

Commission on Judicial Performance

Mary Whalen 2008 Judicial Performance Survey



April 30, 2008

The Honorable M. Whalen Boulder Justice Center P.O. Box 4249 Boulder, CO. 80306

Dear Judge Whalen:

Every two years the Colorado Judicial Performance Commission conducts a survey of people who have been in the courtroom of judges who face a retention vote in the next general election. The 2008 survey was conducted by Talmey-Drake Research & Strategy, Inc., who attempted to contact over 57,000 people statewide who had been in these courtrooms in one capacity or another. Over 12,400 people responded – 3,084 to the attorney survey and 9,317 to the non-attorney survey.

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research &√strategy,

This report contains the results of those who were in your courtroom and who responded to the survey. In addition to this introduction, the report is divided into five main sections:

- Attorney Results & Comments: This section contains graphs displaying the average grade you received overall (the combined average from questions 1a through 5e), each section and each question. Following the graphs, are a series of tables showing the percentage distribution of responses to each question. Attorney respondents were also asked to comment about your performance. These comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the attorney questionnaire is at the back of this report.
- **Appellate Judges & Comments:** New to the 2008 Judicial Performance Evaluation is a survey of Court of Appeals judges and Supreme Court justices regarding the overall performance of district judges.
- Non-attorney Results & Comments: Similar to the Attorney section, this portion of the report contains graphs (again including the overall average of questions 1a through 5c on the non-attorney questionnaire), the percentage distribution of responses by non-attorneys to each substantive question in the survey. The non-attorney respondents were also asked to comment about your performance. Again, these comments have been transcribed, and in some instances redacted to eliminate respondent identifying information. A copy of the non-attorney questionnaire is also at the back of this report.
- **Methodology:** The third section of the report discusses the methodology of the survey.
- **Questionnaires:** And the final section provides copies of the questionnaires that were used.



The Honorable M. Whalen April, 30 2008 Page 2



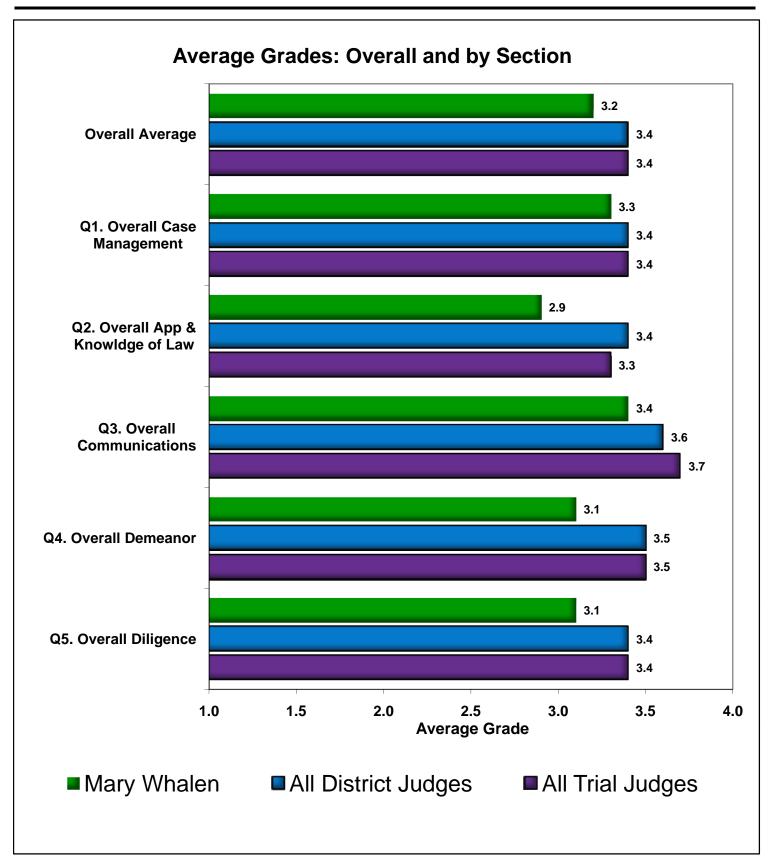
If you have any questions about the methodology and how the survey was conducted, please feel free to contact me at 303-443-5300 ext 1, and for any other questions you might have about the survey please call the Executive Director of the Colorado Judicial Performance Program, Jane Howell, at 303-837-3665.

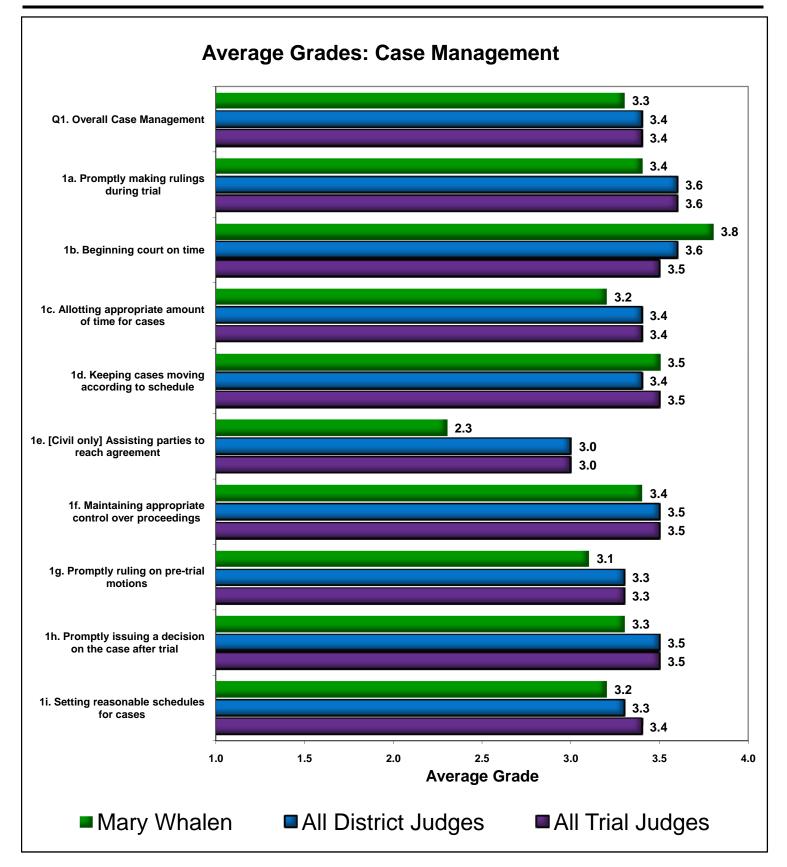
Best regards,

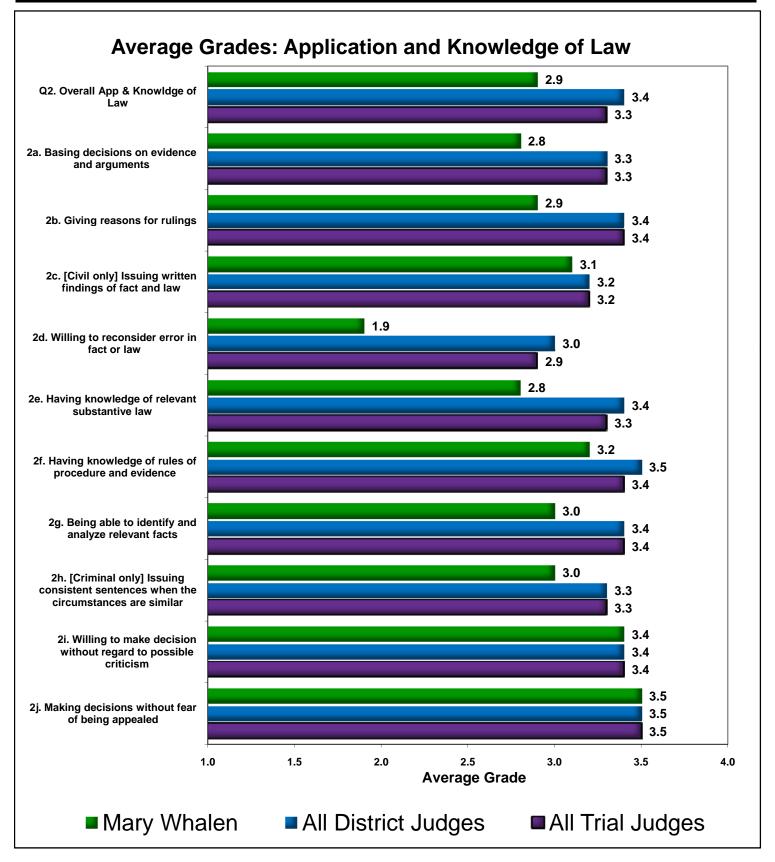
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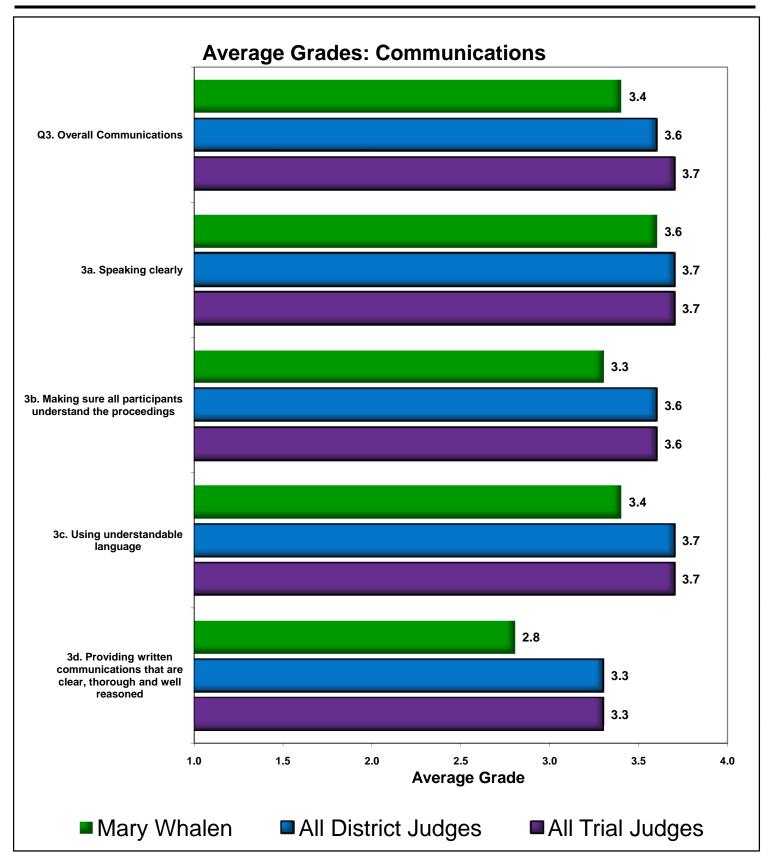
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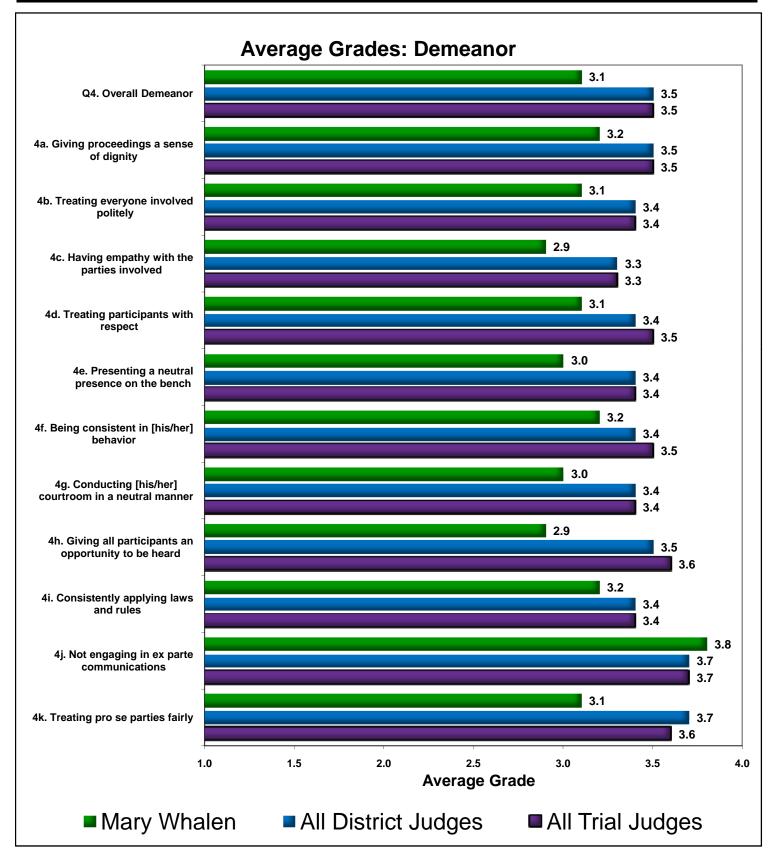
Attorney Survey (Sample Size 22)

