. Analysis:

The Attorneys Regarding Trial Judges section first shows a table of the percentage distribution for each of the A through F questions, including "don't know" responses. The next column to the right shows the judge's average grade for each question. For comparison purposes, averages were also computed for all district judges—including judges who are not eligible to stand for retention in 2010—and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all district judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the question about recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all district judges. The percentages are shown both including and excluding "don't know/undecided" responses.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution to the retention question is then presented in the graph on the next page.

The third part of the Attorneys Regarding Trial Judges section of the report lists the comments the attorney made about the judge's strengths and weaknesses.

e. Cooperation Rate:

The overall response rate for the Attorneys Regarding Trial Judges Survey is calculated as the number of completed survey-evaluations divided by the number of possible evaluations. An equivalent response rate for an individual judge is computed as the number of completed survey-evaluations for that judge divided by the number of possible evaluations that could have been completed for the judge.

From 2005 to 2010 a total of 7,796 attorneys were asked to participate in the Attorneys Regarding Trial Judges Survey and on average to evaluate 4.3 judges each — a total of 33,257 potential attorney evaluations. A total of 4,986 attorneys responded (64.0%) with one or more survey evaluations, and the average number of judges evaluated per attorney was 2.5.

II Appellate Judges Regarding District Judges

a. Sample:

All 29 appellate judges (Supreme Court and Court of Appeals) were sent a questionnaire asking them to evaluate the district judges eligible to stand for retention in November 2010.

b. Questions:

The questionnaire consisted of one question about each district judge concerning his or her overall performance as a judge. (See Questionnaire section.) The A through F responses were converted to a numerical scores where A = 4, B = 3, C = 2, D = 1 and Fail = 0.

c. Comments:

In addition to the A through F questions, the appellate judge respondents were asked to write a comment about each district judge. By statute these comments are confidential and only provided to the district judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the district judge and the Commission, an attempt is made to redact all respondent identifying information from the comments. An effort has been made to correct spelling and typographical errors.

d. Analysis:

The District Judges Regarding Appellate Judges section shows a table of the percentage distribution for the one question, including "don't know" responses. The next column to the right shows the judge's average grade for each question. For comparison purposes, averages were also computed for all district judges eligible to stand for retention in 2010 and are shown in the furthest right column on the page.

The second part of the Attorneys Regarding Appellate Judges lists the comments district and appellate justices and judges wrote about the report-justice.

e. Cooperation Rate:

A questionnaire was sent to 29 Supreme Court justices and Court of Appeals judges. Twenty-seven questionnaires were returned, though not all 27 showed grades for every district judge.

III Non-Attorneys Regarding Trial Judges

a. Sample:

Talmey-Drake Research & Strategy received case data with the names of non-attorneys who had likely been in each judge's courtroom from five primary sources:

- Colorado Judicial Department,
- Colorado District Attorneys' Council
- Denver County Courts
- District Attorney's Office, Second Judicial District (Denver)
- District Attorney's Office, Ninth Judicial District

The data from these different data sources are combined, duplicates removed and addresses corrected.

In addition to non-attorneys who had likely been in the judge's courtroom, names of court employees, including probation officers, were added to the non-attorney sample.

Depending on the number of names available to be sampled for each judge, a random sample was drawn if the quantity of potential respondents was large. On the other hand, if the count of possible respondents was small, all potential respondents were included in the sample. Where a person had been in more than one judge's courtroom, the selection criteria for which judge he or she would be sent a questionnaire was generally for the judge in whose courtroom the potential respondent had been in most often.

Each person whose name was sampled for the Non-Attorney Survey was mailed an initial postcard informing the recipient that he or she would be receiving a questionnaire. Two to three weeks after the post card was mailed, the potential respondent was sent a personalized introductory letter and a questionnaire with a postage-paid return envelope. If the person did not respond, a second questionnaire and letter were sent approximately four weeks later. Questionnaires are barcoded, and if a respondent mailed back two questionnaires, the second one was deleted from the data file.

Starting in 2010, non-attorney section of the Judicial Performance Survey reports are based on a moving average, or rolling sample, of data collected over a period of time equal to the judge's term of office: six years for a district judge and four years for a county judge. To use a district judge as an example: as survey data is collected it is pooled together for six years. After six years, as new data is added to the judge's survey results in the first quarter of the seventh year, the oldest quarter of data in the pool is deleted.

The current data for all judges only goes back as far as 2005 — or the year the judge took the bench — therefore the rolling of the data only affects the county judge sample in the 2010 reports. ²

b. Questions:

The survey questions asked respondents to use a grade of A, B, C, D, or F to assess the justice or judge's performance on twelve aspects of judicial performance (See Questionnaire section.) These grades were then converted to a numerical scores where A = 4, B = 3, C = 2, D = 1 and Fail = 0. The A through F scale was chosen because it is almost universally recognized and understood. This makes it easy for respondents to complete their questionnaire, and for the public to interpret the results.

Respondents were also asked if they considered the judge biased toward the defense or prosecution in criminal cases. In a final question, respondents were asked to indicate how strongly they would recommend that the justice or judge be retained or not retained in office.

A copy of the questionnaire is included in the last section of this report.

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² The State Commission on Judicial Performance authorized continuous surveying in 2007. Prior to 2007 data was only collected in the odd numbered years before the retention reports were issued. Therefore, while data was gathered in 2005, 2007, 2008 and 2009, and used in this report, there was no data collected in 2006.

c. Analysis:

The Non-Attorneys Regarding Trial Judges section first shows a table of the percentage distribution for each of the A through F questions, including "don't know" responses. The next column to the right shows the judge's average grade for each question. For comparison purposes, averages were also computed for all district judges—including judges who are not eligible to stand for retention in 2010—and are shown in the furthest right column on the page. Tables showing the percentage distribution for all questions for all district judges are located at the end of this methodology section.

The overall question averages are calculated by adding up the averages for each question and dividing by the number of questions.

The next table shows the percentage distribution of the responses to the questions about prosecution or defense bias and recommending retention. The first column of percentages is for the report-judge and the second column displays the percentages for all district judges. The percentages for the retention question are shown including and excluding "don't know/undecided" responses.

The next page displays the question averages in horizontal bar-graph form. The percentage distribution of the prosecution-defense bias and retention questions are then presented in the graph on the next page.

The third part of the Non-Attorneys Regarding Trial Judges section of the report lists the comments the attorney made about the judge's strengths and weaknesses.

d. Comments:

In addition to the A through F questions, non-attorney respondents were asked what they considered to be the judge's strengths and what they considered to be the judge's weaknesses. By statute these comments are confidential and only provided to the judge and the District Commission on Judicial Performance. They are not released to the public when the rest of the report is released. Before being given to the judge and the Commission, an attempt is made to redact all respondent identifying information from the comments.

Since 2005 there have been changes to the number of comment questions, though the strengths and weaknesses questions have been asked in every survey.

The number to the left of each comment refers to the same attorney respondent in both the strengths section and the weaknesses section.

e. Cooperation Rate:

The estimated cooperation rate for the Non-attorney Survey is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses and refusals, undeliverables and other responses. The table presents the estimated overall cooperation rate as well as the cooperation rate by the different types of respondents. The true cooperation rates are likely higher than shown because of the percentage of people who were mailed questionnaires about judges who they had not observed. This is due, in part, to many cases being disposed of without the parties having appeared in court, as well as in the case of law enforcement, the data includes all those who were subpoenaed for a case, not just those who appeared.

A table of the response counts by respondent type for Judge Stern is shown below, and on the next page is a table of the overall cooperation rates for both the attorney and non-attorney regarding trial judges surveys for all district judges.

Judge Herbert L. Stern, III Judge Response Counts by Type of Respondent

| le Type | Total Sent | No Response | Undeliverable/ Not Applicable | | Completes | Coop Rate |
|----------------------------------|---------------|----------------|----------------------------------|-----|-----------|----------------|
| orneys | | | | | | |
| Criminal | | | | | | |
| District Attorneys | 8 | 2 | 0 | 0 | 6 | 75.0% |
| Defense Attorneys | 13 | 6 | 0 | 0 | 7 | 53.8% |
| Civil | | | | | | |
| Attorneys for Litigants | 43 | 12 | 10 | 0 | 21 | 63.6% |
| Other Attorneys Civil | 25 | 11 | 1 | 0 | 13 | 54.2% |
| Attorneys, Unknown Role Type | 1 | 0 | 1 | 0 | 0 | 0.0% |
| Total Attorneys | 90 | 31 | 12 | 0 | 47 | 60.3% |
| Other Law Enforcement | 36 37 | 24 28 | 8 5 | 1 0 | 3 | 10.7% 12.5% |
| Defendant | 30 | 19 | 10 | 0 | 1 | 5.0% |
| Civil | | | | | | |
| Litigant | 65 | 46 | 5 | 0 | 14 | 23.3% |
| Other | 1 | 0 | 0 | 0 | 1 | 100.0 |
| Non-Attorneys, Unknown Role Type | 54 | 20 | 29 | 0 | 5 | 20.0% |
| Jurors | 271 | 103 | 10 | 0 | 158 | 60.5% |
| Employees | 3 | 1 | 1 | 0 | 1 | 50.0% |
| Total Non-attorneys | 497 | 241 | 68 | 1 | 187 | 43.6% |
| Grand Total: | 587 | 272 | 80 | 1 | 234 | 46.2% |