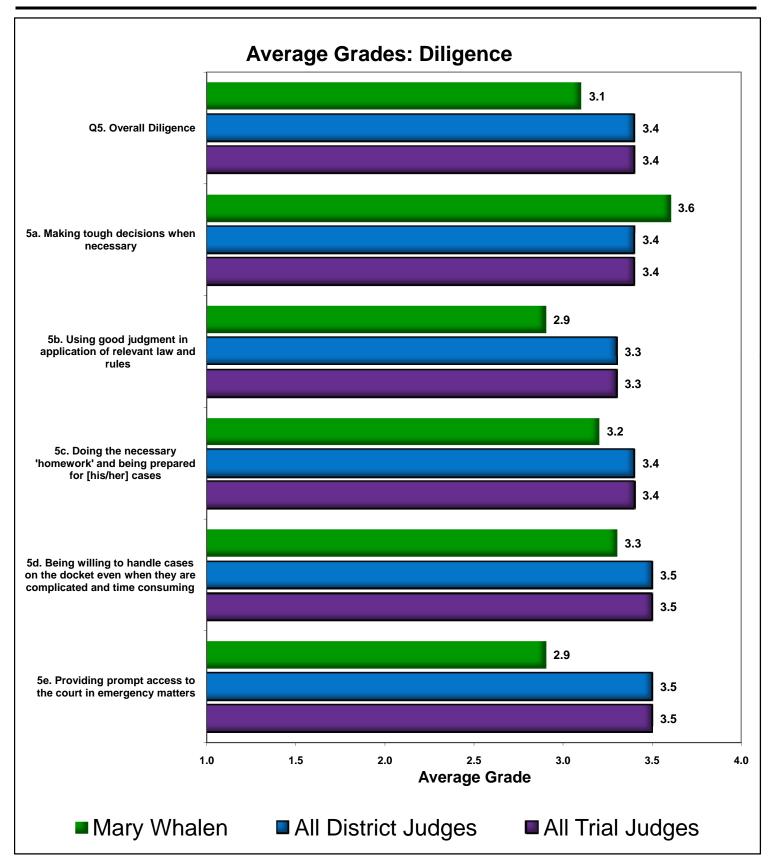


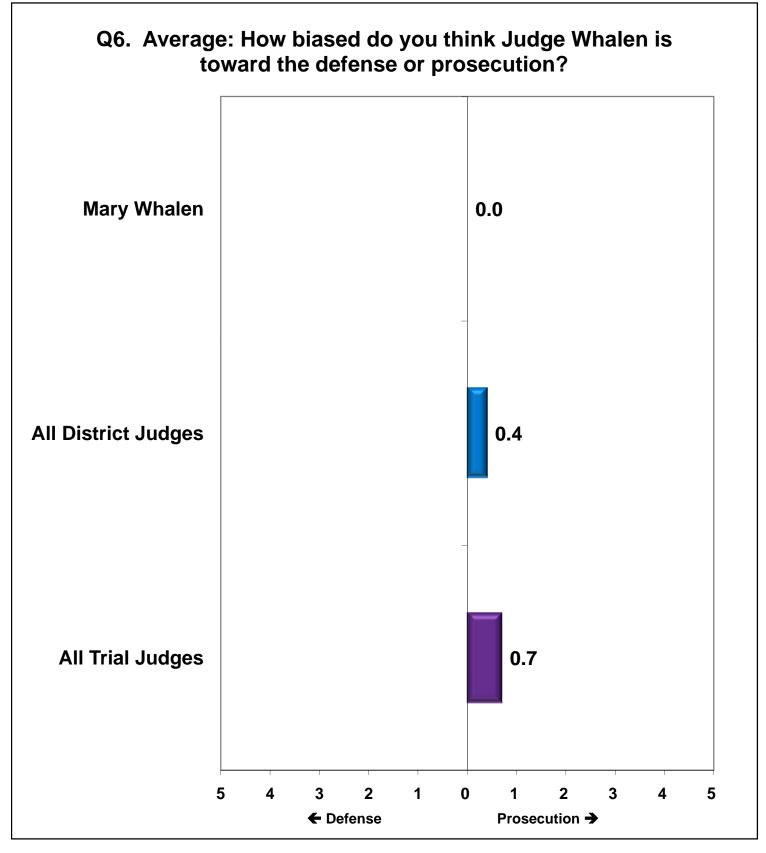


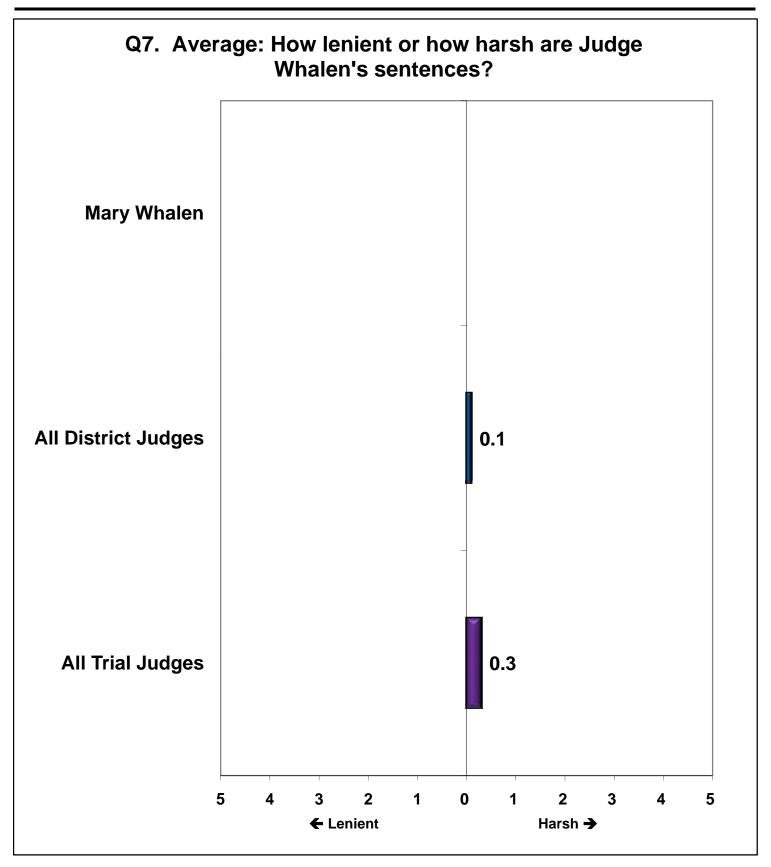




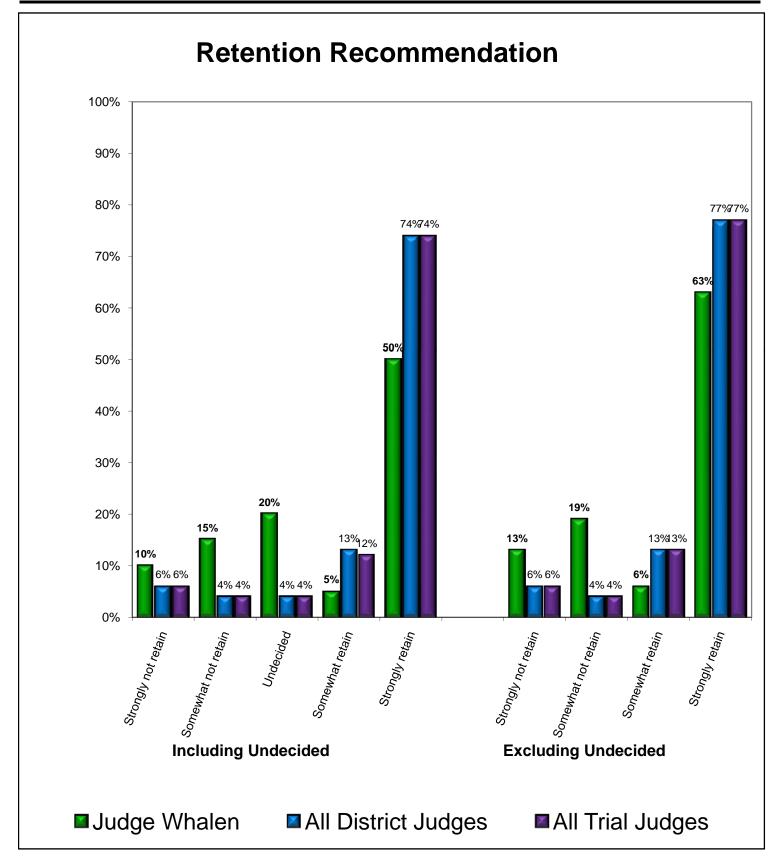








Attorney Survey



1a. How would you grade Judge Whalen on "Promptly making rulings during trial?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	45%	59%	57%
В	32%	17%	18%
С	0%	3%	3%
D	5%	1%	1%
Fail	0%	1%	1%
No Grade	18%	19%	21%
Average	3.4	3.6	3.6
Letter Grade	В+	B+	B+