| Total Respons | e Counts | by Type o | f Respondent f | or All District | Judges | |
|--------------------------|---------------|----------------|----------------------------------|-------------------------|-----------|---------------------|
| | Total Sent | No Response | Undeliverable/ Not Applicable | Other Non- responses | Completes | Cooperation Rate |
| Attorney Evaluations | | | | | | |
| <u>Criminal</u> | | | | | | |
| District Attorneys | 1053 | 667 | 26 | 1 | 359 | 35.0% |
| Defense Attorneys | 1623 | 920 | 42 | 0 | 661 | 41.8% |
| Other Attorneys Crmnl | 23 | 14 | 1 | 0 | 8 | 36.4% |
| <u>Civil</u> | | | | | | |
| Attorneys for Litigants | 2540 | 1157 | 133 | 4 | 1246 | 51.9% |
| Guardian ad litem | 14 | 5 | 0 | 0 | 9 | 64.3% |
| Other Attorneys Civil | 776 | 499 | 21 | 2 | 254 | 33.7% |
| Attorneys, Unknown Role | 30 | 7 | 5 | 0 | 18 | 72.0% |
| Total Attorneys | 6059 | 3269 | 228 | 7 | 2555 | 43.8% |
| Non-attorneys | | | | | | |
| <u>Criminal</u> | | | | | | |
| Law Enforcement | 3572 | 1855 | 929 | 100 | 688 | 27.1% |
| Defendant | 11205 | 5065 | 5122 | 70 | 948 | 15.8% |
| Victim | 31 | 18 | 7 | 1 | 5 | 21.7% |
| Witness | 3941 | 2016 | 1271 | 186 | 468 | 18.8% |
| Other | 1045 | 590 | 309 | 11 | 135 | 18.6% |
| <u>Civil</u> | | | | | | |
| Litigant | 8005 | 4441 | 1954 | 117 | 1493 | 25.2% |
| Witness | 266 | 133 | 61 | 6 | 66 | 33.2% |
| Other | 195 | 84 | 51 | 6 | 54 | 39.1% |
| Non-attnys, Unknown Role | 700 | 328 | 282 | 1 | 89 | 21.3% |
| Total Non-attorneys | 28960 | 14530 | 9986 | 498 | 3946 | 20.8% |
| Others | | | | | | |
| Appellate Judges | 29 | 2 | 0 | 0 | 27 | 93.1% |
| Jurors | 11195 | 4330 | 560 | 132 | 6173 | 58.8% |
| Employees | 283 | 113 | 35 | 22 | 113 | 50.0% |
| Total Other | 11507 | 4445 | 595 | 154 | 6313 | 57.9% |
| Total | 46526 | 22244 | 10809 | 659 | 12814 | 35.9% |

Projectability

Most surveys seen by the public are surveys that are intended to be projectable, that is the results from the sample of people surveyed can be used to estimate a percentage or value of the population sampled with a known probability of error. For example, a preelection poll of 500 likely Colorado voters is used to estimate the percentage of voters who will vote for Candidate A versus Candidate B on election day, plus or minus some number of percentage points. The plus or minus amount is usually what is known as the 95%-confidence interval (the known probability of error), or what the media often refers to as the margin-of-error.

None of the three surveys that make up this report, Attorneys Regarding Trial Judges, Appellate Judges Regarding District Judges and the Non-Attorneys Regarding Trial Judges, are projectable with a known probability of error because the results are calculated from a self-selecting sample that is self-selecting based on the content and subject matter of the survey. In other words, the potential respondent knows the purpose and content of the survey, and based on that, decides whether to respond to the survey.

While projectability within a known probability of error is a highly desirable attribute of a survey, it is often not feasible to achieve. Commercial market research often uses nonprojectable (and small) samples-the most well known of which are for focus groups. Moreover, the federal courts have long accepted, and do not expect, projectable samples for market confusion surveys used in trademark litigation. In other words, one can still use the results of the Judicial Performance Evaluation Survey to estimate how everyone who has observed a justice or judge in the courtroom would grade him or her, just not with a known probability of error.