1b. How would you grade Judge Whalen on "Beginning court on time?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	68%	66%	62%
В	18%	23%	25%
С	0%	5%	7%
D	0%	2%	2%
Fail	0%	1%	1%
No Grade	. 14%	3%	2%
Average	3.8	3.6	3.5
Letter Grade	A-	B+	B+

1c. How would you grade Judge Whalen on "Allotting appropriate amount of time for cases?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	45%	55%	56%
В	. 14%	27%	28%
С	18%	9%	8%
D	5%	3%	2%
Fail	0%	1%	1%
No Grade	18%	5%	4%
Average	3.2	3.4	3.4
Letter Grade	. В	B+	B+

1d. How would you grade Judge Whalen on "Keeping cases moving according to schedule?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	50%	58%	58%
В	32%	27%	28%
C	9%	7%	7%
D	0%	3%	2%
Fail	0%	1%	1%
No Grade	9%	4%	4%
Average	3.5	3.4	3.5
Letter Grade	B+	B+	B+

1e. How would you grade Judge Whalen on "[Civil only] Assisting parties to reach agreement?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	5%	23%	23%
В	10%	17%	17%
с	14%	10%	8%
D	. 0%	4%	3%
Fail	5%	2%	2%
No Grade	67%	44%	47%
Average	2.3	3.0	3.0
Letter Grade	C	В	В

1f. How would you grade Judge Whalen on "Maintaining appropriate control over proceedings?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	50%	64%	66%
В	32%	22%	22%
С	5%	8%	7%
D	5%	3%	2%
Fail	0%	1%	1%
No Grade	9%	2%	2%
Average	3.4	3.5	3.5
Letter Grade	. В+	B+	B+

1g. How would you grade Judge Whalen on "Promptly ruling on pre-trial motions?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	18%	45%	44%
В	36%	23%	21%
С	9%	9%	8%
D	0%	3%	3%
Fail	0%	2%	1%
No Grade	36%	19%	24%
Average	3.1	3.3	3.3
Letter Grade	В	В	В

1h. How would you grade Judge Whalen on "Promptly issuing a decision on the case after trial?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	27%	48%	48%
В	36%	17%	16%
С	0%	5%	4%
D	5%	2%	1%
Fail	0%	1%	1%
No Grade	32%	27%	29%
Average	3.3	3.5	3.5
Letter Grade	. В	B+	B+

1i. How would you grade Judge Whalen on "Setting reasonable schedules for cases?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	36%	53%	54%
В	32%	26%	26%
с	0%	9%	8%
D	9%	3%	3%
Fail	0%	2%	2%
No Grade	23%	7%	8%
Average	3.2	3.3	3.4
Letter Grade	В	В	B+

2a. How would you grade Judge Whalen on "Basing decisions on evidence and arguments?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	32%	54%	54%
В	_ 23%	25%	25%
С	23%	9%	9%
D	14%	4%	4%
Fail	. 0%	3%	3%
No Grade	9%	5%	5%
Average	2.8	3.3	3.3
Letter Grade	В-	В	В

2b. How would you grade Judge Whalen on "Giving reasons for rulings?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	36%	57%	56%
В	27%	25%	25%
C	18%	9%	9%
D	9%	3%	4%
Fail	5%	2%	2%
No Grade	5%	4%	4%
•	0.0	2.4	2.4
Average	2.9	3.4	3.4
Letter Grade	. В-	B+	B+

2c. How would you grade Judge Whalen on "[Civil only] Issuing written findings of fact and law?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	23%	32%	27%
В	27%	18%	16%
C	. 9%	7%	6%
D	. 5%	3%	3%
Fail	0%	1%	2%
No Grade	36%	38%	47%
Average	3.1	3.2	3.2
Average	J. I I	5.2	5.2
Letter Grade	. В	В	В

2d. How would you grade Judge Whalen on "Willing to reconsider error in fact or law?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	5%	27%	27%
В	19%	18%	18%
с	10%	9%	9%
D	5%	4%	5%
Fail	14%	4%	4%
No Grade	48%	37%	38%
	1.9	2.0	2.0
Average	1.9	3.0	2.9
Letter Grade	C-	В	B-

2e. How would you grade Judge Whalen on "Having knowledge of relevant substantive law?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	33%	55%	54%
В	_ 24%	28%	28%
С	19%	9%	9%
D	14%	3%	4%
Fail	. 0%	2%	2%
No Grade	10%	3%	4%
Average	2.8	3.4	3.3
Letter Grade	В-	B+	В

2f. How would you grade Judge Whalen on "Having knowledge of rules of procedure and evidence?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	36%	63%	60%
В	. 32%	23%	24%
С	18%	7%	8%
D	0%	2%	3%
Fail	0%	2%	2%
No Grade	14%	3%	4%
Average	3.2	3.5	3.4
Letter Grade	В	B+	B+

2g. How would you grade Judge Whalen on "Being able to identify and analyze relevant facts?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	36%	58%	58%
В	_ 27%	24%	24%
c	18%	9%	8%
D	9%	3%	4%
Fail	0%	2%	2%
No Grade	9%	4%	4%
Average	3.0	3.4	3.4
Letter Grade	В	B+	B+

2h. How would you grade Judge Whalen on "[Criminal only] Issuing consistent sentences when the circumstances are similar?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	0%	28%	36%
В	7%	16%	18%
с	0%	5%	5%
D	0%	2%	2%
Fail	0%	2%	1%
No Grade	93%	48%	37%
Average	3.0	3.3	3.3
Letter Grade	В	В	В

2i. How would you grade Judge Whalen on "Willing to make decision without regard to possible criticism?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	43%	54%	54%
В	14%	20%	20%
C	5%	7%	7%
D	0%	2%	3%
Fail	5%	2%	3%
No Grade	33%	15%	14%
Average	3.4	3.4	3.4
Letter Grade	В+	B+	B+

2j. How would you grade Judge Whalen on "Making decisions without fear of being appealed?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	45%	55%	55%
В	14%	19%	20%
C	5%	6%	6%
D	5%	2%	2%
Fail	0%	1%	1%
No Grade	32%	17%	16%
Average	3.5	3.5	3.5
Letter Grade	В+	B+	B+

3a. How would you grade Judge Whalen on "Speaking clearly?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	59%	75%	76%
В	36%	18%	18%
C	0%	4%	4%
D	0%	1%	1%
Fail	0%	0%	0%
No Grade	5%	1%	1%
Average	3.6	3.7	3.7
Letter Grade	В+	A-	A-

3b. How would you grade Judge Whalen on "Making sure all participants understand the proceedings?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	41%	71%	71%
В	41%	20%	21%
C	14%	5%	5%
D	. 0%	1%	1%
Fail	0%	1%	1%
No Grade	5%	2%	2%
Average	3.3	3.6	3.6
Letter Grade	. В	B+	B+

3c. How would you grade Judge Whalen on "Using understandable language?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	45%	75%	76%
В	45%	20%	19%
C	5%	4%	3%
D	0%	1%	1%
Fail	0%	0%	0%
No Grade	5%	1%	1%
Average	3.4	3.7	3.7
Letter Grade	. В+	A-	A-

3d. How would you grade Judge Whalen on "Providing written communications that are clear, thorough and well reasoned?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	20%	46%	46%
В	60%	21%	21%
С	0%	7%	7%
D	20%	4%	4%
Fail	0%	2%	2%
No Grade	0%	20%	21%
A	2.0	2.0	0.0
Average	2.8	3.3	3.3
Letter Grade	В-	В	В

4a. How would you grade Judge Whalen on "Giving proceedings a sense of dignity?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	55%	69%	69%
В	_ 23%	19%	20%
с	. 14%	6%	6%
D	. 5%	2%	2%
Fail	5%	2%	2%
No Grade	. 0%	1%	1%
Average	3.2	3.5	3.5
Letter Grade	. В	B+	B+

Mary Whalen Attorney Survey

4b. How would you grade Judge Whalen on "Treating everyone involved politely?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	45%	68%	69%
В	36%	16%	17%
с	. 5%	7%	7%
D	9%	3%	3%
Fail	5%	4%	4%
No Grade	0%	1%	1%
Average	3.1	3.4	3.4
Average		0.7	0.7
Letter Grade	. В	B+	B+

4c. How would you grade Judge Whalen on "Having empathy with the parties involved?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	41%	55%	55%
В	_ 23%	22%	22%
C	. 14%	10%	10%
D	. 14%	4%	4%
Fail	5%	4%	4%
No Grade	5%	5%	4%
Average	2.9	3.3	3.3
Letter Grade	В-	В	В

Mary Whalen Attorney Survey

4d. How would you grade Judge Whalen on "Treating participants with respect?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	45%	67%	68%
В	36%	17%	18%
C	9%	8%	7%
D	5%	4%	3%
Fail	. 5%	3%	3%
No Grade	0%	1%	1%
Average	3.1	3.4	3.5
Letter Grade	. В	B+	B+

4e. How would you grade Judge Whalen on "Presenting a neutral presence on the bench?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	45%	65%	64%
В	27%	19%	20%
С		8%	8%
D	14%	4%	4%
Fail		4%	4%
No Grade	0%	2%	1%
Average	3.0	3.4	3.4
Letter Grade	В	B+	B+