The Judicial Performance Evaluation Survey is a valuable means, perhaps the only practical means, for the Judicial Performance Commissions to have a summary of structured interviews with a number of people who have courtroom familiarity with the judge being evaluated, and who most often - albeit not always - are responding out of a desire to improve the performance of our state's judicial system.

| Survey of Attorneys | Reg | ardin | g Tri | ial Ju | udge | S | |
|--|---------|---------|---------|---------|----------|---------|------------------|
| All District Judges Sample Size = 2555 | A | В | С | D | Fail | DK/NA | Average Grade |
| | 7. | | U | | i ali | DIVIVI | 0.440 |
| I. Case Management: | | | | | | | |
| 1a. Promptly issuing a decision on the case after trial. | 49% | 20% | 7% | 2% | 1% | 21% | 3.42 |
| 1b. Maintaining appropriate control over proceedings. | 61% | 24% | 8% | 3% | 2% | 2% | 3.43 |
| 1c. Promptly ruling on pre-trial motions. | 45% | 25% | 10% | 4% | 2% | 14% | 3.25 |
| 1d. Setting reasonable schedules for cases. | 50% | 27% | 11% | 4% | 2% | 5% | 3.25 |
| | | | Overa | II Case | Mana | gement | 3.34 |
| 2. Application and Knowledge of Law: | | | | | | | |
| 2a. Being able to identify and analyze relevant facts. | 54% | 26% | 10% | 4% | 2% | 3% | 3.30 |
| 2b. Basing decisions on evidence and arguments. | 43% | 24% | 11% | 6% | 3% | 13% | 3.13 |
| 2c. Willing to reconsider error in fact or law. | 39% | 21% | 10% | 5% | 4% | 20% | 3.09 |
| 2d. Issuing consistent sentences when the circumstances are similar. | 31% | 20% | 8% | 3% | 2% | 36% | 3.16 |
| | Overall | Applica | tion an | d Knov | vledge | of Law | 3.17 |
| | | | | | | | |
| 3. Communications: | 050/ | 000/ | 00/ | 00/ | 40/ | 00/ | 0.55 |
| 3a. Making sure all participants understand the proceedings. | 65% | 23% | 6% | 2% | 1% | 2% | 3.55 |
| 3b. Providing written communications that are clear, thorough and well reasoned. | 49% | 24% | 9% | 4% | 2% | 13% | 3.32 |
| | | | Over | all Cor | nmuni | cations | 3.44 |
| I. Demeanor: | | | | | | | |
| 4a. Giving proceedings a sense of dignity. | 67% | 21% | 6% | 2% | 2% | 1% | 3.51 |
| 4b. Treating parties with respect. | 66% | 19% | 7% | 4% | 3% | 1% | 3.42 |
| 4c. Conducting his/her courtroom in a neutral manner. | 60% | 21% | 9% | 5% | 4% | 2% | 3.32 |
| 4d. Consistently applying laws and rules. | 54% | 24% | 10% | 4% | 3% | 5% | 3.28 |
| | | | | Ove | rall De | meanor | 3.38 |
| 5. Diligence: | | | | | | | |
| 5a. Using good judgment in application of relevant law and rules. | 51% | 27% | 11% | 5% | 3% | 3% | 3.20 |
| 5b. Doing the necessary homework and being prepared for his/her cases. | 55% | 25% | 9% | 4% | 2% | 5% | 3.34 |
| Being willing to handle cases on the docket even when they are complicated and time consuming. | 54% | 19% | 7% | 3% | 2% | 15% | 3.42 |
| , | | | | Ove | erall Di | ligence | 3.32 |
| | | | Overa | II Ava | rane (| Prade: | 3.32 |

| Survey of Attorneys Regarding Trial Judges | | | | | |
|--|---|--|--|--|--|
| All District Judges Sample Size = 2555 | Average Grade | | | | |
| Would you say the judge is: | | | | | |
| Very biased in favor of the prosecution Somewhat biased in favor of the prosecution Completely neutral Somewhat biased in favor of the defense Very biased in favor of the defense Don't know or not sure | 11% 27% 47% 9% 2% 4% | | | | |
| 8. How strongly do you recommend that the Judge be retained in office, or not be retained in office? [Percentages excluding undecided responses.] Strongly recommend retain Somewhat recommend retain | 74% 15% | | | | |
| Somewhat recommend not retain Strongly recommend not retain | 5% 6% | | | | |
| Total Retain Total Not Retain | 89% 11% | | | | |
| [Percentages including undecided responses.] Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain Total Retain Undecided/Don't Know Total Not Retain | 70% 14% 4% 5% 6% 84% 4% | | | | |

| Survey of Non-Attorne | ys R | egaro | ding | Trial | Jud | lges | |
|--|-------|-------|----------|----------|----------|-----------|------------------|
| All District Judges | | | | | | | |
| Sample Size = 10232 | Α | В | С | D | Fail | DK/NA | Average Grade |
| | | | | | | | |
| 1. Demeanor: | | | | | | | |
| 1a. Giving court proceedings a sense of dignity. | 78% | 15% | 4% | 1% | 1% | 1% | 3.68 |
| 1b. Treating participants in the case politely and with respect. | 80% | 12% | 3% | 2% | 2% | 1% | 3.68 |
| 1c. Conducting court in a neutral manner. | 78% | 13% | 4% | 2% | 3% | 1% | 3.63 |
| 1d. Having a sense of compassion and human understanding for those who appear before the court. | 73% | 15% | 4% | 2% | 3% | 2% | 3.57 |
| | | | | Ove | rall Dei | meanor | 3.64 |
| 2. Fairness: | | | | | | | |
| 2a. Giving participants an opportunity to be heard. | 78% | 13% | 3% | 2% | 2% | 1% | 3.66 |
| 2b. Treating those involved in the case without bias. | 77% | 13% | 3% | 2% | 3% | 2% | 3.61 |
| 2c. Treating fairly people who represent themselves. | 49% | 8% | 2% | 1% | 2% | 38% | 3.62 |
| 2d. Giving each side enough time to present his or her case. | 76% | 13% | 3% | 2% | 2% | 4% | 3.65 |
| | 3.64 | | | | | | |
| 3. Communications: | | | | | | | |
| 3a. Making sure participants understand the proceedings, and | 80% | 13% | 4% | 1% | 1% | 1% | 3.70 |
| what is going on in the courtroom. | 00 /0 | 1370 | 7/0 | 1 70 | 1 70 | 1 /0 | 3.70 |
| 3b. Using language that everyone can understand. | 79% | 15% | 3% | 1% | 1% | 1% | 3.71 |
| 3c. Speaking clearly so everyone in the courtroom can hear | 81% | 13% | 3% | 1% | 1% | 1% | 3.73 |
| what is being said. | | | | | | | |
| | | | Ove | rall Co | mmuni | cations | 3.71 |
| I. Diligence: | | | | | | | |
| 4a. Beginning court on time | 65% | 22% | 7% | 2% | 2% | 2% | 3.50 |
| 4b. Maintaining appropriate control over proceedings. | 80% | 13% | 3% | 1% | 1% | 1% | 3.73 |
| 4c. Setting reasonable schedules for cases. | 67% | 16% | 4% | 1% | 2% | 10% | 3.61 |
| 4d. Being prepared for cases. | 75% | 13% | 3% | 1% | 2% | 6% | 3.68 |
| 4e. Managing court proceedings so that there is little wasted time. | 69% | 20% | 6% | 2% | 2% | 2% | 3.55 |
| | | | | Ove | erall Di | ligence | 3.61 |
| 5. Application of Law: | | | | | | - | |
| 5a. Giving reasons for rulings. | 69% | 15% | 4% | 2% | 3% | 7% | 3.57 |
| · · | 64% | 11% | 4% 3% | 2% 2% | 3% 3% | 7% 18% | |
| 5b. Willing to make decisions without regard to possible outside pressure. | | | | | | | 3.60 |
| 5c. Being able to identify and analyze relevant facts. | 71% | 13% | 3% | 2% | 3% | 8% | 3.59 |
| | | | Overa | II Appli | ication | of Law | 3.59 |
| | | | Overa | all Ave | rage C | Grade: | 3.64 |

| Survey of Non-Attorney | s Regarding Trial Judges | |
|--|---|--|
| All District Judge Sample Size = 10232 | | Average Grade |
| 6. How biased do you think the Judge is toward the defense or prose | ecution? | |
| [Please see the questionnaire at the end of report for question wording.] | Biased in favor of the prosecution total Competely neutral Biased in favor of the defense total Average | 10% 84% 7% 0.08 |
| 7. How lenient or harsh do you think the sentences generally hander are? | d down by Judge | |
| [Please see the questionnaire at the end of report for question wording.] | Harsh sentencing total Competely neutral Lenient sentencing total Average | 10% 80% 11% 0.07 |
| 10. How strongly do you recommend that the Judge be retained or roffice? [Percentages excluding undecided responses.] | not retained in | |
| | Strongly recommend retain Somewhat recommend retain Somewhat recommend not retain Strongly recommend not retain Total Retain Total Not Retain | 87% 6% 2% 4% 93% 6% |
| [Percentages including undecided responses.] | Strongly recommend retain Somewhat recommend retain Undecided or Don't Know Somewhat recommend not retain Strongly recommend not retain Total Retain Undecided/Don't Know | 82% 6% 6% 2% 4% 88% 6% |

| Survey of Appellate Judges Regarding District | Jud | ges | | | | |
|---|-----|-----|---|---|------|-----------|
| All District Judges | | | | | | |
| Sample Size = 27 | Α | В | С | D | Fail | DK/ NA |

All district judges eligible to stand for retention in 2010.