4f. How would you grade Judge Whalen on "Being consistent in [his/her] behavior?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	45%	64%	64%
В	45%	20%	21%
С	0%	7%	7%
D	5%	3%	3%
Fail	. 5%	3%	2%
No Grade	. 0%	4%	4%
Average	3.2	3.4	3.5
Letter Grade	В	B+	B+

4g. How would you grade Judge Whalen on "Conducting [his/her] courtroom in a neutral manner?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	45%	65%	64%
В	27%	18%	20%
с	14%	7%	7%
D	9%	3%	4%
Fail	5%	3%	3%
No Grade	0%	3%	2%
Average	3.0	3.4	3.4
Letter Grade	В	B+	B+

4h. How would you grade Judge Whalen on "Giving all participants an opportunity to be heard?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	45%	70%	70%
В	18%	18%	19%
С	14%	6%	6%
D	9%	2%	2%
Fail	9%	2%	2%
No Grade	5%	2%	1%
Average	2.9	3.5	3.6
Letter Grade	В-	B+	B+

4i. How would you grade Judge Whalen on "Consistently applying laws and rules?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	41%	59%	59%
В	36%	21%	21%
с	5%	8%	8%
D	9%	3%	3%
Fail	0%	3%	3%
No Grade	9%	6%	6%
	3.2	3.4	3.4
Average	J.Z	ა.4	3.4
Letter Grade	В	B+	B+

4j. How would you grade Judge Whalen on "Not engaging in ex parte communications?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	45%	67%	64%
В	14%	10%	12%
C	0%	2%	3%
D	0%	1%	1%
Fail	0%	1%	1%
No Grade	41%	19%	19%
Average	3.8	3.7	3.7
Letter Grade	A-	A-	A-

Mary Whalen Attorney Survey

4k. How would you grade Judge Whalen on "Treating pro se parties fairly?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	24%	47%	51%
В	10%	9%	11%
С	5%	3%	3%
D	0%	1%	1%
Fail	5%	1%	1%
No Grade	57%	40%	33%
Average	3.1	3.7	3.6
Letter Grade	В	A-	B+

5a. How would you grade Judge Whalen on "Making tough decisions when necessary?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	45%	55%	53%
В	27%	21%	22%
C	. 0%	7%	7%
D	. 0%	3%	3%
Fail	. 0%	1%	2%
No Grade	27%	12%	13%
Average	3.6	3.4	3.4
Letter Grade	. В+	B+	B+

5b. How would you grade Judge Whalen on "Using good judgment in application of relevant law and rules?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	36%	53%	54%
В	18%	26%	26%
с	27%	10%	9%
D	5%	4%	4%
Fail		3%	3%
No Grade		4%	4%
Average	2.9	3.3	3.3
Letter Grade	В-	В	В

Mary Whalen Attorney Survey

5c. How would you grade Judge Whalen on "Doing the necessary 'homework' and being prepared for [his/her] cases?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	36%	58%	56%
В	. 36%	24%	24%
C	. 5%	7%	8%
D	. 9%	2%	2%
Fail	. 0%	2%	2%
No Grade	<u> 14%</u>	6%	9%
Average	3.2	3.4	3.4
Letter Grade	. В	B+	B+

5d. How would you grade Judge Whalen on "Being willing to handle cases on the docket even when they are complicated and time consuming?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	33%	56%	54%
В	33%	18%	18%
C	5%	5%	6%
D	5%	2%	2%
Fail	0%	1%	2%
No Grade	24%	17%	19%
Average	3.3	3.5	3.5
Letter Grade	В	B+	B+

5e. How would you grade Judge Whalen on "Providing prompt access to the court in emergency matters?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	19%	40%	39%
Β	10%	13%	14%
С	10%	5%	4%
D	0%	1%	1%
Fail	5%	1%	1%
No Grade	57%	40%	40%
Average	2.9	3.5	3.5
Letter Grade	В-	B+	B+

Mary Whalen Attorney Survey

6. How biased do you think Judge Whalen is towards the defense or the prosecution?

		Judge Whalen	All District Judges 2008	All Trial Judges 2008
•	5	0%	2%	3%
Toward Prosecution ⇒	4	0%	3%	4%
osecut	3	0%	6%	7%
rd Pro	2	0%	9%	10%
Towa	1	0%	13%	14%
	0 Neutral	####	54%	53%
63	-1	0%	6%	4%
efense	-2	0%	3%	2%
← Toward Defense	-3	0%	2%	2%
= T _{0v}	-4	0%	2%	1%
\mathbf{v}	-5	0%	1%	0%
	Average	0.0	0.4	0.7

7. How lenient or harsh do you think the sentences handed down by Judge Whalen are?

		Judge Whalen	All District Judges 2008	All Trial Judges 2008
•	5	0%	2%	2%
To Harsh ⇒	4	0%	3%	3%
ro Ha	3	0%	4%	4%
Γ.	2	0%	7%	8%
	1	0%	8%	10%
	0 Appropriate	0%	56%	60%
	-1	0%	5%	4%
	-2	0%	5%	3%
Light	-3	0%	5%	3%
≒To Light	-4	0%	2%	1%
v	-5	0%	2%	1%
	Average		0.1	0.3

Mary Whalen Attorney Survey

12. Do you recommend that Judge Whalen be retained in office or not be retained in office?

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Strongly Recommend Retain in office	63%	77%	77%
Somewhat Recommend Retain in office	6%	13%	13%
Somewhat Recommend Not Retain in office	19%	4%	4%
Strongly Recommend Not Retain in office	13%	6%	6%

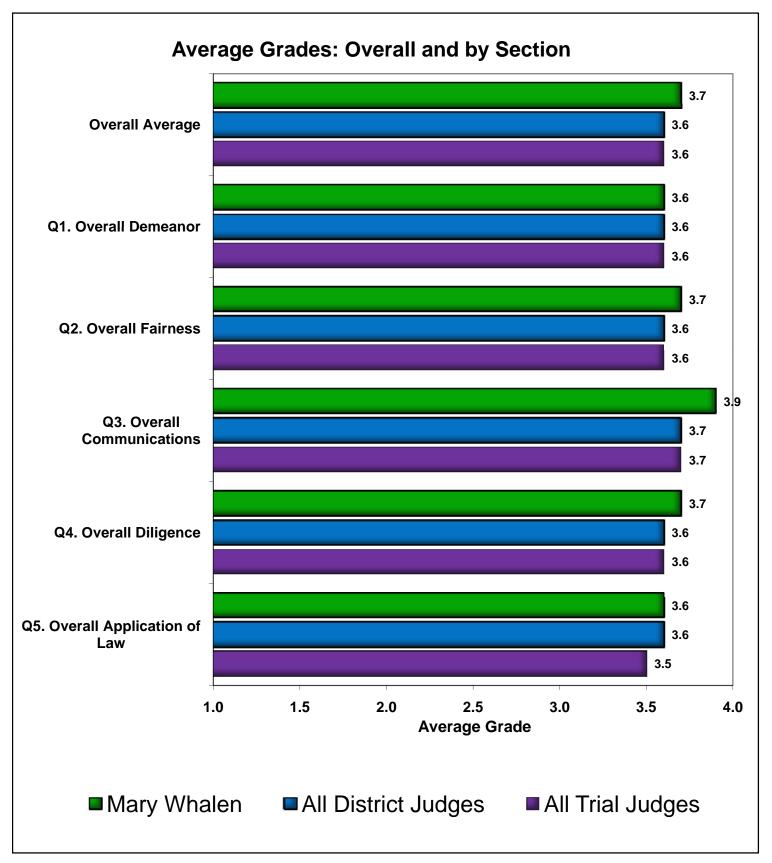
Appellate Judge Survey (Sample Size 20)