28% 15% 3% 0% 0% 54%



Colorado Judicial Performance Attorneys Regarding Trial Judges Survey Questions

| _ | Which of the following types of cases have you observed all that apply. (Only respondents who indicate they have observed asked question 2c and question 6.) | | | | | | |
|-------------------|--|-------|---|---|---|---|-------|
| | Civil | | | 1 | | | |
| | Criminal other than traffic | | | | | | |
| | Traffic | | | 3 | | | |
| | Domestic | | | 4 | | | |
| | Juvenile | | | 5 | | | |
| | Probate | | | | | | |
| | Other | ••••• | | 9 | | | |
| <u>1. Ca</u> | ase Management: | | | | | | |
| a. | Promptly issuing a decision on the case after trial. | Α | В | С | D | F | DK/NS |
| b. | Maintaining appropriate control over proceedings. | Α | В | С | D | F | DK/NS |
| c. | Promptly ruling on pre-trial motions. | Α | В | С | D | F | DK/NS |
| d. | Setting reasonable schedules for cases. | A | В | С | D | F | DK/NS |
| 2. A ₁ | oplication and Knowledge of Law: | | | | | | |
| a. | Being able to identify and analyze relevant facts. | Α | В | С | D | F | DK/NS |
| b. | Basing decisions on evidence and arguments. | Α | В | С | D | F | DK/NS |
| c. | Willing to reconsider error in fact or law. | Α | В | С | D | F | DK/NS |
| d. | [Criminal only] Issuing consistent sentences when | | | | | | , |
| | the circumstances are similar. | A | В | С | D | F | DK/NS |
| 3. C | ommunications: | | | | | | |
| a. | Makings sure all participants understand | | | | | | |
| | the proceedings. | Α | В | C | D | F | DK/NS |
| b. | Providing written communications that are | | | | | | |
| | clear, thorough and well reasoned. | A | В | С | D | F | DK/NS |
| 4. D | emeanor: | | | | | | |
| a. | Giving proceedings a sense of dignity. | A | В | С | D | F | DK/NS |
| b. | Treating participants with respect. | A | В | С | D | F | DK/NS |
| c. | Conducting his/her courtroom in a neutral manner. | A | В | С | D | F | DK/NS |
| d. | Consistently applying laws and rules. | A | В | С | D | F | DK/NS |
| 5. D | iligence: | | | | | | |
| a. | Using good judgment in application of relevant | | | | | | |
| | law and rules. | Α | В | С | D | F | DK/NS |
| b. | Doing the necessary "homework" and being | | | | | | |
| | prepared for his/her cases. | A | В | С | D | F | DK/NS |
| c. | Being willing to handle cases on the docket even | | | | | | |
| | when they are complicated and time consuming. | A | В | С | D | F | DK/NS |

| | Having observed Judge (Last Name) in a criminal case, would you say the judge is: (This question is asked only if respondent indicated at the beginning of the survey he/she observed the judge in a criminal case.) |
|----|--|
| | Very biased in favor of the prosecution1Somewhat biased in favor of the prosecution2Completely Neutral3Somewhat biased in favor of the defense4Very biased in favor of the defense5Don't Know/Not Sure9 |
| 6. | What would you say are Judge (Last Name)'s strengths? |
| | |
| 7. | What would you say are Judge (Last Name)'s weaknesses? |
| 8. | Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge (Last Name) be retained in office, or not retained in office? |
| | Strongly recommend he be retained in office |

| stror | , , | d that Judge [1 | of the previous questions Last Name] be retained |
|------------|---|-----------------------------------|---|
| Som Und | what recommend he cided or don't know o | she be retained enough to make | n office l in officee recommendation |
| | · | | ined in officeed in office |

Thank you for taking the time to complete this questionnaire. Please place it in the self-addressed, postage-paid envelope provided and place it in the mail. Your participation in this survey is very much appreciated.



Commission on Judicial Performance

Evaluation of JUDGE [FULL NAME]

If we have made a mistake and you either were not in Judge [Last Name]'s courtroom in the past 18 months, or you feel that you do not have sufficient experience with Judge [Last Name] to have an opinion the judge's judicial performance, please just return this questionnaire, unanswered, in the enclosed postage-paid envelope, to stop any further requests to evaluate Judge [Last Name].