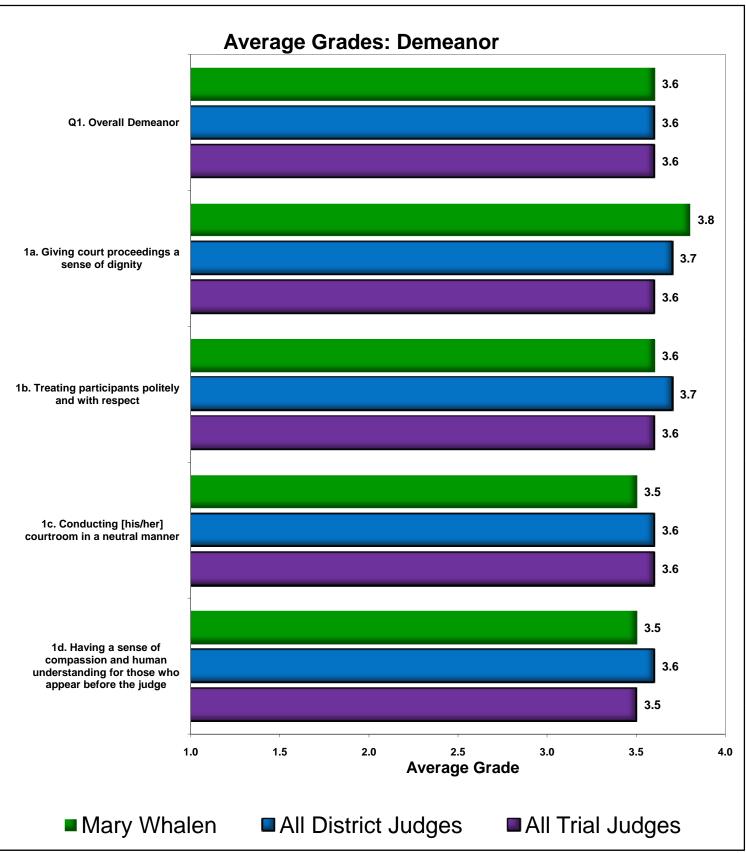
Mary Whalen Appellate Survey

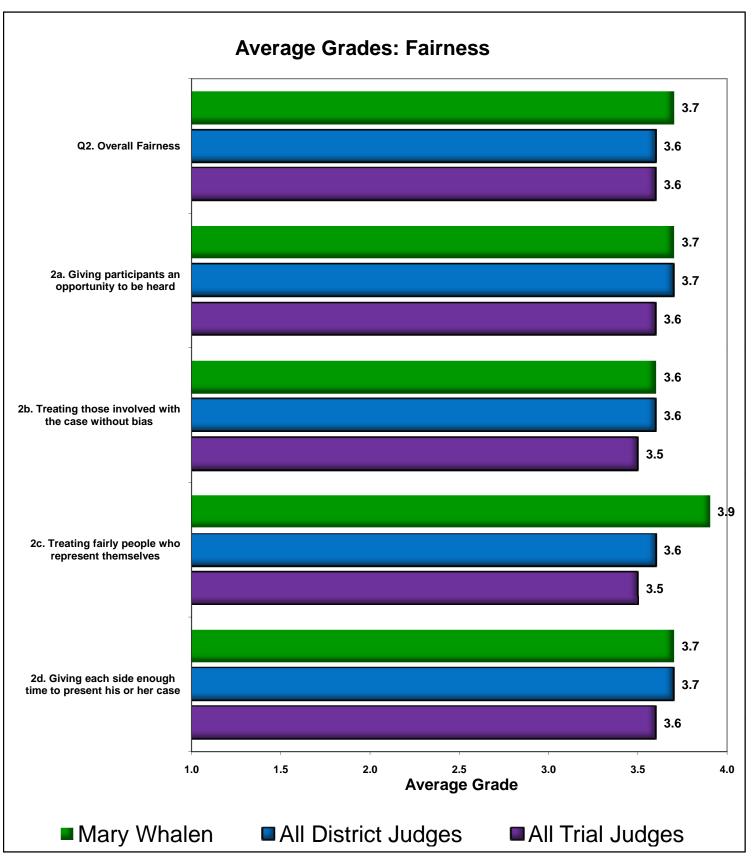
Overall Performance of Judge Whalen

	Judge Whalen	All District Judges
A	0%	28%
В	5%	14%
с	0%	3%
D	0%	0%
Fail	0%	0%
No Grade	95%	55%
Average	3.0	3.5
Letter Grade	В	B+

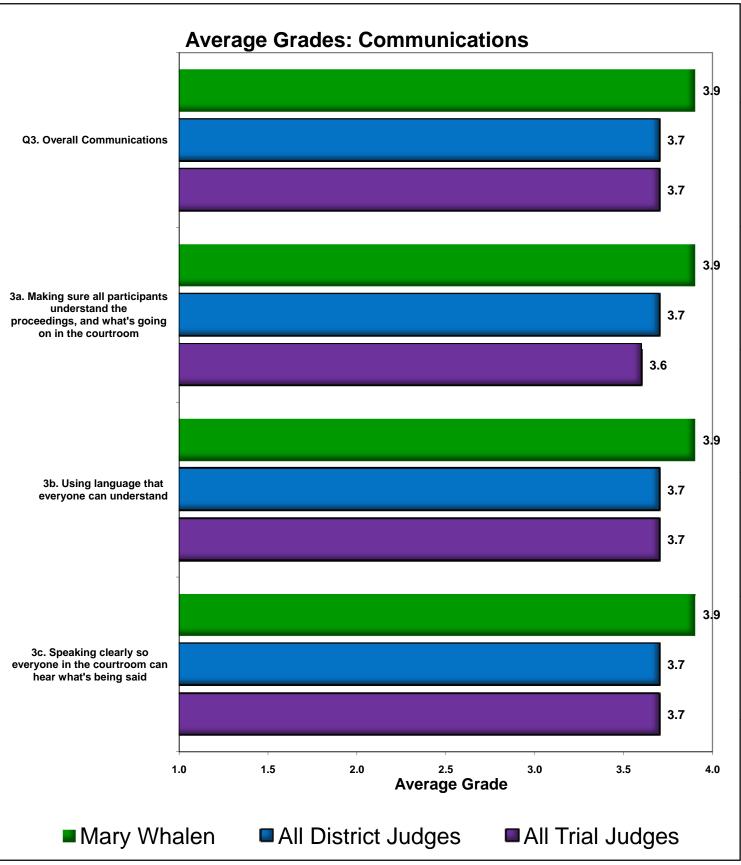
Non-Attorney Survey (Sample Size 23)