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

| 1 | Demeanor: | ٨ | В | C | D | _ | DK N/A |
|----|---|---|---|----------|---|---|-----------|
| 1. | Demeanor. | _ | ם | <u> </u> | ע | | 11// |
| a. | Giving court proceedings a sense of dignity. | 4 | 3 | 2 | 1 | 0 | 9 |
| b. | Treating participants in the case politely | | | | | | |
| | and with respect. | 4 | 3 | 2 | 1 | 0 | 9 |
| c. | Conducting his/her courtroom in a neutral manner. | 4 | 3 | 2 | 1 | 0 | 9 |
| d. | Having a sense of compassion and human | | | | | | |
| | understanding for those who appear | | | | | | |
| | before him/her. | 4 | 3 | 2 | 1 | 0 | 9 |

| 2. Fairness:a. Giving participants an opportunity to be heard.b. Treating those involved in the case without bias.c. Treating fairly people who represent themselves.d. Giving each side enough time to present his | | 3 3 3 | 6. [If you were in [Last Name]'s courtroom during a criminal case or cases please answer this question, otherwise skip to the next question.] On the scale below, please indicate by circling the appropriate number how biased you think Judge [Last Name] is toward the defense or the prosecution. If you feel Judge [Last Name] is completely unbiased, circle "0." | | | | |
|---|----------|-------------|---|---|----|-----------|---|
| or her case. | 4 | 3 | 2 | 1 | 0 | 9 | Bias toward Completely Bias toward Defense Neutral Prosecution |
| 3. Communications: | Δ | В | C | D | F | DK N/A | 5 4 3 2 1 0 1 2 3 4 5 |
| Makings sure participants understand the proceedings, and what's going on in the | ^ | | | | • | 1975 | 7. [If you were in [Last Name]'s courtroom during a criminal case or |
| courtroom. | 4 | 3 | 2 | 1 | 0 | 9 | cases please answer this question, otherwise skip to the next |
| b. Using language that everyone can understand. | 4 | 3 | 2 | 1 | 0 | 9 | question.) On the scale below, please indicate by circling the appropriate |
| c. Speaking clearly so everyone in the courtroom | | | | | | | number how lenient or how harsh you think the sentences generally handed down by [Last Name] are. If you feel Judge [Last Name] generally hands |
| can hear what's being said. | 4 | 3 | 2 | 1 | 0 | 9 | down appropriate sentences, circle "0." |
| | | | | | Dł | (| Sentences Appropriate Sentences |
| 4. Diligence: | <u>A</u> | В | С | D | F | N/A | Too Light Sentences Too Harsh |
| a. Beginning court on time. | 4 | 3 | 2 | 1 | 0 | 9 | ← |
| b. Maintaining appropriate control over | | | | | | | 5 4 3 2 1 0 1 2 3 4 5 |
| proceedings. | 4 | 3 | 2 | 1 | 0 | 9 | |
| c. Setting reasonable schedules for cases. | 4 | 3 | 2 | 1 | 0 | 9 | |
| d. Being prepared for his/her cases. | 4 | 3 | 2 | 1 | 0 | 9 | Though your name will never be associated with your answers, because the judge will |
| e. Managing court proceedings so that there is | | | | | | | see a typed transcript of the comments that you and others write, it is important that |
| little wasted time. | 4 | 3 | 2 | 1 | 0 | 9 | you do not include information in the comments below that would unintentionally identify you as the author. |
| | | | | | | DK | 8. What would you say are Judge <i>[Last Name]</i> 's strengths? |
| 5. Application of Law: | Α | В | С | D | F | N/A | |
| a. Giving reasons for rulings. | 4 | 3 | 2 | 1 | 0 | 9 | |
| b. Willing to make decision without regard to | · | - | - | - | | • | |
| possible outside pressure. | 4 | 3 | 2 | 1 | 0 | 9 | |
| c. Being able to identify and analyze relevant facts. | 4 | 3 | 2 | 1 | 0 | 9 | |
| 3 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - | - | - | | | - | - | |

Continued on Back Page



Commission on Judicial Performance

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the following district judges in terms of each one's <u>overall performance as a judge</u> by circling the appropriate letter grade. If you feel that you don't have enough information about a judge to mark a specific grade, please put a check in the box under "No Grade."

If there are any judges you would like to add a comment about, please do so by filling out comment section on pages 3 and 4, or by enclosing a separate sheet with your comments when you return this questionnaire. Please start each comment with the judge's name and district.

Thank you.

| 1st Judicial District | | | | | | No Grade | 4th Judicial District (continu | ed) | <u> </u> | | | | No Grade |
|-----------------------|---|---|---|---|---|----------|--------------------------------|-----|----------|---|---|---|----------|
| Dennis Hall | Α | В | С | D | F | | James P. Kelly A | ı | В | С | D | F | |
| Philip J. McNulty | Α | В | С | D | F | | Gilbert Martinez A | I | В | С | D | F | |
| Stephen M. Munsinger | Α | В | С | D | F | | G. David Miller A | ı | В | С | D | F | |
| 2nd Judicial District | | | | | | | 5th Judicial District | | | | | | |
| Edward D. Bronfin | Α | В | С | D | F | | R. Thomas Moorhead A | I | В | С | D | F | |
| Norman D. Haglund | Α | В | С | D | F | | Karen A. Romeo A | I | В | С | D | F | |
| William W. Hood, III | Α | В | С | D | F | | | | | | | | |
| Michael A. Martinez | Α | В | С | D | F | | 6th Judicial District | | | | | | |
| William D. Robbins | Α | В | С | D | F | | Gregory G. Lyman A | I | В | С | D | F | |
| Donna J. Schmalberger | Α | В | С | D | F | | Jeffrey R. Wilson A | I | В | С | D | F | |
| Herbert L. Stern, III | Α | В | С | D | F | | 7th Judicial District | | | | | | |
| C. Jean Stewart | Α | В | С | D | F | | Jeff B. Herron A | I | В | С | D | F | |
| Brian Whitney | Α | В | С | D | F | | 8th Judicial District | | | | | | |
| David B. Woods | Α | В | С | D | F | | Jolene C. Blair A | ı | В | С | D | F | |
| 3rd Judicial District | | | | | | | Terence Gilmore A | ı | В | С | D | F | |
| Leslie J. Gerbracht | Α | В | С | D | F | | Daniel J. Kaup A | I | В | С | D | F | |
| 4th Judicial District | | | | | | | Gregory M. Lammons A | ı | В | С | D | F | |
| David A. Gilbert | Α | В | С | D | F | | Stephen J. Schapanski. A | I | В | С | D | F | |
| Deborah J. Grohs | Α | В | С | D | F | | 9th Judicial District | | | | | | |
| Barney luppa | Α | В | С | D | F | | Gail Nichols A | ı | В | С | D | F | |

| 10th Judicial District Thomas B. Flesher | Α | В | С | D | F | No Grade | 20th Judicial District No Grade Lael E. Montgomery A B C D F |
|--|------------|---|---|---|---|----------|--|
| Jill S. Mattoon | Α | В | С | D | F | | 21st Judicial District |
| Larry C. Schwartz | Α | В | С | D | F | | Dick Gurley A B C D F |
| 11th Judicial District | | | | | | | Valerie J. Robison A B C D F |
| Stephen A. Groome | Α | В | С | D | F | | 22nd Judicial District |
| 12th Judicial District | | | | | | | Sharon L. Hansen A B C D F |
| Martin A. Gonzales | Α | В | С | D | F | | Douglas S. Walker A B C D F |
| 13th Judicial District (None) | | | | | | | |
| 14th Judicial District | | | | | | | |
| Mary C. Hoak | Α | В | С | D | F | | Please use the following pages or attach a sheet for comments you would like to make about any |
| 15th Judicial District | | | | | | | of these district Judges. |
| Stanley A. Brinkley | Α | В | С | D | F | | of these district junges. |
| 16th Judicial District | | | | | | | |
| Michael A. Schiferl | Α | В | С | D | F | | Thank Vau |
| 17th Judicial District | | | | | | | Thank You. |
| John T. Bryan | Α | В | С | D | F | | |
| C. Scott Crabtree | Α | В | С | D | F | | |
| Katherine R. Delgado | Α | В | С | D | F | | |
| Thomas R. Ensor | Α | В | С | D | F | | |
| F. Michael Goodbee | Α | В | С | D | F | | |
| Patrick T. Murphy | Α | В | С | D | F | | |
| C. Vincent Phelps, Jr | Α | В | С | D | F | | |
| Jill-Ellyn Straus | Α | В | С | D | F | | |
| 18th Judicial District | | | | | | | |
| Angela Arkin | Α | В | С | D | F | | |
| Richard B. Caschette | Α | В | С | D | F | | |
| Timothy L. Fasing | Α | В | С | D | F | | |
| Jeffrey K. Holmes | Α | В | С | D | F | | |
| Carlos Armando Samour, Jr | . A | В | С | D | F | | |
| William B. Sylvester | Α | В | С | D | F | | |
| Elizabeth Ann Weishaupl | Α | В | С | D | F | | |
| 19th Judicial District | | | | | | | |
| Julie C. Hoskins | Α | В | С | D | F | | |
| Daniel Maus | Α | В | С | D | F | | |
| Thomas J. Quammen | Α | В | С | D | F | | |

Though your name will never be associated with your answers, because each district judge will see a typed transcript of the comments people wrote about him or her, it is important that you do not include information in the comments below that would unintentionally identify you as the author.

| Judge Name | <u>Comments</u> | |
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Though your name will never be associated with your answers, because each district judge will see a typed transcript of the comments people wrote about him or her, it is important that you do not include information in the comments below that would unintentionally identify you as the author.

| Judge Name | <u>Comments</u> |
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