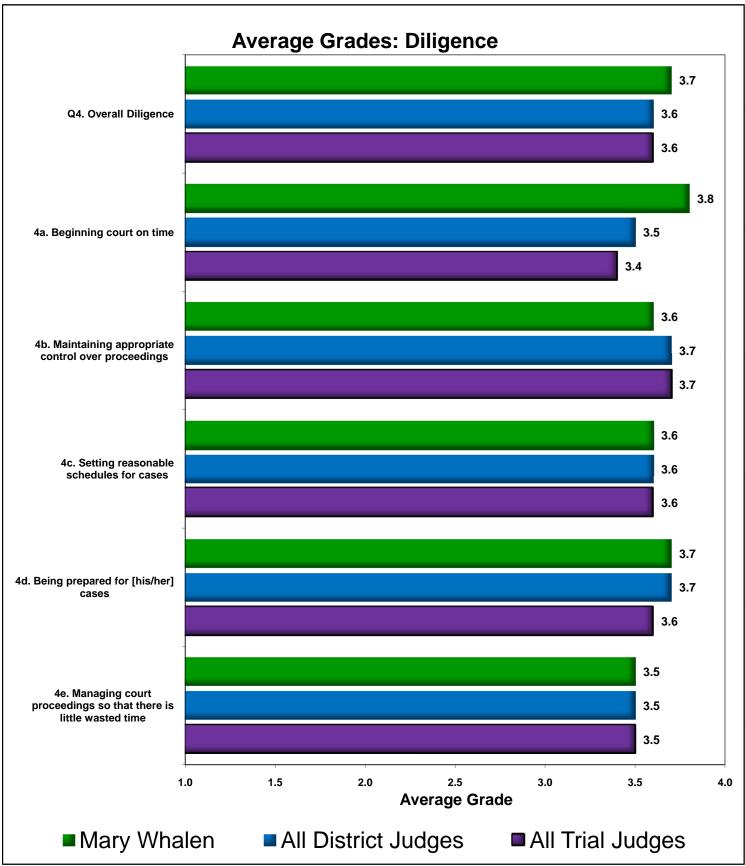




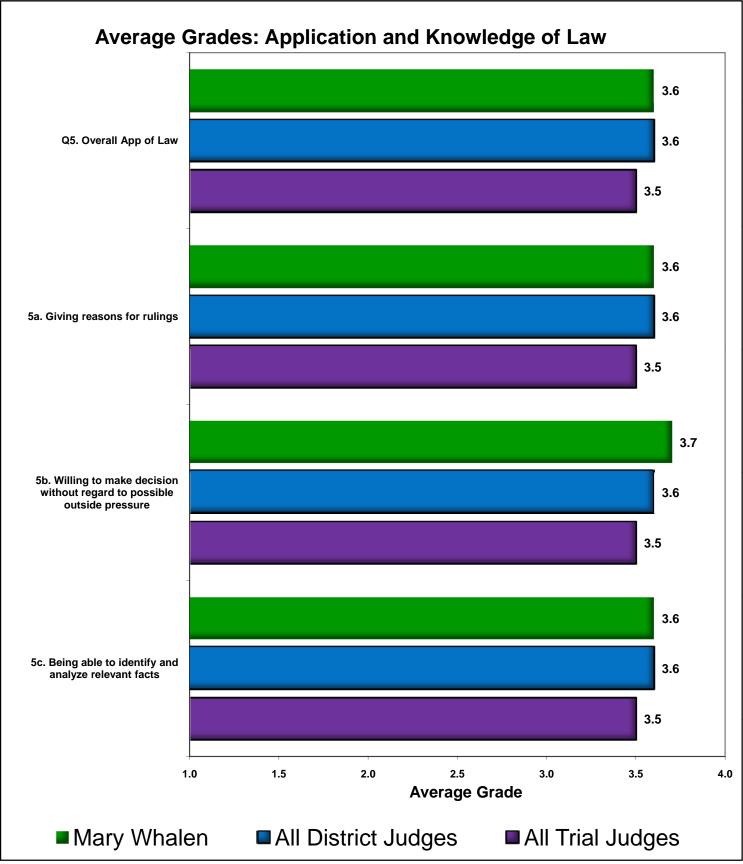
Non-Attorney Survey



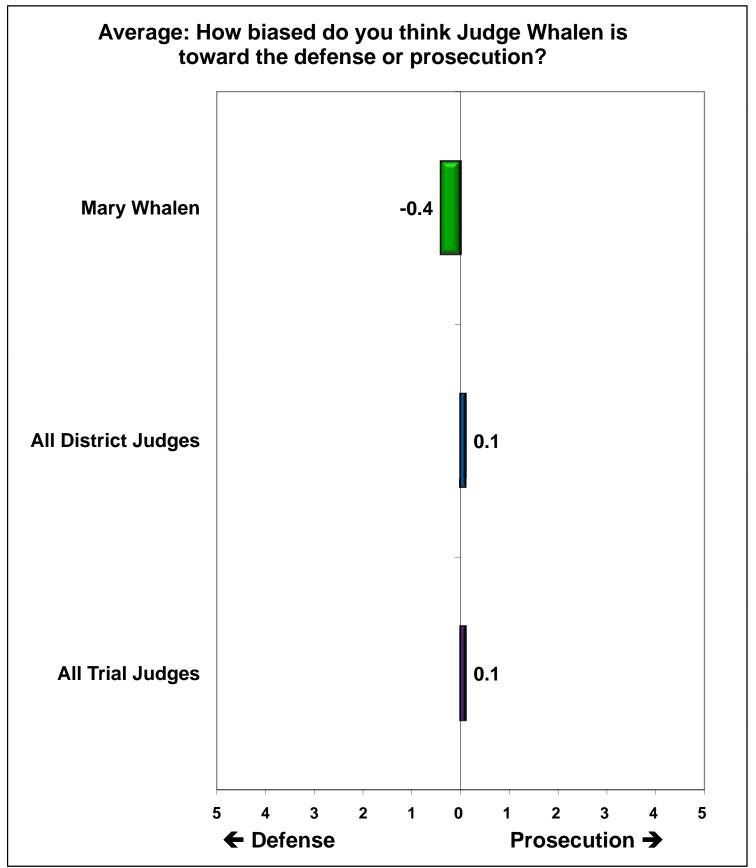
Judicial Performance Survey 2008

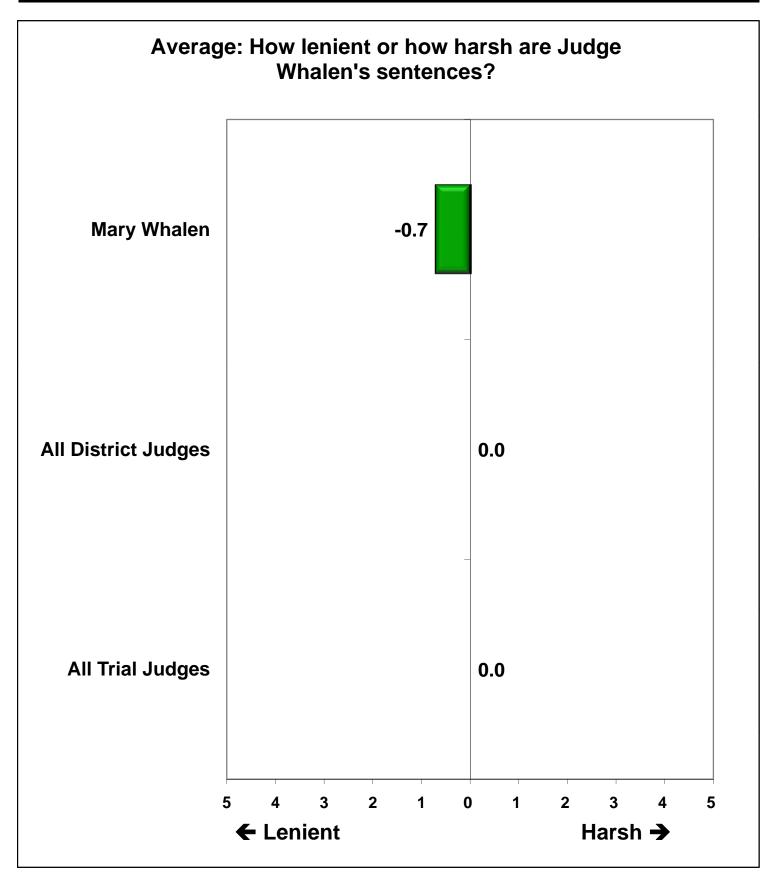


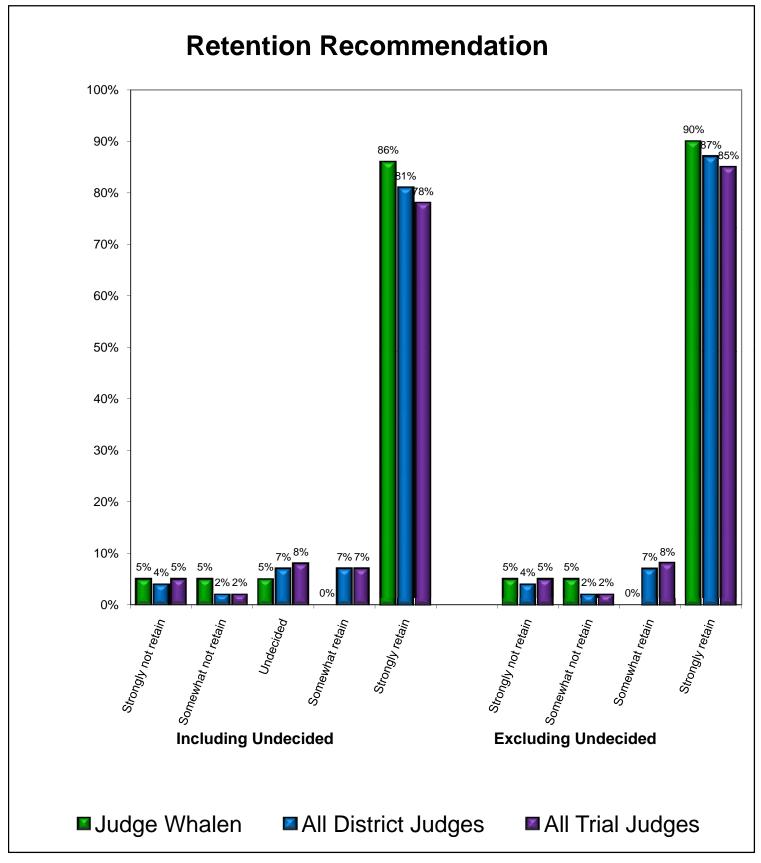
Non-Attorney Survey



Judicial Performance Survey 2008







Mary Whalen Non-Attorney Survey

1a. How would you grade Judge Whalen on "Giving proceedings a sense of dignity?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	91%	76%	73%
В	4%	16%	18%
с	0%	3%	4%
D	0%	2%	2%
Fail	4%	1%	2%
No Grade	0%	1%	1%
Average	3.8	3.7	3.6
Letter Grade	A-	A-	B+

1b. How would you grade Judge Whalen on "Treating participants politely and with respect?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	78%	79%	76%
В	13%	13%	14%
C	0%	4%	4%
D	4%	1%	2%
Fail	4%	2%	3%
No Grade	0%	1%	1%
Average	3.6	3.7	3.6
Letter Grade	. B+	A-	B+

1c. How would you grade Judge Whalen on "Conducting [his/her] courtroom in a neutral manner?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	74%	77%	74%
Β	13%	13%	14%
C	0%	4%	5%
D	4%	2%	2%
Fail	4%	2%	3%
No Grade	4%	1%	1%
Average	3.5	3.6	3.6
Letter Grade	В+	B+	B+

1d. How would you grade Judge Whalen on "Having a sense of compassion and human understanding for those who appear before the judge?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	74%	71%	69%
В	13%	17%	17%
с	4%	4%	5%
D	4%	2%	2%
Fail	4%	3%	4%
No Grade	0%	3%	3%
Average	3.5	3.6	3.5
Letter Grade	B+	B+	B+

2a. How would you grade Judge Whalen on "Giving participants an opportunity to be heard?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	78%	77%	75%
В	13%	14%	14%
с	4%	4%	4%
D	4%	2%	2%
Fail	0%	2%	3%
No Grade	0%	2%	2%
Average	3.7	3.7	3.6
Letter Grade	A-	A-	B+

2b. How would you grade Judge Whalen on "Treating those involved in the case without bias?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	87%	75%	73%
В	4%	14%	14%
С	0%	3%	4%
D	0%	2%	2%
Fail	9%	3%	4%
No Grade	0%	3%	3%
Average	3.6	3.6	3.5
Letter Grade	. B+	B+	B+

2c. How would you grade Judge Whalen on "Treating fairly people who represent themselves?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	52%	47%	49%
В	4%	8%	9%
С	0%	2%	3%
D	0%	1%	1%
Fail	0%	2%	3%
No Grade	43%	39%	35%
Average	3.9	3.6	3.5
Average	0.0	0.0	0.0
Letter Grade	A-	B+	B+

2d. How would you grade Judge Whalen on "Giving each side enough time to present his or her case?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	83%	75%	72%
В	9%	14%	14%
С	4%	3%	4%
D	4%	2%	2%
Fail	0%	2%	3%
No Grade	0%	5%	5%
Average	3.7	3.7	3.6
Letter Grade	A-	A-	B+

3a. How would you grade Judge Whalen on "Making sure participants understand the proceedings, and what's going on in the courtroom?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	91%	78%	76%
В	4%	13%	14%
С	4%	4%	5%
D	0%	2%	2%
Fail	0%	1%	2%
No Grade	0%	2%	2%
Average	3.9	3.7	3.6
Letter Grade	_ A-	A-	B+

3b. How would you grade Judge Whalen on "Using language that everyone can understand?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	91%	78%	76%
В	9%	16%	16%
C	0%	4%	4%
D	0%	1%	1%
Fail	0%	1%	1%
No Grade	0%	1%	1%
Average	3.9	3.7	3.7
Letter Grade	_ A-	A-	A-

3c. How would you grade Judge Whalen on "Speaking clearly so everyone in the courtroom can hear what's being said?"

_	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	91%	79%	78%
В	9%	14%	14%
С	0%	4%	4%
D	0%	1%	1%
Fail	0%	1%	1%
No Grade	0%	1%	1%
Average	3.9	3.7	3.7
Letter Grade	A-	A-	A-

4a. How would you grade Judge Whalen on "Beginning court on time?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	82%	61%	61%
В	18%	24%	24%
С	0%	7%	8%
D	0%	2%	2%
Fail	0%	2%	2%
No Grade	0%	4%	4%
Average	3.8	3.5	3.4
Letter Grade	A-	B+	B+

4b. How would you grade Judge Whalen on "Maintaining appropriate control over proceedings?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	73%	78%	77%
В	14%	15%	15%
С	5%	4%	4%
D	5%	1%	1%
Fail	0%	1%	1%
No Grade	5%	2%	2%
Average	3.6	3.7	3.7
Letter Grade	В+	A-	A-

4c. How would you grade Judge Whalen on "Setting reasonable schedules for cases?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	65%	64%	62%
В	_ 22%	17%	17%
С	9%	5%	5%
D	0%	1%	1%
Fail	0%	2%	2%
No Grade	4%	12%	13%
Average	3.6	3.6	3.6
Letter Grade	В+	B+	B+

4d. How would you grade Judge Whalen on "Being prepared for [his/her] cases?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	83%	73%	71%
В	4%	14%	14%
С	4%	3%	4%
D	0%	1%	1%
Fail	4%	2%	2%
No Grade	4%	7%	8%
Average	3.7	3.7	3.6
Letter Grade	. A-	A-	B+

4e. How would you grade Judge Whalen on "Managing court proceedings so that there is little wasted time?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	68%	67%	66%
В	18%	21%	20%
C	5%	6%	7%
D	0%	2%	2%
Fail		2%	2%
No Grade	5%	3%	3%
Average	3.5	3.5	3.5
Letter Grade	В+	B+	B+

5a. How would you grade Judge Whalen on "Giving reasons for rulings?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	70%	67%	65%
В	13%	16%	16%
С	4%	4%	5%
D	4%	2%	2%
Fail	0%	2%	3%
No Grade	9%	9%	9%
			0.5
Average	3.6	3.6	3.5
Letter Grade	. B+	B+	B+

5b. How would you grade Judge Whalen on "Willing to make decision without regard to possible outside pressure?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
A	70%	61%	59%
В	9%	11%	12%
с	0%	3%	4%
D	0%	2%	2%
Fail	4%	3%	3%
No Grade	17%	20%	20%
Average	3.7	3.6	3.5
Letter Grade	A-	B+	B+

5c. How would you grade Judge Whalen on "Being able to identify and analyze relevant facts?"

	Judge Whalen	All District Judges 2008	All Trial Judges 2008
Α	70%	68%	66%
В	9%	13%	14%
С	0%	3%	4%
D	4%	2%	3%
Fail	4%	3%	4%
No Grade	13%	10%	10%
Average	3.6	3.6	3.5
Letter Grade	В+	B+	B+

6. How biased do you think Judge Whalen is towards the defense or the prosecution?

		Judge Whalen	All District Judges 2008	All Trial Judges 2008
•	5	0%	1%	2%
Toward Prosecution \Rightarrow	4	0%	1%	1%
rosecu	3	0%	2%	2%
ard P	2	0%	2%	2%
Tow	1	0%	4%	5%
	0 Neutral	80%	84%	82%
دە	-1	0%	2%	3%
⇐ Toward Defense	-2	20%	2%	1%
vard I	-3	0%	1%	1%
= T ₀	-4	0%	1%	1%
\mathbf{h}	-5	0%	1%	1%
	Average	-0.4	0.1	0.1

7. How lenient or harsh do you think the sentences handed down by Judge Whalen are?

		Judge Whalen	All District Judges 2008	All Trial Judges 2008
•	5	0%	2%	2%
To Harsh⇒	4	0%	1%	2%
To H	3	0%	2%	2%
	2	0%	2%	2%
	1	0%	3%	3%
	0 Appropriate	67%	78%	76%
	-1	0%	5%	5%
	-2	33%	4%	4%
← To Light	-3	0%	2%	2%
10 11	-4	0%	1%	1%
v	-5	0%	1%	1%
	Average	-0.7	0.0	0.0

12. Do you recommend that Judge Whalen be retained in office or not be retained in office?

	Judge Whalen	All District Judges 2006	All Trial Judges 2008
Strongly Recommend Retain in office	90%	87%	85%
Somewhat Recommend Retain in office	0%	7%	8%
Somewhat Recommend Not Retain in office	5%	2%	2%
Strongly Recommend Not Retain in office	5%	4%	5%

Methodology

Methodology

Sample: The databases of names and addresses of the people who had likely been in each judge's courtroom were primarily provided by the Colorado Judicial Department, Colorado District Attorneys' Council and the Denver County Courts. These three sources provided name and address data in 2005, 2006, 2007 and 2008. Starting in the second quarter of 2007, the data was provided quarterly. Where there were low sample counts, this data was supplemented by contacting district court administrators for additional sample of particular types of potential respondents.

The data was then combined, duplicates removed and addresses corrected – quarterly starting in 2007. Depending on the available number of names and the count of already received surveys for a particular judge, either all or a random sample of names were drawn. Included in the data cleaning was the identification of potential respondents in the sample who had appeared in more than two judges' courtrooms. Due to concerns about respondent fatigue, no more than two questionnaires were intended to be sent to any one respondent – though there were a few instances where one respondent did receive more than two questionnaires. Where a person had been in more than two judges' courtrooms, the selection criteria for which judges he or she would be sent questionnaires was generally: first, for the judge in whose courtroom the potential respondent had been in most often, and two, the judge with the smallest sample of the judges in whose courtroom the potential respondent had appeared.

Survey: Each person whose name was drawn was mailed an initial postcard informing the recipient that he or she would be receiving a questionnaire. Two to three weeks after the post card was mailed, the potential respondent would be sent a personalized introductory letter and a questionnaire with a postage-paid return envelope. Those who did not respond to the first questionnaire were then sent a sent follow-up postcard. If the person did not respond after the follow-up postcard, a second questionnaire and letter were sent and in some instances a second follow-up postcard was sent after the second letter and questionnaire. In order to increase the number of attorney responses, particularly for judges with few attorney responses, telephone interviews were conducted with attorneys who had not responded to the mailed questionnaires. Over 900 interviews were completed by telephone.

- **Questionnaire:** The questionnaire used in the 2008 Judicial Performance Survey asked respondents to use a grade of A, B, C, D, or F (Fail) to assess the judge's performance in five different areas. For attorney respondents these areas were Case Management, Application and Knowledge of Law, Communications, Demeanor and Diligence, and for non-attorney respondents the five were Demeanor, Fairness, Communications, Diligence and Application of Law. These scores were then converted to a numerical value where A = 4, B = 3, C = 2, D = 1 and Fail = 0. Copies of the questionnaires are included at the end of this report.
- **Response:** The estimated response rate is calculated as the number of completed questionnaires divided by the number of eligible respondents who actually received a questionnaire. The following table shows the total number of questionnaires mailed, completed, non-responses & refusals, undeliverables and other responses. The table presents the estimated overall response rate as well as the response rate by the different types of respondents. The true response rates are likely considerably higher than shown because of the relatively high percentage of people who were mailed questionnaires about judges who they had not observed in court. This is due, in part, to many cases being disposed of without the parties having appeared in court, as well as in the case of law enforcement, the data includes all those who were subpoenaed for a case, not just those who appeared.

A similar table showing the response counts and estimated cooperation rate for your survey is provided at the end of this methodology section.

	Total	No	Undeliverable/	Other Non-		Cooperation
	Sent	Response	Not Applicable	responses	Completes	Rate
Attorneys						
<u>Criminal</u>						
District Attorneys	841	306	93	2	440	58.8%
Defense Attorneys	1,082	341	102	2	637	65.0%
Other Attorneys Crmnl	809	418	27	3	361	46.2%
<u>Civil</u>						
Attorneys for Litigants	1,395	312	171	13	899	73.4%
Other Attorneys Civil	598	280	53	2	263	48.3%
Attorneys, Unknown Role	852	289	77	2	484	62.5%
Total Attorneys	5,577	1,946	523	24	3,084	61.0%
Non-attorneys						
<u>Criminal</u>						
Law Enforcement	8,170	5,431	1,417	34	1,288	19.1%
Defendant	13,787	9,091	3,737	25	934	9.3%
Victim	295	222	63	2	8	3.4%
Witness	1,886	1,342	453	5	86	6.0%
Other	5,396	3,532	1,301	43	520	12.7%
<u>Civil</u>						
Litigant	6,552	4,390	1,109	21	1,032	19.0%
Witness	46	31	5	0	10	24.4%
Other	59	29	15	0	15	34.1%
Non-attnys, Unknown Role	5,065	2,598	1,843	12	612	19.0%
Total Non-attorneys	41,256	26,666	9,943	142	4,505	14.4%
Others						
Appellate Judges	26	6	0	0	20	76.9%
Jurors	10,214	4,940	460	22	4,792	49.1%
Total Other	10,240	4,946	460	22	4,812	49.2%
Total	57,073	33,558	10,926	188	12,401	26.9%

Results: The results of the Survey are in three main sections: Attorney respondents, Appellate Judge respondents and Non-attorney respondents. Within the Attorney and Non-attorney section are subsections of graphs displaying average grades for each section of the questionnaire and each question and tables showing the percentage distribution of grades. The Appellate Judge section is new this year, and shows the percentage distribution of the grades given by the appellate judges on overall performance.

Graphs: The graphs visually display the overall average numerical grades received for all grade questions, each section and each question compared to averages for all district judges, and all trial judges for which 2008 surveys were conducted. Questions relating to bias toward the defense or prosecution, sentencing and retention recommendation are also graphed.

Percentage Distribution of Grades: This section shows the percentage of each grade received on each question plus the bias, sentencing and retention questions. An average grade point for each graded question is computed and shown in the results section. A letter grade is also assigned to each grade point according to the following scale.

A = 4.00	B = 3.00 to 3.33	C = 2.00 to 2.33	D = 1.00 to 1.33
A— = 3.67 to 3.99	B— = 2.67 to 2.99	C— = 1.67 to 1.99	D— = 0.67 to 0.99
B+ = 3.34 to 3.66	C+ = 2.34 to 2.66	D+ = 1.34 to 1.66	Fail = 0.00 to 0.66

This section also includes two comparative columns of results: one comparative column shows the results for that question for district judges surveyed in 2008, along with a second comparative column showing the results for all trial judges in the 2008 survey.

Projectability: Most surveys seen by the public are surveys that are intended to be projectable, that is the results from the sample of people surveyed can be used to estimate a percentage or value of the population sampled *with a known probability of error*. For example, a pre-election poll of 500 likely Colorado voters is used to estimate the percentage of voters who will vote for Candidate A on election day, plus or minus some number of percentage points. The plus or minus amount is usually what is known as the 95%-confidence interval (the known probability of error), or what the media often refers to as the margin-of-error.

The Colorado Judicial Performance Evaluation Survey is not projectable with a known probability of error because the results are calculated from a selfselecting sample that is self-selecting based on the content and subject matter of the survey. In other words, the potential respondent knows the purpose and content of the survey, and based on that, decides whether to respond to the survey. While projectability within a known probability of error is a highly desirable attribute of a survey, it is often not feasible to achieve. Commercial market research often uses nonprojectable (and small) samples – the most well known of which are for focus groups. Moreover, the federal courts have long accepted, and do not expect, projectable samples for market confusion surveys used in trademark litigation. In other words, one can still use the results of the Judicial Performance Evaluation Survey to estimate how everyone who has observed a judge in the courtroom would grade him or her, just not with a known probability of error.

The Judicial Performance Evaluation Survey is a valuable means, perhaps the only practical means, for the Judicial Performance Commissions to have a summary of structured interviews with a number of people who have courtroom familiarity with the judge being evaluated, and who most often — albeit not always — are responding out of a desire to improve the performance of our state's judicial system.

Mary Whalen Responses by Type

Role Type	Total Sent	No Response	Undeliverable/ Not Applicable		Completes	Coop Rate
Attorneys						
<u>Criminal</u>						
Defense Attorneys	1	0	1	0	0	0.0%
Other Attorneys Criminal	6	3	0	0	3	50.0%
<u>Civil</u>						
Attorneys for Litigants	31	5	6	2	18	72.0%
Other Attorneys Civil	5	3	1	0	1	25.0%
Total Attorneys	43	11	8	2	22	31.4%
Non-attorneys						
<u>Criminal</u>						
Defendant	4	4	0	0	0	0.0%
<u>Civil</u>						
Litigant	78	59	10	1	8	11.8%
Other	1	0	0	0	1	100.0%
Total Non-attor	neys 83	63	10	1	9	86.3%
Others						
Appellate Judges	26	6	0	0	20	76.9%
Jurors	30	16	0	0	14	46.7%
Total Others	56	22	0	0	34	39.3%
Grand 7	Fotal: 182	96	18	3	65	39.6%

Questionnaires

10. And what would you say are Judge <i>[Last Name]</i> 's weakness	es?
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Commission on Judicial Performance

Attorney Questionnaire

Evaluation of JUDGE [NAME]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don not have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NS).

1	Case Management:	۸	в	С	D	F	DK N/A
<u>1.</u> a.	Promptly making rulings during trial.	^	3	2	1	0	9
a. b.	Beginning court on time.	4	3	2	1	0	9
	0 0	•		2	•	~	-
с.	Allotting appropriate amount of time for cases.	4	3	-	1	0	9
d.	Keeping cases moving according to schedule.	4	3	2	1	0	9
e.	[Civil only] ¹ Assisting parties to reach agreement.	4	3	2	1	0	9
f.	Maintaining appropriate control over proceedings.	4	3	2	1	0	9
g.	Promptly ruling on pre-trial motions.	4	3	2	1	0	9
h.	Promptly issuing a decision on the case after trial.	4	3	2	1	0	9
i.	Setting reasonable schedules for cases.	4	3	2	1	0	9
							DK
2.	Application and Knowledge of Law:	Α	В	С	D	F	N/A
a.	Basing decisions on evidence and arguments.	4	3	2	1	0	9
b.	Giving reasons for rulings.	4	3	2	1	0	9
c.	[Civil only]1 Issuing written findings of fact and law.	4	3	2	1	0	9
d.	Willing to reconsider error in fact or law.	4	3	2	1	0	9
e.	Having knowledge of relevant substantive law.	4	3	2	1	0	9
f.	Having knowledge of rules of procedure						
	and evidence.	4	3	2	1	0	9
g.	Being able to identify and analyze relevant facts.	4	3	2	1	0	9
h.	[Criminal only] ² Issuing consistent sentences when						
	the circumstances are similar.	4	3	2	1	0	9
i.	Willing to make decisions without regard						
	to possible criticism.	4	3	2	1	0	9
j.	Making decisions without fear of being appealed.	4	3	2	1	0	9

11. Is there anything else that you would like to say about Judge *[Last Name]*?

12. Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge *[Last Name]* be retained in office, or not retained in office?

Strongly recommend he be retained in office	5
Somewhat recommend he be retained in office	4
Undecided or don't know enough to make recommendation	3
Somewhat recommend he not be retained in office	2
Strongly recommend he not be retained in office	1

And why do you think that way about retaining or not retaining Judge *[Last Name]*?

Thank you for your time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

² Please answer question 2h only if you have observed the judge in a criminal case.

DK

חא

¹ Please answer questions 1e & 2c only if you have observed the judge in a civil case.

<u>3.</u>	Communications:	<u>A</u>	В	С	D	F	N/A
a.	Speaking clearly.	4	3	2	1	0	9
b.	Makings sure all participants understand						
	the proceedings.	4	3	2	1	0	9
c.	Using understandable language.	4	3	2	1	0	9
d.	Providing written communications that are						
	clear, thorough and well reasoned.	4	3	2	1	0	9
							DK
4.	Demeanor:	<u>A</u>	В	С	D	F	N/A
a.	Giving proceedings a sense of dignity.	4	3	2	1	0	9
b.	Treating everyone involved politely.	4	3	2	1	0	9
c.	Having empathy with the parties involved.	4	3	2	1	0	9
d.	Treating participants with respect.	4	3	2	1	0	9
e.	Presenting a neutral presence on the bench.	4	3	2	1	0	9
f.	Being consistent in <i>his/her</i> behavior	4	3	2	1	0	9
g.	Conducting his/her courtroom in a neutral manner.	. 4	3	2	1	0	9
h.	Giving all participants an opportunity to be heard.	4	3	2	1	0	9
1.	Consistently applying laws and rules.	4	3	2	1	0	9
j.	Not engaging in ex parte communications	4	3	2	1	0	9
k.	Treating pro se parties fairly.	4	3	2	1	0	9
							DK
5.	Diligence:	Α	В	С	D	F	N/A
a.	Making tough decisions when necessary.	4	3	2	1	0	9
b.	Using good judgment in application of relevant law and rules.	4	3	2	1	0	9
c.	Doing the necessary "homework" and being		-	_	-		2
,	prepared for <i>his/her</i> cases.	4	3	2	1	0	9
d.	Being willing to handle cases on the docket even when they are complicated and time consuming.	4	3	2	1	0	9
	Providing prompt access to the court						

[Criminal cases only]¹ On the scale below, please indicate by circling the appropriate number how biased you think Judge **[Last Name]** is toward the defense or the prosecution. If you feel Judge **[Last Name]** is completely unbiased, circle "0."

6.

7.

	Bias toward			Completely					Bias toward		
	Defense			Neutral					Prosecution		
↓ 5	4	3	2	1	0	1	2	3	4	5	

[Criminal cases only]¹ On the scale below, please indicate by circling the appropriate number how lenient or how harsh you think the sentences generally handed down by Judge [Last Name] are. If you feel Judge [Last Name] generally hands down appropriate sentences, circle "0."

	Sentences			Appropriate					Sentences		
	Too Light			Sentences					Too Harsh		
↓ 5	4	3	2	1	0	1	2	3	4	5	

Though your name will never be associated with your answers, because the judge will see a typed transcript of the comments that you and others write, it is important that you do not include information in the comments below that would unintentionally identify you as the author.

8. If an associate were assigned to Judge *[Last Name]* in a case and asked you for advice, what would you say?

9. What would you say are Judge *[Last Name]*'s strengths?

[Continued on Back Page]

{Question 3d is asked only regarding district judges.}

¹ Please answer questions 6 & 7 only if you have observed the judge in a criminal case.

12. Keeping in mind your responses to each of the previous questions, how strongly do you recommend that Judge *[Last Name]* be retained in office, or not retained in office?

Strongly recommend he/she be retained in office
Somewhat recommend he/she be retained in office
Undecided or don't know enough to make recommendation
Somewhat recommend he/she not be retained in office
Strongly recommend he/she not be retained in office

5

4

3 2

1

And why do you think that way about retaining or not retaining Judge *[Last Name]*?

Thank you for you time in completing this questionnaire. Please place it in the self-addressed, postage paid enveloped provided, and place it in the mail. Your participation in this survey is very much appreciated.

Commission on Judicial Performance

Evaluation of JUDGE[NAME]

Using a grade scale, where an "A" is excellent along with B, C, D or F for fail, please grade the judge on the following. (If you feel that you don't have experience with the judge in a specific area, or just don't know, please circle the number corresponding to "Don't Know/Not Applicable"—DK/NA).

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			_	~	_	_	DK
<u>1.</u>	Demeanor:	<u>A</u>	В	С	D	F	N/A
a.	Giving court proceedings a sense of dignity.	4	3	2	1	0	9
b.	Treating participants in the case politely			_			_
	and with respect.	4	3	2	1	0	9
c.	Conducting his/her courtroom in a neutral manner.	4	3	2	1	0	9
d.	Having a sense of compassion and human understanding for those who appear						
	before him/her.	4	3	2	1	0	9
							DK
2.	Fairness:	Α	В	С	D	F	N/A
<u></u>	Giving participants an opportunity to be heard.	4	3	2	1	0	9
b.	Treating those involved in the case without bias.	4	3	2	1	0	9
c.	Treating fairly people who represent themselves.	4	3	2	1	0	9
d.	Giving each side enough time to present his						
	or her case.	4	3	2	1	0	9
							DK
<u>3.</u>	Communications:	Α	В	С	D	F	N/A
a.	Makings sure participants understand the						
	proceedings, and what's going on in the						
	courtroom.	4	3	2	1	0	9
b.	Using language that everyone can understand.	4	3	2	1	0	9
c.	Speaking clearly so everyone in the courtroom						
	can hear what's being said.	4	3	2	1	0	9

4. Diligence:	Α	в	с	D	F	DK N/A
a. Beginning court on time.	4	3	2	1	0	9
b. Maintaining appropriate control over	4	3	2	1	0	9
proceedings. c. Setting reasonable schedules for cases.	4		2 2	-	~	9
d. Being prepared for his/her cases.	4	3	2	1	0	9
e. Managing court proceedings so that there is little wasted time.	4	3	2	1	0	9
5. Application of Law:	<u>A</u>	В	С	D	F	DK N/A
a. Giving reasons for rulings.	4	3	2	1	0	9
 Willing to make decision without regard to possible outside pressure. 	4	3	2	1	0	9

c. Being able to identify and analyze relevant facts. 4 3 2 1 0 9

6. [If you were in [Last Name]'s courtroom during a criminal case or cases please answer this question, otherwise skip to the next question.] On the scale below, please indicate by circling the appropriate number how biased you think Judge [Last Name] is toward the defense or the prosecution. If you feel Judge [Last Name] is completely unbiased, circle "0."

Bias toward				Completely					Bias toward			
Defense				Neutral					Prosecution			
↓ 5	4	3	2	1	0	1	2	3	4	5		

7.

[If you were in [Last Name]'s courtroom during a criminal case or cases please answer this question, otherwise skip to the next question.] On the scale below, please indicate by circling the appropriate number how lenient or how harsh you think the sentences generally handed down by [Last Name] are. If you feel Judge [Last Name] generally hands down appropriate sentences, circle "0."

Sentences			Appropriate							Sentences		
Too Light			Sentences							Too Harsh		
↓ 5	4	3	2	1	0	1	2	3	4	5		

Though your name will never be associated with your answers, because the judge will see a typed transcript of the comments that you and others write, it is important that you do not include information in the comments below that would unintentionally identify you as the author.

8. If a friend of yours was scheduled to appear before Judge *[Last Name]* as a party in either a civil or criminal case and asked you for advice, what would you tell your friend about Judge *[Last Name]*?

9. What would you say are Judge *[Last Name]*'s strengths?

10. And what would you say are Judge *[Last Name]*'s weaknesses?

11. Is there anything else that you would like to say about Judge *[Last Name]*?

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